File Format and Technical Specification of the Form SD-1 for Electronic Submission via the Electronic Communication Platform (ECP)

Description: This is the data file specification of the Form SD-1 to be uploaded electronically by

Exchange Participants (EPs) to the Exchange through the ECP. The format of the data

file must strictly follow the specification.

File name: SD1_XXXXX_YYYYMMDD.CSV where:

XXXXX is the Firm ID of the EP¹

YYYYMMDD is the Trading Date in the format of YYYYMMDD for the Stamp

Duty Payable

File format: Comma separated valued file (CSV) with fixed number of records.

Detailed format of the data file:

At the File Level

• It must contain 79 rows and 7 fields perrow.

• The version number of the file must be the same as the one defined by HKEx. The current version is "20231116A" and the value must be found in Row 76, column D.

At the Row Level

• Each row must consist of 7 fields (each with a pair of double quotes followed by a comma) and end with a return character. As an example, if the actual contract note stamp duty payable by the EP is 0, then row 17 must be:

"A1 Actual contract note stamp duty paid", "", "", "0", "", "", "f",

At the Field Level

- All fields except for those highlighted in yellow in Tables 1 and 2 must follow the data content and format defined in Table 1 for the Form SD-1 in English or Table 2 for the Form SD-1 in Chinese.
- For the fields highlighted in yellow in Tables 1 and 2 / in Table 3:
 - They must follow the format and length defined in Table 3.
 - All except for the Reason fields (Fields 28A, 38A, 41A, 53A, 56A, 67A and 70A) are mandatory and must not be blank.
 - All Reason fields are optional (ie can be blank). They must be completed in English if required.
 - All numeric fields should default to '0' if no entry is required (i.e. it cannot be empty).
 - 1000 separators (i.e. ",") are not allowed for numeric fields.
 - All numeric fields except for Field 19D must be in absolute value. For example for Field 35D, if the value is less \$100, the field value must be "100" but not "-100".

¹ As an example, if the EP Firm ID of the EP is 0001, then XXXXX should be 00001.

Table 1: Layout of the SD1 File (English Version)

