

Latest updates are highlighted in *orange*

STATEMENT OF MONEY LEDGER

Report ID : CSEMA08 / CSEMS08

Report Name : *Statement of Money Ledger*

Purpose : The *Statement of Money Ledger* lists the day's money movements for settlement, marks collected and refunded, entitlements, and billing (calculated daily but billed weekly) etc. The report will be listed by currency. The first report (CSEMA08) is generated after the Evening MSI generation. The second report (CSEMS08) is generated after the Day-end MSI generation.

Time available : CSEMA08: at around 4:30 p.m. (Mondays to Fridays)
CSEMS08: at the beginning of each business day and Saturday from 8:00 a.m.

Frequency : Twice daily

	Field Name	Description
(1)	CSEMA08/CSEMS08	Report ID for Statement of Money Ledger CSEMA08 - after Evening MSI generation CSEMS08 - after Day-end MSI generation
(2)	Participant ID	
(3)	Participant full name	Full name of the participant
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	DATE	Date of report details
(7)	POSTING DATE	Date of transaction
(8)	LEDGER TRN NO	Ledger transaction number
(9)	TRN TYPE	Transaction type (Refer to attached table)
(10)	DESCRIPTION	Details of the ledger transaction
(11)	DR AMOUNT	Debit amount to participant's account
(11)	CR AMOUNT	Credit amount from participant's account
(13)	BALANCE	Cumulative balance in each ledger
(14)	(variable)	Name of sub-account ledgers : Settlement A/C Marks & Margin A/C Entitlements A/C Billing A/C Miscellaneous A/C
(15)	CURRENCY	Currency of the money ledger
(16)	MARKET DATE	Date of transaction for that particular market Should be same as posting date before introduction of multiple market
(17)	MKT CODE	Market code assigned by HKSCC GLOB - for non-stock related transaction HKMK - for transactions related to stock classified under Hong Kong Market MAMK - for transactions related to stock classified under Shanghai Market SZMK - for transactions related to stock classified under Shenzhen Market

Note : When extended operating hours is introduced in the future, all money ledger transactions processed and generated after current day's Money Settlement Instruction ("MSI") processing, will be displayed under the sub-section, "EXTENDED SESSION".

Meanwhile, all money ledger transactions will be displayed under the sub-section "Normal Section", except for those billing transactions generated after MSI process, i.e. on Mondays to Fridays.

Table 1 - Transaction codes and descriptions

Code	Description	Code	Description
01	Settlement Obligations	49	Billing - Dividend Collection Fee
02	(repealed)	50	Billing - Claims for Unclaims
05	(repealed)	51	Billing - Corporate Action Charge
08	Cash Dividend/Payment on Shareholding	52	Billing - Depository Miscellaneous
09	Entitlements Claims Against Participant	53	Billing - Withdrawal (Non-elig) Charge
10	Corp Event Payment/Refund	54	Billing - Withdrawal (Delisted) Charge
11	Stamp Duty - Covered Warrant Sub	55	Billing - Prepayment / Collateral
12	Stamp Duty - Takeover (Cond)	56	Billing - Refund of Late Payment
13	Stamp Duty - Takeover (Uncond)	58	Billing – Statement Printing Fee for SSA with Statement Service
14	Stamp Duty - Takeover (Comp)	61	Direct Debit Instruction
15	Sub Money - Open Offer	62	Direct Credit Instruction
16	Sub Money - Excess Open Offer	63	EPI Instruction for Billing
17	Sub Money - Right Sub	64	Trans Levy - Open Offer
18	Sub Money - Excess Right Sub	65	Trans Levy - Excess Open Offer
19	Sub Money - Reg Warrant Sub	66	Brokerage Fee - Open Offer
20	Sub Money - Covered Warrant Sub	67	Brokerage Fee - Excess Open Offer
21	Billing - SEHK Trades Stock Settlement	70	Buy-in Cost
22	Billing - SI Clearing (Input)	71	DDI Reversal Entry
23	Billing - SI Clearing (Modification)	72	DCI Reversal Entry
24	Billing - SI Clearing (Revoke)	73	Interests on DR Balances
25	Billing - SI Stock Settlement	74	Manual Adjustment (EMSI Generation)
26	Billing - DDI/DCI Generation	75	Refund of Late Payment
27	Billing - EPI Generation	76	Prepayment to Release Securities-on-hold
28	Billing - Service Bureau	77	Manual Adjustment
29	Billing - Report Printing	81	Collection of Default Fund Contribution/ Default Fund Collection
30	Billing - Report Re-reprint	82	Refund of Default Fund Contribution/ Default Fund Refund
31	Billing - Miscellaneous	83	Exercise Fee - Covered Warrant Sub
32	Billing - SEHK Cross Trades Stock Stm	84	Cancel Cert Fee - Covered Warrant Sub
33	Billing - Options Trade Stock Settlement	85	Bank Charges
34	TSF Settlement Fee	86	Scrip Fee for New Cert
40	Unclaim Custody Fee	88	Evening DDI Generation
41	Billing - Deposit Charge	89	Evening DCI Generation
42	Billing - Withdrawal Charge	90	Special DCI Reversal Entry
44	Billing - Book Close Scrip Fee	91	Transfer From/To Settlement Account
45	Repealed	92	Transfer From/To Marks & Margin A/C
46	Billing - Corporate Action Scrip Fee	93	(repealed)
47	Billing - T/D Stamp Duty	94	Transfer From/To Entitlements Account
48	Billing - Custody Fee	95	Transfer From/To Miscellaneous Account

