

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

The Stock Exchange of Hong Kong Limited*(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)*

通告 CIRCULAR

事項

Subject: **(1) TRADING ARRANGEMENTS; AND (2) SUBMISSION OF STAMP DUTY DAILY RETURN, OPTIONS MARKET MAKER JOBBING TRANSACTION COMPOSITE REPORTING FORM AND SECURITIES MARKET MAKER TRANSACTION REPORTING FORM DURING EVES OF CHRISTMAS, NEW YEAR AND LUNAR NEW YEAR**

查詢

Enquiry: **Participant General Enquiry Hotline (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

1. Trading Arrangements

Exchange Participant (EPs) are requested to note that in accordance with Rule 501 of the Rules of the Exchange on trading hours, there will be **no afternoon trading sessions on the eves of coming Christmas (Tuesday, 24 December 2013), New Year (Tuesday, 31 December 2013) and Lunar New Year (Thursday, 30 January 2014).**

For reference, the respective first days of ex-entitlements quotation of securities which are different from the normal schedule due to no settlement on the eves of the coming Christmas, New Year and Lunar New Year are summarized below: -

For an issue of securities with its book closure date(s) commencing from	First Ex-Entitlements Trading Date due to no settlement on the eve day
Tuesday 24 December 2013	Friday 20 December 2013*
Wednesday 25 December 2013	Friday 20 December 2013
Thursday 26 December 2013	Friday 20 December 2013
Friday 27 December 2013	Friday 20 December 2013
Saturday 28 December 2013	Monday 23 December 2013
Sunday 29 December 2013	Monday 23 December 2013
Monday 30 December 2013	Monday 23 December 2013
Tuesday 31 December 2013	Friday 27 December 2013*
Wednesday 1 January 2014	Friday 27 December 2013
Thursday 2 January 2014	Friday 27 December 2013
Friday 3 January 2014	Monday 30 December 2013
Saturday 4 January 2014	Thursday 2 January 2014*

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For an issue of securities with its book closure date(s) commencing from	First Ex-Entitlements Trading Date due to no settlement on the eve day
Thursday 30 January 2014	Tuesday 28 January 2014*
Friday 31 January 2014	Tuesday 28 January 2014
Saturday 1 February 2014	Tuesday 28 January 2014
Sunday 2 February 2014	Tuesday 28 January 2014
Monday 3 February 2014	Tuesday 28 January 2014
Tuesday 4 February 2014	Tuesday 28 January 2014
Wednesday 5 February 2014	Wednesday 29 January 2014
Thursday 6 February 2014	Tuesday 4 February 2014*

* remains unchanged as normal

Please also be reminded that trade amendments and short selling input errors must be reported no later than 9:45 am on the next trading day in accordance with the Rules of the Exchange.

2. Submission of Return and Reporting Forms

Similarly, EPs should submit Stamp Duty Daily Return (Form SD-1), Options Market Maker Jobbing Transaction Composite Reporting Form (Form SD-4) and Securities Market Maker Transaction Reporting Form (Form SD-5) on the following trading dates: -

A. Stamp Duty Daily Return (Form SD-1)

Trading Date	Corresponding Submission Date (before 11:00 am)
Thursday 19 December 2013	Monday 23 December 2013*
Friday 20 December 2013	Friday 27 December 2013
Monday 23 December 2013	Monday 30 December 2013
Tuesday 24 December 2013	Monday 30 December 2013*
Friday 27 December 2013	Thursday 2 January 2014
Monday 30 December 2013	Friday 3 January 2014
Tuesday 31 December 2013	Friday 3 January 2014*
Monday 27 January 2014	Wednesday 29 January 2014*
Tuesday 28 January 2014	Tuesday 4 February 2014
Wednesday 29 January 2014	Wednesday 5 February 2014
Thursday 30 January 2014	Wednesday 5 February 2014*

* remains unchanged as normal

EPs must not combine the data for any two or more trading days into one Return.

**B. Options Market Maker Jobbing Transaction Composite Reporting Form (Form SD-4)
and/or Securities Market Maker Transaction Reporting Form (Form SD-5)**

Hedging Trades Date		Corresponding Submission Date (before 10:15 am)	
Friday	20 December 2013	Monday	23 December 2013*
Monday	23 December 2013	Friday	27 December 2013
Tuesday	24 December 2013	Friday	27 December 2013*
Friday	27 December 2013	Monday	30 December 2013*
Monday	30 December 2013	Thursday	2 January 2014
Tuesday	31 December 2013	Thursday	2 January 2014*
Tuesday	28 January 2014	Wednesday	29 January 2014*
Wednesday	29 January 2014	Tuesday	4 February 2014
Thursday	30 January 2014	Tuesday	4 February 2014*

* remains unchanged as normal

For detailed arrangements on settlement, please refer to the circular(s) issued by HKSCC.

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