STAMP DUTY - DAILY RETURN (FORM SD-1)		Table 1: Layout of the SD1 File (English Version			_			
2 STAMP DUTY - DAILY RETURN (FORM SD-1) 3 (Please complete this Return in English) 4 File on. 5 Exchange Participant Firm ID 6 Fixchange Participant Firm Mame 7 Contact Prone No 8 Contact Prone No 9 Stump Duty Payable for Trading Date of (yyyymrudd) 10 11 Points to note 1 The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 12 approved trade amendments 13 and stocks exempted from stamp duty must be excluded from the reporting. 14 2. The current stamp duty rate is 0.1%, rounded to the nearest dollar. 15 15 16 Part A : Stamp Duty Payament Particulars 17 Al Actual contract note stamp duty paid 18 A2 Total sales and purchases x stamp duty rate (excluding exempt stocks) 19 A3 Variance (A1 - A2) 20 Part B : Breakdown of Negative Variance Only 21 Part B : Breakdown of Negative Variance Only 22 (Please fill in the correct amount against the appropriate reason) 18 Direct stamping at IRD 19 B 2 Set off against credit refunded by IRD (Please provide original Allowance Tricket from IRD) 25 B 3 Late crade amendments 26 B 4 Others (e.g. exchange rate differences) 27 Reason(s) (maximum 100 characters): 28 B 5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 C 2 Addi: Total sales and purchases of T day input into Exchange System on T-day x stamp duty rate 30 C 2 Add: Others (for DCMM, please specify the excemption amount in "DCMM \$5.8%"; for other reason(s) (flaximum 100 characters): 31 C 3 C 4 Add: Others (for DCMM, please specify the excemption amount in "DCMM \$5.8%"; for other reason(s) (flaximum 100 characters): 40 C 5 Addi: Total sales and purchases of T day input into Exchange System on T-day x stamp duty rate 41 C 5 C 4 C 4 C 5 C 5 C 5 C 5 C 5 C 5 C 5	Row\Col	A	В	C	D	E	F	G
File no.								
File.no. Exchange Participant Firm ID Exchange Participant Firm Name Contact Person Contact Phone No Stamp Duty Payable for Trading Date of (yyyymmdd) Points to note I. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments and stocks exempted from stamp duty must be excluded from the reporting. A The current stamp duty must be excluded from the reporting. A The current stamp duty payable shall be adjusted in respect of any approved trade amendments and stocks exempted from stamp duty must be excluded from the reporting. A The current stamp duty must be excluded from the reporting. A The current stamp duty payable to the nearest dollar. HK\$ A The Actual contract note stamp duty paid A A Actual contract note stamp duty paid A A A Actual contract note stamp duty paid A A Violat sales and purchases x stamp duty paid A A Violat sales and purchases x stamp duty paid A Stamp Duty Payment Particulars A The Breakdown of Negative Variance Only Chesse fill in the correct amount against the appropriate reason) B Direct stamping at IRD B Stet off against credit refunded by IRD (Please provide original Allowance Ticket from IRD) B Stet off against credit refunded by IRD (Please provide original Allowance The Chesse (e.g. exchange rate differences) B Stet off against credit refunded by IRD (Please provide original Allowance The Chesse (e.g. exchange rate differences) B Stet of Total sales and purchases of Total vinguation of the Allowance The Chesse (e.g. exchange rate differences) Charles (e.g. exchange rate differences) B Stet of Total sales and purchases of Total vinguation of Exchange System on Charles (e.g. exchange Participant if appropriate)	2							
5 Exchange Participant Firm ID 6 Exchange Participant Firm Name 7 Contact Person 8 Contact Person 9 Contact Proton No 8 Contact Proton No 9 Stamp Duty Payable for Trading Date of (yyyymmdd) 10 Points to note 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 11 and stocks exempted firm stamp duty must be excluded from the reporting. 12 The current stamp duty rate is 0.1%, rounded to the nearest dollar. 15 Part A : Stamp Duty Payment Particulars 16 Part A : Stamp Duty Payment Particulars 17 A1 Actual contract note stamp duty paid 18 A2 Total sales and purchases x stamp duty paid 19 A3 Variance (A1 - A2) 10 Part B : Breakdown of Negative Variance Only 20 Person B : Breakdown of Negative Variance Only 21 Part B : Breakdown of Negative Variance Only 22 (Please fill in the correct amount tagainst the appropriate reason) 23 B1 Direct stamping at IRD 24 Ticket from IRD) 25 B3 late trade amendments 26 B4 Others (e.g. exchange rate differences) 27 Reason(s) (maximum 100 characters): 28 B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 Part C : Non Options Market Maker Transactions Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 31 Part C : Non Options Market Maker Transactions Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 4 Tagy a stamp duty rate 4 C3 Less: Total sales and purchases of T day input into Exchange System on T-1 day stamp duty rate 5 C4 Add: Total sales and purchases of T day input into Exchange System on T-1 day a stamp duty rate 6 C4 Add: Total sales and purchases of T day input into Exchange System on T-1 day a stamp duty rate 7 C5 Less: Citlers (please specify the reason(s)) 8 Part C : Non Options Market Maker Transactions (Including DCMM Transactions) 9 C7 C8 C8 C9	3	(Please complete this Return in English)						
6 Exchange Participant Firm Name 7 Contact Person 8 Contact Phone No 9 Stamp Duty Payable for Trading Date of (yyyymmdd) 10 11 Points to note 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments and stocks exempted from the reporting. 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendment and stocks exempted from the reporting. 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendment and stocks exempted from the reporting. 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendment and stocks exempted stocks. 1. The amount of stamp duty payable stocks and payable shall be adjusted in respect of any approved trade amendment and stocks and payable shall be adjusted in respect of any approved trade amendment and approved trade approved and approved trade approved and approved to the nearest dollar. 1. The approved trade approved to the nearest dollar. 1. The approved trade approved to the nea								
7 Contact Person 8 Contact Prione No 9 Stamp Duty Payable for Trading Date of (yyyymmdd) 10 11 Points to note 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 13 and stocks exempted from stamp duty must be excluded from the reporting. 14 2. The current stamp duty rate is 0.1%, rounded to the nearest dollar. 15 16 Part A: Stamp Duty Payment Particulars 17 Al Actual contract note stamp duty paid 18 A2 Total sales and purchases x stamp duty rate (excluding exempt stocks) 19 A3 Variance (AI - A2) 20 10 Part B: Breakdown of Negative Variance Only 21 Part B: Breakdown of Negative Variance Only 22 (Please fill in the correct amount against the appropriate reason) 23 B1 Direct stamping at IRD 25 B3 Set off against credit refunded by IRD (Please provide original Allowance 24 Ticket from IRD) 25 B3 Late trade amendments 26 B4 Others (e.g. exchange rate differences) 27 Reason(s) (maximum 100 characters): 28 29 B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 41 Part C: Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 31 Part C: Non Options Market Maker Transactions/Exchange System on Total sales and purchases of T-1 day input into Exchange System on Total valve stamp duty rate 31 C1 to falled in by Exchange Participant if appropriate) 32 (To be filled in by Exchange System on Total valve stamp duty rate 33 C1 Total sales and purchases of T-1 day input into Exchange System on Total valve stamp duty rate 34 C4 Add: Others (for DCMM, please spearate by "," with amount in \$\text{#,#}) 35 Reason(s) (maximum 100 characters): 36 C4 Add: Others (for DCMM, please spearate by "," with amount in \$\text{#,#}) 37 Reason(s) (maximum 100 characters): 38 C5 Less: Tothers (please specify the exemption amount in "DCMM \$\text{#,#}) 39 C5 Less: Tothers (please specify the reason(s)) 40 Reason(s) (maximum 100 characters): 41 C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 -	5	Exchange Participant Firm ID						a
8 Contact Phone No 9 Stump Duty Payable for Trading Date of (yyyymmdd) 10 11 Points to note 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 13 and stocks exempted from stamp duty must be excluded from the reporting. 14 2. The current stamp duty rate is 0.1%, rounded to the nearest dollar. 15 16 Part A: Stamp Duty Payment Particulars 17 A1 Actual contract note stamp duty paid 18 A2 Total sales and purchases x stamp duty rate (excluding exempt stocks) 19 A3 Variance (A1 - A2) 20 10 21 Part B: Breakdown of Negative Variance Only 21 (Please fill in the correct amount against the appropriate reason) 22 (Please fill in the correct amount against the appropriate reason) 33 Late trade amendments 4 Reason(s) (maximum 100 characters): 28 B4 Others (e.g. exchange rate differences) 29 B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 Part C: Non Options Market Maker Transactions Exchange Traded Fund Underlying Stock Transactions (Including DefMM Transactions) 31 C1 Ob filled in by Exchange Particular if appropriate (Schange System on T-1 day x stamp duty rate (ax Part A) item A2 32 C1 Obe filled in by Exchange Particular if appropriate (Schange System on T-1 day x stamp duty rate 33 C2 Add: Total sales and purchases of T day input into Exchange System on T-1 day x stamp duty rate 34 C3 C3 C4 Add: Total sales and purchases of T day input into Exchange System on T-1 day x stamp duty rate 35 C5 Less: Others (please specify the reason(s)) 46 Reason(s) (maximum 100 characters): 47 C6 Add: Others (exchange Particulars) Private (ax Part A item A2) 47 C7 C3 - C4 - C5) 48 C6 Add: Others (polonos Market Maker Exercised Trades and Hedge Trades 49 Othors (maximum 100 characters): 40 C7 Add: Others (polonos Market Maker Exercised Trades and Hedge Trades 40 (Options Market Maker 41 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock 41 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	6	Exchange Participant Firm Name						b
9 Stamp Duty Payable for Trading Date of (yyyymmdd) 10 11 Points to note 11 Points to note 11. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 12 approved trade amendments 13 and stocks exempted from stamp duty must be excluded from the reporting. 14 2. The current stamp duty rate is 0.1%, rounded to the nearest dollar. 15 16 Part A: Stamp Duty Payment Particulars 17 Al Actual contract note stamp duty paid 18 A2 Total sales and purchases x stamp duty rate (excluding exempt stocks) 19 A3 Variance (A1 - A2) 20 21 Part B: Breakdown of Negative Variance Only 22 (Please fill in the correct amount against the appropriate reason) 23 B1 Direct stamping at IRD 24 B2 Set off against credit refunded by IRD (Please provide original Allowance Ticket from IRD) 25 B3 Late trade amendments 26 B4 Others (e.g. exchange rate differences) 27 Reason(s) (maximum 100 characters): 28 B3 Net total (equal or more than Vuriance in Part A) (B1 + B2 + B3 + B4) 30 C1 Total sales and purchases of T-1 day input into Exchange System on T-1 day x stamp duty rate 27 C2 Add: Total sales and purchases of T-1 day input into Exchange System on T-1 day x stamp duty rate 28 C3 Less: Total sales and purchases of T-1 day input into Exchange System on T-1 day x stamp duty rate 39 C3 Less: Others (for DCMM, please specify the exemption amount in "DCMM S.##"; for other reason(s) (if any) please separate by "" with amount in \$##) 30 Reason(s) (maximum 100 characters): 31 C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM S.##"; for other reason(s) (if any) please separate by "" with amount in \$##) 38 C5 Less: Others (please specify the reason(s)) 40 Reason(s) (maximum 100 characters): 41 C6 Adjusted total sales and purchases of T-1 day x stamp duty rate (C1 + C2 - C3 + C4 - C5) 42 C7 - C6 (maximum 100 characters): 43 C6 Adjusted total sales and purchases of T-1 day x stamp duty rate (C1 + C2 - C3 + C4 - C5) 44 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	7							c
