

## HKFE CLEARING CORPORATION LIMITED

Cash & Derivatives Clearing Operations  
30/F, One Exchange Square,  
8 Connaught Place, Central, Hong Kong

Email: [posttrade@hkex.com.hk](mailto:posttrade@hkex.com.hk)  
Fax: 2868 0134  
Tel: 2211 6932

### ANNULMENT OF POSITION NETTING REQUEST FORM

*Please contact us to confirm the form has been received by HKCC*

**Type of positions      Form submission deadline**

- “CTD” Positions    By “System Input Cutoff Time” on the **5th** “Business Day” after the netting of positions
- “NTD” Positions^    By “System Input Cutoff Time” on the **6th** “Business Day” after the netting of positions

*^ Between “System Input Cutoff Time” & “T+1 Session Cutoff Time”, only positions netting regarding current “NTD” positions will be accepted for annulment*

#### Particulars of HKCC Participant

HKCC Participant's Name :	DCASS Customer Code :
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#### Contact Person for this Request Form :

Name :	Tel. No. :
Position :	Fax No. :

#### Details of Position Netting to be annulled

**Request for :** positions in the same series

	Date of Netting	Account	Series	Trade No.	Buy/Sell	Original Quantity of Net Down*
1.						
2.						

Justification:

**Request for :** positions between different series (e.g. HSI Futures vs Mini-HSI Futures, HSI Options vs HSI Flexible Options, HHI Futures vs Mini-HHI Futures, HHI Options vs Mini-HHI Options)

	Trade Date	Account	Series A**	Trade No.	Original Long Net Down*	Series B**	Trade No.	Original Short Net Down*
1.								
2.								

Justification:

\_\_\_\_\_  
 Authorised Signature(s) of HKCC Participant [with company chop, ONLY applicable if it forms part of your signing instruction]

\_\_\_\_\_  
 Date

Name of Signatory(ies) : \_\_\_\_\_

\* The "Original Quantity of Net Down", "Original Long Net Down" and "Original Short Net Down" should be of the same quantity as that of the previous position net down.

\*\* The series under the fields "Series A" and "Series B" in the same row should have the same underlying commodity, contract month, strike price, option type but with opposite positions at a ratio as stipulated in the Clearing House Procedures 1.5.1.

FOR HKCC USE					
VERIFIED	DATE/TIME	APPROVED/ DATE	INPUT	INPUT DATE/ TIME	CHECKED/ DATE