



**Request from Administrator(s) / Executor(s)  
for Closure of Account without Stock Balance Form**

**Note: Please make an appointment by calling 2211 6275 before submission of this form.**

To: Cash Clearing Operations - Participant Administration & Services

Hong Kong Securities Clearing Co Ltd

7/F Infinitus Plaza

199 Des Voeux Road Central, Hong Kong

Date: \_\_\_\_\_

RE: \_\_\_\_\_ (Participant ID \_\_\_\_\_)  
(Name of deceased Investor Participant)

I \_\_\_\_\_ (Name) of \_\_\_\_\_  
\_\_\_\_\_ (Address), holder of Hong Kong  
Identity Card No./Passport No. \* \_\_\_\_\_, being  
the \_\_\_\_\_ (relationship with deceased Investor Participant) of the  
deceased participant, hereby apply to you for the closure of the above account.

As of \_\_\_\_\_, no stock balance is noted in the above account as supported by the  
attached Appendix I.

As of \_\_\_\_\_, the money ledger balance of the above account is  
HK\$ \_\_\_\_\_ as supported by the attached Appendix II. I want to settle the overdue  
money ledger balance, if applicable.

☐ Cash amount of HK\$ \_\_\_\_\_.

☐ A cheque no. \_\_\_\_\_ drawn on

\_\_\_\_\_ payable to

“Hong Kong Securities Clearing Co. Ltd.” amounted to HK\$ \_\_\_\_\_.

☐ There is no overdue money ledger balance.

Yours faithfully

\_\_\_\_\_  
(Signature of Applicant)

Name :

☐ Please tick in the box as appropriate.

\* Please delete as appropriate.

Notes on Personal Information Collection

Please refer to the hyperlink ([http://www.hkex.com.hk/eng/prod/clar/sec\\_clrsett/ipacctsvc/documents/npic.pdf](http://www.hkex.com.hk/eng/prod/clar/sec_clrsett/ipacctsvc/documents/npic.pdf)) to access the statement “NOTES ON PERSONAL INFORMATION COLLECTION” made by HKSCC in accordance with the Personal Data (Privacy) Ordinance of the Hong Kong Special Administration Region.



備註: 敬請致電 2211 6275 預約辦理有關手續。

致：香港中央結算有限公司  
現貨結算業務 - 投資者服務  
香港德輔道中 199 號無限極廣場 7 樓

日期：\_\_\_\_\_

去世投資者參與者姓名：\_\_\_\_\_〔參與者編號：\_\_\_\_\_〕

本人\_\_\_\_\_〔姓名〕\_\_\_\_\_

\_\_\_\_\_〔地址〕

香港身份證號碼 / 護照號碼\* \_\_\_\_\_為去世參與者的 \_\_\_\_\_  
\_\_\_\_\_〔與去世投資者參與者的關係〕茲向貴公司申請取消上述戶口。

截至 \_\_\_\_\_ 為止，並無任何證券記存於上述戶口，詳列附表 I。

截至 \_\_\_\_\_ 為止，上述戶口內之逾期未繳付的款項為港幣 \_\_\_\_\_ 元，

詳列附表 II。本人欲繳付逾期未繳付款項 (如適用):

☐ 現金總額為港幣 \_\_\_\_\_。

☐ 一張由 \_\_\_\_\_ 銀行發出港幣 \_\_\_\_\_ 的支票。支票號碼是 \_\_\_\_\_ 及抬頭是“香港中央結算有限公司”。

☐ 沒有逾期未繳付的款項。

\_\_\_\_\_

〔申請人簽署〕

姓名：

☐ 請於適當方格內加入 ‘√’ 號。

\* 請刪去不適用者。

收集個人資料之說明

請查閱相關超連結([http://www.hkex.com.hk/chi/prod/clr/sec\\_clrsett/ipacctsvc/documents/npic.pdf](http://www.hkex.com.hk/chi/prod/clr/sec_clrsett/ipacctsvc/documents/npic.pdf))以獲取結算公司就香港特別行政區所頒布《個人資料(私隱)條例》而發出的“收集個人資料之說明”。