10 Points to note 1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 13 and stocks exempted from stamp duty must be excluded from the reporting. 14 2. The current stamp duty rate is 0.1%, rounded to the nearest dollar. 15 16 Part A: Stamp Duty Payment Particulars 17 A1 Actual contract note stamp duty paid 18 A2 Total sales and purchases v stamp duty rate (excluding exempt stocks) 19 A3 Variance (A1 - A2) 20 21 Part B: Breakdown of Negative Variance Only 21 Part B: Breakdown of Negative Variance Only 22 (Please fill in the correct amount against the appropriate reason) 23 B1 Direct stamping at IRD 24 Eyes for off against credit refunded by IRD (Please provide original Allowance 25 B2 Set off against credit refunded by IRD (Please provide original Allowance 26 B4 Others (e.g. exchange rate differences) 27 Reason(s) (maximum 100 characters): 28 B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 31 Part C: Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Indig DeCMM Transactions) 32 (To be filled in by Exchange Participant if appropriate) 33 C1 Total sales and purchases of T-1 day input into Exchange System on 34 T-1 day x stamp duty rate 35 C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM SAM"; for other reason(s) (If any) please separate by "; with amount in \$8.4%) 38 C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM SAM"; for other reason(s) (If any) please separate by "; with amount in \$8.4%) 40 Reason(s) (maximum 100 characters): 41 C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) 42 C7 Add: Others (for DCMM, please specify the exemption amount in "DCMM SAM"; for other reason(s) (If any) please separate by "; with amount in \$8.4%) 41 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	8							d
1. The amount of stamp duty payable shall be adjusted in respect of any 12 approved trade amendments 13 and stocks exempted from stamp duty must be excluded from the reporting. 14 2. The current stamp duty rate is 0.1%, rounded to the nearest dollar. 15 16 Part A: Stamp Duty Payment Particulars 17 Al Actual contract note stamp duty paid 18 A2 Total sales and purchases x stamp duty rate (excluding exempt stocks) 19 A3 Variance (A1 - A2) 20 21 Part B: Breakdown of Negative Variance Only 22 (Please fill in the correct amount against the appropriate reason) 23 B1 Direct stamping at IRD 25 ES coff against credit refunded by IRD (Please provide original Allowance 24 Ticket from IRD) 25 B3 Late trade amendments 26 B4 Others (e.g. exchange rate differences) 27 Reason(s) (maximum 100 characters): 28 B3 D Fort C: Non Options Market Maker Transactions Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 26 C Add: Total sales and purchases of T-I day x stamp duty rate (as Part A item A2) 27 C Add: Total sales and purchases of T-I day input into Exchange System on T-I day x stamp duty rate 28 C Add: Others (e.g. exchange participant if appropriate) 39 C S Less: Total sales and purchases of T-I day input into Exchange System on T-I day x stamp duty rate 30 C Add: Others (e.g. for DCMM, please specify the exemption amount in "DCMM S#.##"; for other reason(s) (if any) please separate by ";" with amount in S#.##) 30 C S Less: Others (please specify the reason(s)) 31 C S Less: Others (please specify the reason(s)) 42 C S Less: Others (please specify the reason(s)) 43 C C Add; Others (please specify the reason(s)) 44 C C Add: Others (reason(s)) and Exchange Traded Fund Underlying Stock Data States and Durchases of T-I day x stamp duty rate 45 C Addi: Others (reason(s)) and Exchange System on T-I day x stamp duty rate 46 C Add: Others (reason(s)) and Exchange System on T-I day and T-I	9	Stamp Duty Payable for Trading Date of (yyyymmdd)						e
1. The amount of stamp duty payable shall be adjusted in respect of any approved trade amendments 13 and stocks exempted from stamp duty must be excluded from the reporting. 14 2. The current stamp duty rate is 0.1%, rounded to the nearest dollar. 15 16 Part A: Stamp Duty Payment Particulars 17 Al Actual contract note stamp duty paid 18 A2 Total sales and purchases x stamp duty rate (excluding exempt stocks) 19 A3 Variance (A1 - A2) 20	10							
13 and stocks exempted from stamp duty must be excluded from the reporting. 14 2. The current stamp duty rate is 0.1%, rounded to the nearest dollar. 15 16 Part A : Stamp Duty Payment Particulars HKS	11							
2. The current stamp duty rate is 0.1%, rounded to the nearest dollar. 15	12							
15 16 Part A : Stamp Duty Payment Particulars 17 A1 Actual contract note stamp duty paid 18 A2 Total sales and purchases x stamp duty rate (excluding exempt stocks) 19 A3 Variance (A1 - A2) 20 21 Part B : Breakdown of Negative Variance Only 22 (Please fill in the correct amount against the appropriate reason) 23 B1 Direct stamping at IRD 24 E5 et off against credit refunded by IRD (Please provide original Allowance 24 Ticket from IRD) 25 B3 Late trade amendments 26 B4 Others (e.g. exchange rate differences) 27 Reason(s) (maximum 100 characters): 28 29 B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 31 Part C : Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 32 (To be filled in by Exchange Participant if appropriate) 33 C1 Total sales and purchases of T-1 day input into Exchange System on T day x stamp duty rate 34 C3 Less: Total sales and purchases of T day input into Exchange System on T day x stamp duty rate 35 C4 Add: Others (if or DCMM, please separate by '.' with amount in "DCMM \$#.#"; for other reason(s) (if any) please separate by '.' with amount in "DCMM \$#.#"; for other reason(s) (if any) please separate by '.' with amount in "DCMM \$#.#"; for other reason(s) (if any) please separate by '.' with amount in "DCMM \$#.#"; for other reason(s) (if any) please separate by '.' with amount in "DCMM \$#.#"; for other reason(s) (if any) please separate by '.' with amount in "DCMM \$#.#"; for other reason(s) (if any) please separate by '.' with amount in "DCMM \$#.#"; for other reason(s) (if any) please separate by '.' with amount in "DCMM \$#.#"; for other reason(s) (if any) please separate by '.' with amount in "DCMM \$#.#"; for other reason(s) (if any) please separate by '.' with amount in "DCMM \$#.#"; for other reason(s) (if any) please separate by '.' with amount in "DCMM \$#.#"; for other reason(s) (if any) please separate by '.' with amount in "DCMM \$#.#"; with amount in "DCMM \$#.#"; with amount in "DCMM \$#.#"; with	13	and stocks exempted from stamp duty must be excluded from the reporting.						
16 Part A: Stamp Duty Payment Particulars 17 Al Actual contract note stamp duty paid 18 A2 Total sales and purchases x stamp duty rate (excluding exempt stocks) 19 A3 Variance (A1 - A2) 20 21 Part B: Breakdown of Negative Variance Only 22 (Please fill in the correct amount against the appropriate reason) 23 B1 Direct stamping at IRD 24 Execution IRD) 25 B2 Set off against credit refunded by IRD (Please provide original Allowance 26 Ticket from IRD) 27 Reason(s) (maximum 100 characters): 28 B3 Late trade amendments 29 B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 B7 Part C: Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 31 Part C: Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 32 (To be filled in by Exchange Participant if appropriate) 33 C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2) 34 T day x stamp duty rate 35 C2 Add: Total sales and purchases of T day input into Exchange System on 36 C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM ###"; for other reason(s) (if any) please separate by ";" with amount in "S##) 37 Reason(s) (maximum 100 characters): 38 C5 Less: Others (please specify the reason(s)) 40 Reason(s) (maximum 100 characters): 41 C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C4) (Options Market Maker Exercised Trades and Hedge Trades 40 (Options Market Maker	14	2. The current stamp duty rate is 0.1%, rounded to the nearest dollar.						
17 A1 Actual contract note stamp duty paid 18 A2 Total sales and purchases x stamp duty rate (excluding exempt stocks) 19 A3 Variance (A1 - A2) 20 21 Part B: Breakdown of Negative Variance Only 22 (Please fill in the correct amount against the appropriate reason) 23 B1 Direct stamping at IRD 24 Ticket from IRD) 25 B2 Set off against credit refunded by IRD (Please provide original Allowance 24 Ticket from IRD) 25 B3 Late trade amendments 26 B4 Others (e.g. exchange rate differences) 27 Reason(s) (maximum 100 characters): 28 29 B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 31 Part C: Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 32 (To be filled in by Exchange Participant if appropriate) 33 C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2) 34 C2 Add: Total sales and purchases of T-1 day input into Exchange System on 35 T+1 day x stamp duty rate 36 C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM \$#.##"; for other reason(s) (if any) please separate by ";" with amount in S#.##) 37 Reason(s) (maximum 100 characters): 40 Reason(s) (maximum 100 characters): 41 C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) 42 C3 + C4 - C5) 43 (Options Market Maker Exercised Trades and Hedge Trades 44 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	15							
18 A2 Total sales and purchases x stamp duty rate (excluding exempt stocks) 19 A3 Variance (A1 - A2) 20	16	Part A : Stamp Duty Payment Particulars			HK\$			
19 A3 Variance (A1 - A2) 20	17	A1 Actual contract note stamp duty paid						f
20 Part B : Breakdown of Negative Variance Only 22 (Please fill in the correct amount against the appropriate reason) HK\$ 1 2 2 (Please fill in the correct amount against the appropriate reason) HK\$ 1 2 2 2 (Please fill in the correct amount against the appropriate reason) HK\$ 1 2 2 2 3 B I Direct stamping at IRD 1 3 2 4 Ticket from IRD) 1 2 5 B 3 Late trade amendments 2 4 4 2 2 2 2 2 2	18	A2 Total sales and purchases x stamp duty rate (excluding exempt stocks)						g
21 Part B: Breakdown of Negative Variance Only 22 (Please fill in the correct amount against the appropriate reason) 23 B1 Direct stamping at IRD 24 Ticket from IRD) 25 B3 Late trade amendments 26 B4 Others (e.g. exchange rate differences) 27 Reason(s) (maximum 100 characters): 28 29 B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 31 Part C: Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 32 (To be filled in by Exchange Participant if appropriate) 33 C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2) 35 T day x stamp duty rate 36 C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM S#.##"; for other reason(s) (if any) please separate by ";" with amount in \$#.##) 37 Reason(s) (maximum 100 characters): 40 Reason(s) (maximum 100 characters): 41 C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) 42 (Options Market Maker Exercised Trades and Hedge Trades 44 Jobbing Business Transactions) and Exchange Traded Hund Underlying Stock 44 Jobbing Business Transactions on and Exchange Traded Fund Underlying Stock 45 Options Market Maker 46 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	19	A3 Variance (A1 - A2)						h
