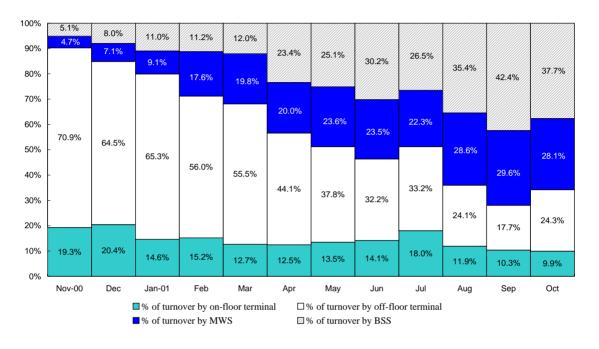


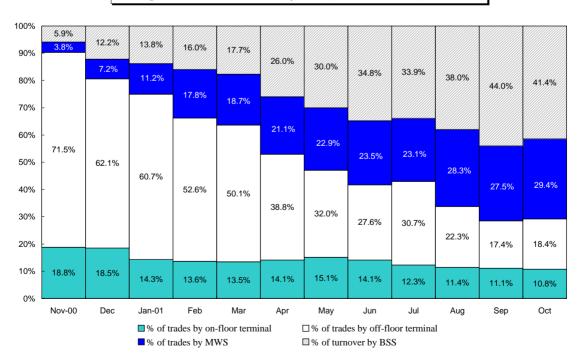
#### CASH MARKET TRADING STATISTICS (GEM)

October 2001 (No. of Trading Days: 20)

#### Percentage of Turnover (in HK\$) by On-floor , Off-floor Terminals, MWS and BSS



#### Percentage of Turnover (in no. of trades) by On-floor, Off-floor Terminals, MWS and BSS



Multi-workstation System (MWS) was put into operation at the date of AMS/3 launch (23 Oct 2000) Broker Supplied System (BSS) was put into operation on 10 Nov 2000

Trading/stat\_e1

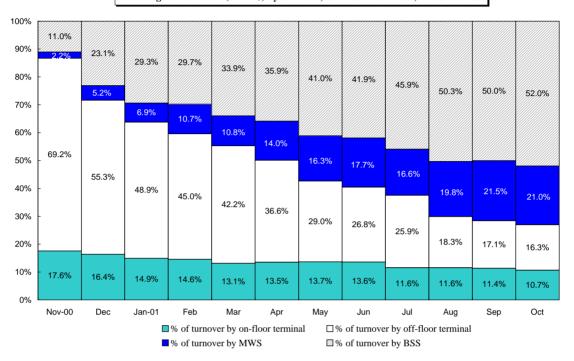
#### 香港交易及結算所有限公司



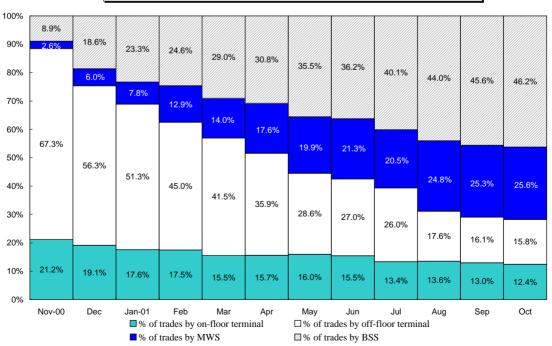
## CASH MARKET TRADING STATISTICS (Main Board)

October 2001 (No. of Trading Days: 20)

#### Percentage of Turnover (in HK\$) by On-floor, Off-floor Terminals, MWS and BSS



#### Percentage of Turnover (in no. of trades) by On-floor, Off-floor Terminals, MWS and BSS



Multi-workstation System (MWS) was put into operation at the date of AMS/3 launch (23 Oct 2000) Broker Supplied System (BSS) was put into operation on 10 Nov 2000 Turnover of Trading Only Stocks and foreign currency transactions are included

