

香港中央結算有限公司
(香港交易及結算所有限公司全資附屬公司)

HONG KONG SECURITIES CLEARING COMPANY LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Stock Admission
- China Connect Securities under Shenzhen-Hong Kong Stock Connect

Enquiry: Hotline at 2979 7123/ E-mail: clg@hkex.com.hk

The list of China Connect Securities that will be eligible for trading under Shenzhen-Hong Kong Stock Connect (the "List") upon launch has been published on the HKEx website (http://www.hkex.com.hk/eng/market/sec_tradinfra/chinaconnect/Documents/SZSE%20Securities.xlsx).

With effect from the commencement date of Shenzhen-Hong Kong Stock Connect on 5 December 2016 (which is expected to be 5 December 2016 as jointly announced by the China Securities Regulatory Commission (CSRC) and the Securities and Futures Commission (SFC) on 25 November 2016), all the China Connect Securities included in the List will be admitted to CCASS as Eligible Securities and trades in such China Connect Securities will be cleared and settled in Renminbi under the Continuous Net Settlement System of CCASS in accordance with the General Rules of CCASS and the CCASS Operational Procedures. No deposit and withdrawal services will be provided by HKSCC in respect of China Connect Securities.

China Connect Securities are represented by 6-digit stock codes on the Shenzhen Stock Exchange ("SZSE Stock Code"). The same 6-digit stock codes will be used for trading under Shenzhen-Hong Kong Stock Connect. As CCASS currently adopts a 5-digit stock code system, each SZSE Stock Code will be mapped to a unique 5-digit stock code for use in CCASS ("CCASS Stock Code").

There are no official English stock short names of China Connect Securities for Shenzhen-Hong Kong Stock Connect. The English stock short names will follow the official English stock long names published by Shenzhen Stock Exchange (<http://www.szse.cn/main/marketdata/jypz/colist/>) for China Connect Securities as far as possible in CCASS. English stock short names in CCASS will carry a suffix "+A" and will be for use in CCASS only. The list of CCASS English stock short names of the China Connect Securities is attached to this circular for reference (see Appendix).

CCASS Participants are requested to note that the List is subject to change. The final List will be advised and confirmed by Shenzhen Stock Exchange one business day before the commencement date of trading under Shenzhen-Hong Kong Stock Connect. Should there be any changes in the List on or before 2 December 2016, a separate circular will be published on the HKEx website.

Kennis Cheuk

Vice President

Depository and Nominee Services