22 (Please fill in the correct amount against the appropriate reason)	20							
B1 Direct stamping at IRD B2 Set off against credit refunded by IRD (Please provide original Allowance Ticket from IRD) J B3 E3 Late trade amendments B4 Others (e.g. exchange rate differences) Reason(s) (maximum 100 characters): B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) B7 Part C: Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) B7 C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2) C2 Add: Total sales and purchases of T-1 day input into Exchange System on T-1 day x stamp duty rate C3 Less: Total sales and purchases of T-1 day input into Exchange System on T-1 day x stamp duty rate C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM S#.##"; for other reason(s) (if any) please separate by "." with amount in S#.##) Reason(s) (maximum 100 characters): C5 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) * excluding Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker Jobbing Bursiness Transactions) and Exchange Traded Fund Underlying Stock	21	Part B: Breakdown of Negative Variance Only						
B2 Set off against credit refunded by IRD (Please provide original Allowance Ticket from IRD) B3 Late trade amendments Reason(s) (maximum 100 characters): B4 Others (e.g. exchange rate differences) Reason(s) (maximum 100 characters): B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) The part C: Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) The part C: Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) To be filled in by Exchange Participant if appropriate) C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2) C2 Add: Total sales and purchases of T-1 day input into Exchange System on T day x stamp duty rate C3 Less: Total sales and purchases of T day input into Exchange System on T+1 day x stamp duty rate C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM S#.##"; for other reason(s) (if any) please separate by ";" with amount in S#.##) Reason(s) (maximum 100 characters): S C5 Less: Others (please specify the reason(s)) Reason(s) (maximum 100 characters): C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) * excluding Options Market Maker C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) * excluding Options Market Maker Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	22	(Please fill in the correct amount against the appropriate reason)			HK\$			
Ticket from IRD) 25 B3 Late trade amendments 26 B4 Others (e.g. exchange rate differences) 27 Reason(s) (maximum 100 characters): 28 29 B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 31 Part C: Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 32 (To be filled in by Exchange Participant if appropriate) 33 C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2) 4 C2 Add: Total sales and purchases of T-1 day input into Exchange System on 35 T-1 day x stamp duty rate 4 C3 Less: Total sales and purchases of T day input into Exchange System on 35 T+1 day x stamp duty rate 36 C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM \$#.##"; for other reason(s) (if any) please separate by ";" with amount in \$#.##) 37 Reason(s) (maximum 100 characters): 38 39 C5 Less: Others (please specify the reason(s)) 40 Reason(s) (maximum 100 characters): 41 42 C3 + C4 - C5) * excluding Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker 44 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	23	B1 Direct stamping at IRD						i
25 B3 Late trade amendments 26 B4 Others (e.g. exchange rate differences) 27 Reason(s) (maximum 100 characters): 28								
26 B4 Others (e.g. exchange rate differences) 27 Reason(s) (maximum 100 characters): 28 29 B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 31 Part C: Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 32 (To be filled in by Exchange Participant if appropriate) 33 C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2) 34 C2 Add: Total sales and purchases of T-1 day input into Exchange System on 35 T+1 day x stamp duty rate 36 C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM \$#.##"; for other reason(s) (if any) please separate by ";" with amount in \$#.##) 37 Reason(s) (maximum 100 characters): 38 39 C5 Less: Others (please specify the reason(s)) 40 Reason(s) (maximum 100 characters): 41 42 C3 + C4 - C5) 43 (Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker) 44 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock								j
27 Reason(s) (maximum 100 characters): 28 29 B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 31 Part C : Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 32 (To be filled in by Exchange Participant if appropriate) 33 C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2) 4 C2 Add: Total sales and purchases of T-1 day input into Exchange System on T day x stamp duty rate C3 Less: Total sales and purchases of T day input into Exchange System on T+1 day x stamp duty rate 35 C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM \$#.##"; for other reason(s) (if any) please separate by ";" with amount in \$#.##) 37 Reason(s) (maximum 100 characters): 38 39 C5 Less: Others (please specify the reason(s)) 40 Reason(s) (maximum 100 characters): 41 42 C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) 43 (Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker) 44 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock								k
28	26							1
B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4) 30 31 Part C : Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 32 (To be filled in by Exchange Participant if appropriate) 33 C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2) C2 Add: Total sales and purchases of T-1 day input into Exchange System on T day x stamp duty rate C3 Less: Total sales and purchases of T day input into Exchange System on T-1 day x stamp duty rate C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM s#.##"; for other reason(s) (if any) please separate by ";" with amount in \$#.##) Reason(s) (maximum 100 characters): 38 39 C5 Less: Others (please specify the reason(s)) 40 Reason(s) (maximum 100 characters): 41 C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) * excluding Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker	27	Reason(s) (maximum 100 characters):						
30 31 Part C: Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions) 32 (To be filled in by Exchange Participant if appropriate) 33 C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2) 34 T day x stamp duty rate 35 C3 Less: Total sales and purchases of T day input into Exchange System on 36 C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM \$#.##"; for other reason(s) (if any) please separate by ";" with amount in \$#.##) 37 Reason(s) (maximum 100 characters): 38 S 39 C5 Less: Others (please specify the reason(s)) 40 Reason(s) (maximum 100 characters): 41 C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) 42 C3 + C4 - C5) 43 (Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker) 44 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock								m
Part C : Non Options Market Maker Transactions/Exchange Traded Fund Underlying Stock Transactions (Including DCMM Transactions)		B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4)						n
Underlying Stock Transactions (Including DCMM Transactions) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant if appropriate) (To be filled in by Exchange Participant it appropriate) (To be filled in by Exchange Part A item A2) (To be filled in by Exchange Part A item A2) (To be filled in by Exchange Part A item A2) (To be filled in by Exchange Part A item A2) (To be filled in by Exchange Part A item A2) (To be filled in by Exchange Part A item A2) (To be filled in between A2 (To be filled in the								
C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2) C2 Add: Total sales and purchases of T-1 day input into Exchange System on T day x stamp duty rate C3 Less: Total sales and purchases of T day input into Exchange System on T+1 day x stamp duty rate C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM \$#.##"; for other reason(s) (if any) please separate by ";" with amount in \$#.##) Reason(s) (maximum 100 characters): S5 C5 Less: Others (please specify the reason(s)) Reason(s) (maximum 100 characters): C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) * excluding Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	31	Underlying Stock Transactions (Including DCMM Transactions)						
C2 Add: Total sales and purchases of T-1 day input into Exchange System on T day x stamp duty rate C3 Less: Total sales and purchases of T day input into Exchange System on T+1 day x stamp duty rate C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM ##.#"; for other reason(s) (if any) please separate by ";" with amount in \$#.##) Reason(s) (maximum 100 characters): S C5 Less: Others (please specify the reason(s)) Reason(s) (maximum 100 characters): U C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) * excluding Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	32				HK\$			
T day x stamp duty rate C3 Less: Total sales and purchases of T day input into Exchange System on T+1 day x stamp duty rate 36 C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM \$#.##"; for other reason(s) (if any) please separate by ";" with amount in \$#.##) 37 Reason(s) (maximum 100 characters): 38	33					*		0
35 T+1 day x stamp duty rate	34	T day x stamp duty rate				*		p
36 C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM \$#.##"; for other reason(s) (if any) please separate by ";" with amount in \$#.##) 37 Reason(s) (maximum 100 characters): 38	25					st.		
Reason(s) (maximum 100 characters): S S C5 Less: Others (please specify the reason(s)) Reason(s) (maximum 100 characters): U C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) * excluding Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock		C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM						q r
39 C5 Less: Others (please specify the reason(s))	37							
40 Reason(s) (maximum 100 characters): 41	38							s
C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) * excluding Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker 43 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	39	C5 Less: Others (please specify the reason(s))				*		t
C6 Adjusted total sales and purchases of T day x stamp duty rate (C1 + C2 - C3 + C4 - C5) * excluding Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	40	Reason(s) (maximum 100 characters):						
42 C3 + C4 - C5) * excluding Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker 43 (Options Business Transactions) and Exchange Traded Fund Underlying Stock	41							u
* excluding Options Market Maker Exercised Trades and Hedge Trades (Options Market Maker 43 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	42							v
44 Jobbing Business Transactions) and Exchange Traded Fund Underlying Stock	43	* excluding Options Market Maker Exercised Trades and Hedge Trades						
Transcerons	44	* *						

