

香港聯合交易所有限公司  
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED  
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

Subject: **HONG KONG INVESTOR IDENTIFICATION REGIME (HKIDR) AND OVER-THE-COUNTER SECURITIES TRANSACTIONS REPORTING REGIME (OTCR) - WEBINAR AND PUBLICATION OF UPDATED TECHNICAL SPECIFICATION FOR HKIDR**

Enquiry: **Participant General Enquiry Hotline<sup>1</sup> (Tel: 2840 3626 E-mail: [trd@hkex.com.hk](mailto:trd@hkex.com.hk))**

Reference is made to the Exchange circular (Ref. No.: [CT/112/21](#)) regarding the implementation of the HKIDR.

### Webinar

The Securities and Futures Commission (SFC) will host two sessions of a webinar on the HKIDR and OTCR on 11 October 2021 and 12 October 2021 respectively. Exchange Participants (EPs) and other relevant licensed or registered persons<sup>2</sup> are requested to note and refer to the [circular](#) issued by the SFC for further details of the webinar, including online registration. Interested EPs and other relevant licensed or registered persons should complete and submit the [online registration form](#) **on or before 5 October 2021**.

### Updated Technical Specification for HKIDR

Please note that an updated version of the File Interface Specification for HKIDR, also covering the details of various reporting forms mentioned in the Information Paper (including BCAN Error Report, Aggregated Transaction Report, Off-exchange Trade BCAN (Buy-Side) Report, BCAN Change for LP Quote Report and BCAN Change Report), is now available on the HKIDR [web corner](#) for reference.

<sup>1</sup> All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

<sup>2</sup> Please refer to the SFC's circular on the webinar (which link is shown above) for the meaning of "relevant licensed or registered persons".

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