

STAMP DUTY - DAILY RETURN (FORM SD-1)

(Please complete this Return in English)

File no.		
Exchange Participant Firm ID		a
Exchange Participant Firm Name		b
Contact Person		c
Contact Phone No		d
Stamp Duty Payable for Trading Date of (yyyymmdd)		e
Points to note		
1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments and stocks exempted from stamp duty must be excluded from the reporting.		
2. The current stamp duty rate is 0.1%, rounded to the nearest dollar.		
Part A : Stamp Duty Payment Particulars		
	HK\$	
A1 Actual contract note stamp duty paid		f
A2 Total sales and purchases x stamp duty rate (excluding exempt stocks)		g
A3 Variance (A1 - A2)	0.00	h
Part B : Breakdown of Negative Variance Only		
<i>(Please fill in the correct amount against the appropriate reason)</i>		
	HK\$	
B1 Direct stamping at IRD		i
B2 Set off against credit refunded by IRD (Please provide original Allowance Ticket from IRD)		j
B3 Late trade amendments		k
B4 Others (e.g. exchange rate differences)		l
Reason(s) (maximum 100 characters):		
		m
B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4)	0.00	n
Part C : Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions)		
<i>(To be filled in by Exchange Participant if appropriate)</i>		
	HK\$	
C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2)		* o
C2 Add: Total sales and purchases of T-1 day input into Exchange System on T day x stamp duty rate		* p
C3 Less: Total sales and purchases of T day input into Exchange System on T+1 day x stamp duty rate		* q
C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM \$#.##"; for other reason(s) (if any) please separate by ";" with amount in \$#.##)	51,000.00	* r
Reason(s) (maximum 100 characters):		
DCMM \$50000.00; sales & purchases of T day input into T-2 day*stamp duty rate \$1000.00		
C5 Less: Others (please specify the reason(s))		* t
Reason(s) (maximum 100 characters):		
C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5)	51,000.00	u
* excluding Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock Transactions		
Part D : For Options Market Maker Jobbing Business Hedge Transactions		
<i>(To be filled in by Options Market Maker only)</i>		
	HK\$	
D1 Total sales and purchases of T day (as per Form SD-4) x stamp duty rate		# w
D2 Add: Total sales and purchases of T-1 day input into Exchange System on T day x stamp duty rate		# x
D3 Less: Total sales and purchases of T day input into Exchange System on T+1 day x stamp duty rate		# y
D4 Add: Others (please specify the reason(s))		# z
Reason(s) (maximum 100 characters):		
D5 Less: Others (please specify the reason(s))		# ab
Reason(s) (maximum 100 characters):		
D6 Adjusted total sales and purchases of T day x stamp duty rate (D1 + D2 - D3 + D4 - D5)	0.00	# ac
# Options Market Maker Jobbing Business Hedge Trades only		
Part E : For Exchange Traded Fund Underlying Stock Transactions		
<i>(To be filled in by Securities Market Maker / Exchange Participant with Exchange Traded Fund Underlying Stock Transactions only)</i>		
	HK\$	
E1 Total sales and purchases of T day (as per Form SD-6) x stamp duty rate		^ ae
E2 Add: Total sales and purchases of T-1 day input into Exchange System on T day x stamp duty rate		^ af
E3 Less: Total sales and purchases of T day input into Exchange System on T+1 day x stamp duty rate		^ ag
E4 Add: Others (please specify the reason(s))		^ ah
Reason(s) (maximum 100 characters):		
E5 Less: Others (please specify the reason(s))		^ ai
Reason(s) (maximum 100 characters):		
E6 Adjusted total sales and purchases of T day x stamp duty rate (E1 + E2 - E3 + E4 - E5)	0.00	^ ak
^ Exchange Traded Fund Underlying Stock Trades only		
Total for Part C, D and E	51,000.00	am