Row\Col	A	В	C	D	E	F	G
45							
46	Part D : For Options Market Maker Jobbing Business Hedge Transactions						
47	(To be filled in by Options Market Maker only)			HK\$			
48	D1 Total sales and purchases of T day (as per Form SD-4) x stamp duty rate				#		W
	D2 Add: Total sales and purchases of T-1 day input into Exchange System on						
49	T day x stamp duty rate	<u> </u>			#		X
50	D3 Less: Total sales and purchases of T day input into Exchange System on T+1 day x stamp duty rate				#		**
51	D4 Add: Others (please specify the reason(s))				#		у
52	Reason(s) (maximum 100 characters):				#		Z
53	Reason(s) (maximum 100 characters):						00
54	D5 Less: Others (please specify the reason(s))				#		aa
55	Reason(s) (maximum 100 characters):				#		ab
56	Reason(s) (maximum 100 characters):						
30	D6 Adjusted total sales and purchases of T day x stamp duty rate (D1 + D2 -						ac
57	Do Adjusted total sales and purchases of T day λ stamp duty rate (D1 + D2 - D3 + D4 - D5)				#		ad
58	# Options Market Maker Jobbing Business Hedge Trades only						
59							
60	Part E: For Exchange Traded Fund Underlying Stock Transactions						
61	(To be filled in by Securities Market Maker / Exchange Participant with Exchange Traded Fund Underlying Stock Transactions only)			HK\$			
62	E1 Total sales and purchases of T day (as per Form SD-6) x stamp duty rate				٨		ae
62	E2 Add: Total sales and purchases of T-1 day input into Exchange System on				٨		c
63	T day x stamp duty rate				^		af
64	E3 Less: Total sales and purchases of T day input into Exchange System on T+1 day x stamp duty rate				^		ag
65	E4 Add: Others (please specify the reason(s))				٨		ah
66	Reason(s) (maximum 100 characters):						
67		ļ					ai
68	E5 Less: Others (please specify the reason(s))				٨		aj
69	Reason(s) (maximum 100 characters):						
70		ļ					ak
71	E6 Adjusted total sales and purchases of T day x stamp duty rate (E1 + E2 - E3 + E4 - E5)				^		al
72	^ Exchange Traded Fund Underlying Stock Trades only						
73							
74	Total for Part C, D and E						am
75							
76	-			(Version:20231116A)			
77							
	Authorised Signature with Company Chop : Signature Date :						
78	(Company Chop and Signature Are Not Required for Electronic Submission						
79	via the ECP)						

