



編號

Ref No.: BD/CBD/003/15

日期

Date: 21 December 2015

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

The Stock Exchange of Hong Kong Limited

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項

Subject: **Posting Information of Options Trading Exchange Participant with Online Derivatives Trading on HKEx Website**

查詢

Enquiry: **Mr. Frederick Yim (2211-6131) / Ms. Rachel Wong (2840-3561)**

To facilitate investors to access Options Trading Exchange Participants (“OTEPs”) who offered online trading services of derivatives products to their clients, HKEx has been posting the corresponding contact details of these online OTEPs on HKEx website.

OTEPs that are currently providing online trading services to clients for the derivatives products of HKEx and would like to have their contact details to be posted on HKEx website, or if EPs would like to amend or update their existing contact details, please complete and return the attached application form before **5:00 p.m. on 8 January 2016**. Please note that the arrangement of posting OTEPs’ information on HKEx website is subject to the final decision of HKEx.

For further details, please refer to the hyperlink below on HKEx website.

http://www.hkex.com.hk/eng/market/dv_tradfinfo/online%20ep_derivatives.htm

Samuel Ho
Senior Vice President
Client Business Development
Global Markets Division

Att.

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.

香港交易及結算所有限公司
Hong Kong Exchanges and Clearing Limited

香港中環港景街一號國際金融中心一期12樓
12/F, One International Finance Centre, 1 Harbour View Street, Central, Hong Kong

電話 Tel: + 852 2522 1122 傳真 Fax: +852 2295 3106 網址 Website: www.hkex.com.hk 電郵 E-mail: info@hkex.com.hk

**Posting Information of Options Trading Exchange Participant
with Online Derivatives Trading on HKEx Website**

Application Form

(To be faxed or emailed before 5:00 p.m. on 8 January 2016)

**To : Global Markets Division – Client Business Development
(Fax: 2530-2858 / Email: DMTD@hkex.com.hk)**

I. Options Trading Exchange Participant (“OTEP”) Information			
OTEP Name :			
Contact Person Name :		Contact No. :	
Contact Person Email:			

II. Information for Posting on HKEx Website

We **AGREE** to post our name and the below contact details relating to Online Derivatives Trading on the HKEx Website.

Options Trading Exchange Participant Name in English :	
Options Trading Exchange Participant Name in Chinese :	
Tel. No. for Online Derivatives Trading Enquiries :	
Email Address for Online Derivatives Trading Enquiries :	
Website Address for Online Derivatives Trading :	
HKEx Derivatives Products Offered Online to clients: e.g. Stock Options	
Online Derivatives Trading available to places outside Hong Kong?	Yes / No / Please enquire the broker directly

III. Current Online Derivatives Trading System (For internal reference only)

Vendor of online derivatives trading system:	
--	--

Notes:

Should any amendment and update of the above information be required, please contact HKEx – Global Markets Division – Client Business Development (Frederick Yim at 2211-6131 / Rachel Wong 2840-3561).

Name of Responsible Officer :		Date :	
Signature of Responsible Officer: (with Company Chop)			