

## HAITONG MSCI CHINA A ESG ETF – Exclusion Policy and Types of Exclusion

**Description of whether an exclusion policy is adopted by the Green or ESG fund and types of exclusion**

As an exclusion policy, the MSCI ESG Controversies Scores and MSCI ESG BISR are taken into consideration in excluding the following types of stocks with the weakest ESG profile from the Underlying Index universe: (a) unrated companies, (b) companies having faced very severe ESG controversies, and (c) companies involved in controversial weapons businesses. The remaining companies form the “eligible universe” for the Underlying Index.