Table 2: Layout of the SD1 File (Chinese Version)

Row\Col	A	В	C	D	E	F	G
1							
2	印花稅 - 日報表 (表格 SD-1)						
3	(請用英文填寫本表格)						
4	檔案編號					\neg	
5	交易所參與者公司代號						a
6	交易所參與者公司名稱						b
7	聯絡人					\neg	С
8	聯絡電話號碼					\neg	d
9	有關應繳印花稅之交易日為(年年年月月日日)					\dashv	e
10	73190000000 1 1 1 1 1 7 3 7 3 H H 7					\dashv	
11	注意事項					\dashv	
12	1.應繳的印花稅額須就已獲准修正的任何錯誤成交作出調整						
13	及毋須繳納香港印花稅的證券必須不包括於呈報。					\dashv	
14	2. 現時的印花稅率為 0.1%, 不足一元亦作一元計。					\dashv	
15						\dashv	
16	甲部:繳付印花稅資料						
17	A1 實際繳付之成交單印花稅稅款			/Em()L)		\dashv	f
18	A2 總成交額 X 印花稅率(不包括毋須繳納香港印花稅的證券)					-	
19	A3 差額 (A1 - A2)					-	g h
20	A3 左 俶 (A1 - A2)						11
20	乙部:負數差額詳情					_	
22	(請根據合適理由填寫正確之金額)			港幣(元)			
23	B1 直接在稅務局辦理印花手續			冶帘(几)		-	i
23						-	1
24	B2 抵銷稅務局退還款額 (請提供由稅務局發出之印花退換券正本)						:
24	B3 遲誤交易修正					-	j 1-
25	B4 其他 (例如:匯率差價)						k
26						_	1
27	理由 (限於 100 字內):					_	
28	D5 必要 (和效共产权共和的主要) (D1 , D2 , D2 , D4)					_	m
29	B5 淨額 (相等於或多於甲部之差額) (B1 + B2 + B3 + B4)					_	n
30	工物, 大胆小即再把缝, 它只必要害女人和眼子叩之只, 与好嫌悔, 么					_	
31	丙部:有關非股票期權/交易所買賣基金相關正股交易(包括雙櫃台 莊家交易)						
32	(由交易所參與者填寫,如適用)			港幣(元)		_	
33	C1 T 交易日總成交額 X 印花稅率 (如甲部第A2 項)			/官市(八二)	*	-	0
33	C2 加: 在T 交易日才輸入交易系統的T 交易日前一天(T-1 交易				-	_	U
34	C2 加·在1 父易日才 聊入父易系統的1 父易日刖一大(1-1 父易日)成交額 X 印花稅率				*		
34	C3 減:在T 交易日後一天(T+1 交易日)才輸入交易系統的T 交易					-	р
35	C5 减、在1 交易口後一人(1+1 交易口)/7 輸入交易系統的1 交易 日成交額 X 印花稅率				*		a
36	C4 加:其他 (雙櫃台莊家交易需以"DCMM \$#.##"注明豁免金額;				*	_	q
30	其他理由(如有)請以";"分隔,並以\$#.##注明金額)						r
37	理由(限於100字內):					\dashv	
38	- 					\dashv	S
39	C5 減: 其他 (請註明理由)				*	\dashv	t
40	理由 (限於 100 字內):					\dashv	
41	★田 (kg)(100 土に1)。				\vdash	\dashv	u
42	C6 修正T 交易日總成交額 X 印花稅率 (C1 + C2 - C3 + C4 - C5)					_	
42	* 不包括股票期權莊家之行使交易及對沖交易(股票期權莊家					\dashv	V
43	个已10仅示别惟社豕之11 医又勿及到冲又勿(权示别惟社豕						ı