Trading/stat\_e1

#### 香港交易及結算所有限公司

#### Hong Kong Exchanges and Clearing Limited

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## HKEx 香港交易所

# Transaction in Terms of Turnover and Number of Trades Handled by On-floor, Off-floor Terminals, MWS and BSS

**Main Board + Trading Only Stocks** 

|          | To a supply of the supply of t |          |           |        |        |               |          |           |         |           |  |
|----------|--|----------|-----------|--------|--------|---------------|----------|-----------|---------|-----------|--|
|          | Turnover (in HK\$ Mil.)  |          |           |        |        | No. of Trades |          |           |         |           |  |
|          |  | On-floor | Off-floor |        |        |               | On-floor | Off-floor |         |           |  |
| MM/YY    | Total  | Terminal | Terminal  | MWS    | BSS    | Total         | Terminal | Terminal  | MWS     | BSS       |  |
| Nov 2000 | 197,556  | 34,780   | 136,596   | 4,392  | 21,788 | 2,434,897     | 517,005  | 1,637,210 | 63,107  | 217,575   |  |
| Dec 2000 | 160,970  | 26,341   | 89,047    | 8,390  | 37,192 | 1,858,864     | 354,529  | 1,046,305 | 111,102 | 346,928   |  |
| Jan 2001 | 178,672  | 26,631   | 87,332    | 12,267 | 52,442 | 1,847,893     | 326,064  | 948,146   | 143,839 | 429,844   |  |
| Feb 2001 | 181,516  | 26,482   | 81,727    | 19,349 | 53,958 | 2,036,023     | 356,152  | 916,080   | 261,796 | 501,995   |  |
| Mar 2001 | 184,205  | 24,192   | 77,634    | 19,841 | 62,538 | 2,084,009     | 322,993  | 864,155   | 291,709 | 605,152   |  |
| Apr 2001 | 146,895  | 19,887   | 53,792    | 20,565 | 52,651 | 1,819,848     | 285,070  | 653,879   | 320,390 | 560,509   |  |
| May 2001 | 212,036  | 28,942   | 61,574    | 34,479 | 87,041 | 2,660,837     | 424,803  | 760,535   | 529,518 | 945,981   |  |
| Jun 2001 | 213,709  | 29,137   | 57,349    | 37,718 | 89,505 | 2,827,717     | 437,480  | 764,857   | 601,625 | 1,023,755 |  |
| Jul 2001 | 130,368  | 15,133   | 33,823    | 21,574 | 59,838 | 1,493,456     | 199,401  | 387,901   | 306,897 | 599,257   |  |
| Aug 2001 | 144,231  | 16,757   | 26,382    | 28,513 | 72,579 | 1,706,366     | 231,246  | 300,273   | 423,551 | 721,296   |  |
| Sep 2001 | 137,211  | 15,592   | 23,447    | 29,532 | 68,640 | 1,632,819     | 211,652  | 262,993   | 413,398 | 744,776   |  |
| Oct 2001 | 139,296  | 14,901   | 22,741    | 29,320 | 72,334 | 1,759,498     | 218,971  | 277,182   | 450,059 | 813,286   |  |

#### **GEM**

| I————    |                         |          |           |       |       | -             |          |           |        |        |
|----------|-------------------------|----------|-----------|-------|-------|---------------|----------|-----------|--------|--------|
|          | Turnover (in HK\$ Mil.) |          |           |       |       | No. of Trades |          |           |        |        |
|          |                         | On-floor | Off-floor |       |       |               | On-floor | Off-floor |        |        |
| MM/YY    | Total                   | Terminal | Terminal  | MWS   | BSS   | Total         | Terminal | Terminal  | MWS    | BSS    |
| Nov 2000 | 3,703                   | 715      | 2,627     | 172   | 189   | 72,590        | 13,664   | 51,882    | 2,790  | 4,254  |
| Dec 2000 | 2,174                   | 444      | 1,402     | 155   | 173   | 48,078        | 8,892    | 29,858    | 3,473  | 5,855  |
| Jan 2001 | 2,232                   | 327      | 1,457     | 203   | 245   | 48,599        | 6,930    | 29,494    | 5,466  | 6,709  |
| Feb 2001 | 2,569                   | 390      | 1,439     | 452   | 288   | 56,262        | 7,672    | 29,585    | 10,026 | 8,979  |
| Mar 2001 | 2,616                   | 331      | 1,452     | 519   | 314   | 60,457        | 8,141    | 30,316    | 11,322 | 10,678 |
| Apr 2001 | 2,968                   | 370      | 1,310     | 594   | 694   | 68,424        | 9,642    | 26,574    | 14,437 | 17,771 |
| May 2001 | 7,699                   | 1,036    | 2,909     | 1,820 | 1,934 | 148,071       | 22,362   | 47,367    | 33,903 | 44,439 |
| Jun 2001 | 7,281                   | 1,030    | 2,345     | 1,710 | 2,196 | 112,454       | 15,886   | 31,005    | 26,468 | 39,095 |
| Jul 2001 | 2,575                   | 465      | 854       | 574   | 682   | 54,178        | 6,654    | 16,612    | 12,504 | 18,408 |
| Aug 2001 | 1,327                   | 158      | 320       | 379   | 470   | 38,364        | 4,378    | 8,552     | 10,859 | 14,575 |
| Sep 2001 | 736                     | 76       | 130       | 218   | 312   | 23,141        | 2,575    | 4,021     | 6,367  | 10,178 |
| Oct 2001 | 1,382                   | 138      | 335       | 389   | 520   | 39,910        | 4,302    | 7,346     | 11,742 | 16,520 |

Multi-workstation System (MWS) was put into operation at the date of AMS/3 launch (23 Oct 2000)

Broker Supplied System (BSS) was put into operation on 10 Nov 2000

Transactions concluded in foreign currencies are included

 $Trading/stat\_p2$ 

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### Usage of On-floor/Off-floor/Market Making Terminals, MWS and BSS for Trading at Month End

|                               | On-floor | Off-floor | Market Making | MWS    | BSS    |
|-------------------------------|----------|-----------|---------------|--------|--------|
|                               | Terminal | Terminal  | Terminal      | Device | Device |
| No. of Terminal / MWS / BSS   | 410      | 375       | 2             | 184    | 179    |
| Devices for Trading           |          |           |               |        |        |
| No. of Terminal / MWS / BSS   | 463      | 62        | -             | -      | -      |
| Devices for Backup use        |          |           |               |        |        |
| Total no. of Terminal / MWS / | 873      | 437       | 2             | 184    | 179    |
| BSS Devices                   |          |           |               |        |        |

## **Traders in Trading Hall**

| Date       | Total |
|------------|-------|
| 3/10/2001  | 523   |
| 10/10/2001 | 527   |
| 17/10/2001 | 522   |
| 24/10/2001 | 482   |
| 31/10/2001 | 468   |

This report will be published at the beginning of every month.