

SECTION 4.3
REPORT SAMPLE 4.3.38

(1)CCNVT08(2)B11668 HKSCC - CCASS (4)RUN DATE : 05FEB25
 (3)GOODWILL & COMPANY CRP BATCH INPUT CONTROL REPORT (5)RUN TIME : 11:46:04
 PAGE : 1

(6)TRANSMISSION DATE : 02JUL24 (7)PROCESSING TIME : 11:46:02 (8)FILE REFERENCE : PXCRB01129USM1

-----EXCEPTIONS-----

DETAIL RECORD

(9) RECORD	(15) INSTR NO./	(17) CRP IND/	(19) & (20) CORPORATE REPRESENTATIVE/PROXY FULL NAME	(21) CORP REP./PROXY HOLDING/	(24) EMAIL ADDRESS FOR ONLINE MEETING	(26) AGAINST	(27) ABSTAIN/WITHHOLD	(28) NON VOTED	(29) CONTROL TOTAL
1	R40400001	R	MR CHAN SAM CRP UPLOAD B01129 NON-USM CR PHY W/O EMAIL 1515 A457782 101SHS						0000000000101

ERROR MESSAGES : -7118 INSTRUCTION NO. INVALID
 -7112 INVALID ANNOUNCEMENT
 -5366 EVENT DOES NOT EXIST

1	R40400002	R	MS LAM MAY CRP UPLOAD B01129 NON-USM CR PHY WIT EMAIL 1515 A457782 202SHS						0000000000202
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NON USM CRP CR PHY 1515_457782_WITH_EMAIL@ABC.COM
 ERROR MESSAGES : -7118 INSTRUCTION NO. INVALID
 -7112 INVALID ANNOUNCEMENT
 -5366 EVENT DOES NOT EXIST

-----SUMMARY OF CONTROL TOTALS-----

	(33A) / (34A) / (35A) PROCESSED	(33B) / (34B) / (35B) ACCEPTED	(33C) / (34C) / (35C) REJECTED
(33)TOTAL NO. OF ATTENDEE	2	0	2
(34)TOTAL CORP REP/PROXY HOLDING	303	0	303
(35)TOTAL NO. OF UPLOADED	2	0	2
(36)TOTAL NO. OF FILES UPLOADED :	1		

*** END OF REPORT ***

SECTION 4.3
REPORT SAMPLE 4.3.38

The whole Corporate Representative/Proxy Batch Input file rejected

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(1)CCNVT08(2)B11668                                HKSCC - CCASS                                (4)RUN DATE : 04FEB16
(3)GOODWILL & COMPANY                                CRP BATCH INPUT CONTROL REPORT              (5)RUN TIME : 08:39:27
                                                    PAGE : 1

(6) TRANSMISSION DATE : 05FEB16                    (7) PROCESSING TIME : 22:18:06                (8) FILE REFERENCE : C04900UPLFILED7

-----EXCEPTIONS-----

CONTROL HEADER
=====
(9)RECORD TYPE  (10) PARTICIPANT ID  (11) FILE REFERENCE  (12) TRANSMISSION DATE  (13) FILE NAME
-----
          0          C04900          C04900UPLFILED7          19991227          CRP BATCH INPUT
(14)ERROR MESSAGES : -5311 PARTICIPANT ID NOT MATCH WITH DRIVER FILE

-----SUMMARY OF CONTROL TOTALS-----

*** THE WHOLE INTERFACE FILE IS REJECTED ***

*** END OF REPORT ***
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SECTION 4.3
REPORT SAMPLE 4.3.38

The whole Corporate Representative/Proxy Batch Input file rejected

(1)CCNVT08(2)B11668
(3)GOODWILL & COMPANY

HKSCC - CCASS
CRP BATCH INPUT CONTROL REPORT

(4)RUN DATE : 08FEB16
(5)RUN TIME : 14:20:20
PAGE : 1

(6) TRANSMISSION DATE : 29DEC16

(7) PROCESSING TIME : 14:20:14

(8) FILE REFERENCE : B11668UPLFILED7

-----EXCEPTIONS-----

CONTROL TRAILER
===== (29) (30)
(9)RECORD TYPE TOTAL NO. OF DETAIL RECORDS CONTROL TOTAL SUM

3 0002 0000000000000000
(14)ERROR MESSAGES : -5325 TRANSFER QUANTITY SUM NOT CORRECT
(32)INVALID RECORD : DETAIL 1 RECORD MISSING

-----SUMMARY OF CONTROL TOTALS-----

*** THE WHOLE INTERFACE FILE IS REJECTED ***

*** END OF REPORT ***

SECTION 4.3
REPORT SAMPLE 4.3.38

The whole Corporate Representative/Proxy Batch Input file rejected

(1)CCNVT08(2)B11668	HKSCC - CCASS	(4)RUN DATE : 07FEB25
(3)GOODWILL & COMPANY	CRP BATCH INPUT CONTROL REPORT	(5)RUN TIME : 14:45:42
		PAGE : 1
(6) TRANSMISSION DATE : 04JUL24	(7) PROCESSING TIME : 14:45:39	(8) FILE REFERENCE : PXCRB01129USM3
-----EXCEPTIONS-----		
DETAIL RECORD		
=====		
(9) VOTING	(17) CRP	(19) & (20) CORPORATE REPRESENTATIVE/PROXY
RECORD INSTR NO./	IND/	FULL NAME
	(22) & (23) ADDRESS	(21) CORP REP./PROXY HOLDING/
(24) EMAIL ADDRESS FOR ONLINE MEETING		
TYPE	RES.NO.	(25) FOR
		(26) AGAINST
		(27) ABSTAIN/WITHHOLD
		(28) NON VOTED
		(29) CONTROL TOTAL

1	R01516486	P MR
		(18) CHAN SAM CRP UPLOAD B01129 USM PROXY
		ONLINE EMAIL OVER100 2189 A457926 11SHS
		COM0000000000
CRP_UPLOAD_NEW.REJECTION.REASONS.TETSING.OVER_100_CHARACTERS.USM_PROXY _ONLINE_2189_A00457922@ABCDE.		
(14)ERROR MESSAGES : -7216 EMAIL ADDRESS INVALID		
-7117 VOTED HOLDING INVALID		
2	R01516486	P MR
		(18) CHAN SAM CRP UPLOAD B01129 USM PROXY
		ONLINE EMAIL OVER100 2189 A457926 11SHS
01	0000000000011	0000000000000
		0000000000000
		0000000000000
(14)ERROR MESSAGES : -7107 VOTING PREFERENCE NOT ALLOWED		
2	R01516486	P MR
		(18) CHAN SAM CRP UPLOAD B01129 USM PROXY
		ONLINE EMAIL OVER100 2189 A457926 11SHS
02	0000000000011	0000000000000
		0000000000000
		0000000000000
(14)ERROR MESSAGES : -7107 VOTING PREFERENCE NOT ALLOWED		
CONTROL TRAILER		
=====		
(9) RECORD TYPE	(30) TOTAL NO. OF DETAIL RECORDS	(31) CONTROL TOTAL SUM
3	0003	0000000000000025
(14)ERROR MESSAGES : -5325 TRANSFER QUANTITY SUM NOT CORRECT		
-----SUMMARY OF CONTROL TOTALS-----		
*** THE WHOLE INTERFACE FILE IS REJECTED ***		