Row\Col	A	В	C	D	E	F	G
44	證券經銷業務交易)及交易所買賣基金相關正股交易						
45							
46	丁部:有關期權莊家證券經銷業務對沖交易						
47	(只由期權莊家填報)			港幣(元)			
48	D1 T 交易日總成交額(即如同表格SD-4)X 印花稅率				#		W
	D2 加:在T 交易日才輸入交易系統的T 交易日前一天(T-1 交易						
49	日)成交額 X 印花稅率				#		X
	D3 减:在T 交易日後一天(T+1 交易日)才輸入交易系統的T 交易						
50	日成交額X印花稅率				#		У
51	D4 加: 其他 (請註明理由)				#		Z
52	理由 (限於 100 字內):						<u> </u>
53	D5 试·甘州 (建計田田中)				ш		aa
54 55	D5 減: 其他 (請註明理由) 理由 (限於 100 字內):				#		ab
56	理田 (RK N 100 子内) ·						0.0
57	D6 修正T 交易日總成交額 X 印花稅率 (D1 + D2 - D3 + D4 - D5)				#		ac ad
58	#股票期權莊家證券經銷業務對沖交易				π		au
59	"						
60	戊部:有關交易所買賣基金相關正股交易						
61	(只由證券莊家 / 交易所參與者之交易所買賣基金相關正股交易填			港幣(元)			
01	報)			74177 (74)			
62	E1 T交易日總成交額(即如同表格SD-6)X 印花稅率				٨		ae
	E2 加:在T 交易日才輸入交易系統的T 交易日前一天(T-1 交易						
63	日)成交額 X 印花稅率				^		af
	E3 減:在T 交易日後一天(T+1 交易日)才輸入交易系統的T 交易						
64	日成交額X印花稅率				^		ag
65	E4 加:其他 (請註明理由)				^		ah
66	理由 (限於 100 字內):						
67							ai
68	E5 減:其他 (請註明理由)				^		aj
69	理由 (限於 100 字內):						
70	P. T 六日日知畝体/原子之類 V 江北公安 (E1 , E2 , E2 , E4 , E5)						ak
71	E6 T 交易日調整後總成交額 X 印花稅率 (E1 + E2 - E3 + E4 - E5)				^		al
72 73	^ 交易所買賣基金相關正股交易						
13							am
74	總額(丙部+丁部+戊部)						alli
75							
76				(版本:20231116A)			
77				,			
	授權人簽署連公司印鑑:						
	簽						
78	署日期:						
79	(經電子通訊平台以電子形式遞交不需公司印鑑及簽署)						

Table 3: Specification of Individual Input Fields

Row	Column	Field Description	Length ²	Remarks
4	D	File no.	X(15)	
5	D	Exchange Participant Firm ID	X(5)	Same as the EP Firm ID embedded in the file name of this CSV file
6	D	Exchange Participant Firm Name	X(100)	
7	D	Contact Person	X(30)	
8	D	Contact Phone No	X(15)	
9	D	Stamp Duty Payable for Trading Date of (yyyymmdd)	9(8)	Same as the date embedded in the file name of this CSV file and in YYYYMMDD format.
17	D	A1 Actual contract note stamp duty paid	9(11,2)	This amount will be used to generate electronic payment instruction (EPI) for stamp duty payment. The amount shall be rounded up to the nearest integer.
18	D	A2 Total sales and purchases x stamp duty rate (excluding exempt stocks)	9(11,2)	
19	D	A3 Variance (A1 - A2)	9(11,2)	A1 - A2
23	D	B1 Direct stamping at IRD	9(11,2)	
24	D	B2 Set off against credit refunded by IRD (Please provide original Allowance Ticket from IRD)	9(11,2)	
25	D	B3 Late trade amendments	9(11,2)	
26	D	B4 Others (e.g. exchange rate differences)	9(11,2)	
28	A	Reason(s) (maximum 100 characters):	X(100)	
29	D	B5 Net total (equal or more than Variance in Part A) (B1 + B2 + B3 + B4)	9(12,2)	B1 + B2 + B3 + B4
33	D	C1 Total sales and purchases of T day x stamp duty rate (as Part A item A2)	9(11,2)	
34	D	C2 Add: Total sales and purchases of T-1 day input into Exchange System on T day x stamp duty rate	9(11,2)	
35	D	C3 Less: Total sales and purchases of T day input into Exchange System on T+1 day x stamp duty rate	9(11,2)	
36	D	C4 Add: Others (for DCMM, please specify the exemption amount in "DCMM \$#.##"; for other reason(s) (if any) please separate by ";" with amount in \$#.##)	9(11,2)	
38	A	Reason(s) (maximum 100 characters):	X(100)	
39	D	C5 Less: Others (please specify the reason(s))	9(11,2)	

² Notation for the field length:

As an example, X(5) means text field with a maximum of 5 alpha-numeric characters
 As an example, 9(11,2) means numeric field with a maximum of 11 number before 2 decimal places.

41	A	Reason(s) (maximum 100 characters):	X(100)	
42	D	C6 Adjusted total sales and purchases of T day x	9(12,2)	C1 + C2 - C3 + C4 - C5
		stamp duty rate (C1 + C2 - C3 + C4 - C5)		
48	D	D1 Total sales and purchases of T day (as per	9(11,2)	
		Form SD-4) x stamp duty rate		
49	D	D2 Add: Total sales and purchases of T-1 day	9(11,2)	
		input into Exchange System on T day x stamp		
	-	duty rate	0/44.5	
50	D	D3 Less: Total sales and purchases of T day	9(11,2)	
		input into Exchange System on T+1 day x stamp duty rate		
51	D	D4 Add: Others (please specify the reason(s))	9(11,2)	
53	A	Reason(s) (maximum 100 characters):	X(100)	
54	D	D5 Less: Others (please specify the reason(s))	9(11,2)	
56	A	Reason(s) (maximum 100 characters):	X(100)	
57	D	D6 Adjusted total sales and purchases of T day x	9(12,2)	D1 + D2 - D3 + D4 - D5
37		stamp duty rate (D1 + D2 - D3 + D4 - D5))(12,2)	D1 + D2 - D3 + D4 - D3
62	D	E1 Total sales and purchases of T day (as per	9(11,2)	
02		Form SD-6) x stamp duty rate	>(11,2)	
63	D	E2 Add: Total sales and purchases of T-1 day	9(11,2)	
		input into Exchange System on T day x stamp		
		duty rate		
64	D	E3 Less: Total sales and purchases of T day	9(11,2)	
		input into Exchange System on T+1 day x stamp		
<i>C</i> =	D	duty rate	0(11.0)	
65	D	E4 Add: Others (please specify the reason(s))	9(11,2)	
67 68	A D	Reason(s) (maximum 100 characters):	X(100)	
70	A	E5 Less: Others (please specify the reason(s)) Reason(s) (maximum 100 characters):	9(11,2) X(100)	
			` ′	E1 + E2 - E2 + E4 - E5
71	D	E6 Adjusted total sales and purchases of T day x stamp duty rate (E1 + E2 - E3 + E4 - E5)	9(12,2)	E1 + E2 - E3 + E4 - E5
74	D	Total for Part C, D and E	9(12,2)	
/+	ש	Total for Lart C, D and E	7(14,4)	