Code	Description	Code	Description
AA	Billing – FCY Stm Service Recv	B1	Entitlement Claims (Periodic Interest Payment)
AB	Billing – FCY Stm Service Paid	B2	Entitlement Claims (Principal Payment)
AC	Billing - USD EPI generation	B3	Entitlement Claims (Accrued Interest Payment)
AD	Billing - USD DDI/DCI generation	B4	Bond Conversion Subscription Money
AE	Billing - USD CPI Generation	B5	Bond Exercise Fee
AF	Billing - CNY EPI Generation	B6	Disbursement Charge (Corporate Event for Debt Securities)
AG	CNY Billing DDI/DCI Generation	CD	CCMS DEPOSIT INSTR (for cash collateral collection)
AH	Billing – CNY CPI Generation	CE	CCMS-TO-CCASS TRANSFER / CCMS STANDING WTDL (for cash collateral refund)
AI	Billing – CNY Intra-Day Pmt Gen	CF	CCMS DEPOSIT INSTR (for Settlement)
BA	Billing - Debt Securities SI Clearing	CH	CCMS DEPOSIT INSTR (for Nominee)
BB	Billing - SEHK Debt Trade Stock Settlement	CJ	CCMS DEPOSIT INSTR (for Depository)
BC	Billing - SEHK Debt Cross Trade Stock Settlement	CL	CCMS MARKS & MARGIN SH FOR INTRA-DAY/ MKS & MGN SH FOR IM
BD	Billing - Debt Securities SI Settlement	CM	CCMS MARKS & MARGIN SH/ MKS & MGN SH
BG	Billing - Withdrawal Fee (Bearer Bond)	CN	Refund of Margin
BH	Billing - Withdrawal Fee (Non-eligible Bearer Bond)	CO	SCC DEPOSIT INSTR
BI	Billing - Withdrawal Fee (Delisted Bearer Bond)	CP	Transfer of Specific Cash Collateral
BJ	Billing - Disbursement Charge (Bearer Bond Withdrawal)	CQ	FREE SCC REFUND INSTR
BK	Billing-Custody (Bearer Bd/EFN&The Likes)	C0	CPI – Entitlement Claims
BL	Billing - Bond Conversion Fee	C1	CPI – Claims (Periodic Interest Payment)
BM	Billing - Debt Securities Redemption Fee	C2	CPI – Entitlement Claims (Principal Payment)
BN	Interest Collection Fee	C3	CPI – Claims (Accrued Interest Payment)
BO	Billing - Tender Instruction Input Fee	DA	CPI Refund – Sub Money (Open Offer)
BP	Billing – Refund Tender Instruction Input Fee	DB	CPI Refund – Sub Money (Excess Open Offer)
BQ	Billing - CMU Stock Settlement	DD	CPI Refund – Sub Money (Excess Right Sub)
BR	Billing - CPI Generation	DE	CPI – Periodic Interest Payment (Debt Securities)
BS	Billing - CMU TI Clearing	DF	CPI – Principal Payment (Debt Securities)
BU	Settlement Obligations – Accrued Interest	DG	CPI – Accrued Interest Payment (Debt Securities)
BV	Settlement Obligation (Accrued Interest Adjustment)	DH	CPI Refund – Trans Levy (Open Offer)
BX	Periodic Interest Payment	DI	CPI Refund – Trans Levy (Excess Open Offer)
BY	Principal Payment – Debt Securities	DJ	CPI Refund – Brokerage Fee (Open Offer)
BZ	Accrued Interest Payment – Debt Securities	DK	CPI Refund – Brokerage Fee (Excess Open Offer)

Code	Description	Code	Description
DL	CPI – Cash Dividend/Payment	F3	TSF FX Transaction
DM	IPI – CNS Money Obligations	F4	CPI/Stock Release Request FX Transaction
DO	CPI Refund - Trading Fee (Open Offer)	F5	Stock Release Request FX Transaction
DP	CPI Refund – T/D Stamp Duty (Covered Warrant Sub)	F6	TSF FX Payment
DQ	CPI Refund – Transfer Fee (Covered Warrant Sub)	F9	TSF FX Payment (T+3)
DR	CPI Refund - Trading Fee (Excess Open Offer)	FA	TSF FX Funding Cost
DS	CPI – Sub Money (Covered Warrant Sub)	FB	Exception Handling Fee due to failed payment
DT	CPI – TD Stamp Duty (Covered Warrant Sub)	FC	Exception Handling Fee due to failed CNS settlement
DU	CPI – Exercise Fee (Covered Warrant Sub)	FD	Exception Handling Fee due to earmark failure
DV	CPI – Cancel Cert Fee (Covered Warrant Sub)	FE	Billing – Portfolio Fee
DW	CPI – Transfer Fee (Covered Warrant Sub)	FI	3-Day Rush Transfer
DX	Billing – HKD IPI Generation	FJ	6-Day Rush Transfer
DY	Billing – USD IPI	FK	Jumbo Deposit
DZ	IPI – Prepayment	GE	Billing - EIPO Input Fee
D0	CPI – Miscellaneous Fee (Covered Warrant)	HA	Tender Money
D1	Miscellaneous Fee (Covered Warrant)	HB	Brokerage Fee – Tender
D2	CPI – Stamp Duty (Covered Warrant)	HC	Trans Levy - Tender
D3	CPI – Dividend Collection Fee	HD	Brokerage–Successful Tender/xxxxx-yyyyy1
D4	CPI – Bank Charges	HE	Trading Fee - Tender
D5	CPI – Interest Collection Fee	IA	Billing - ISI Clearing (Input)
D6	CPI – Disbursement Charge (Debt Securities)	IB	Billing - ISI Clearing (Modification)
D7	CPI – Stamp Duty – Takeover (Cond)	KA	Billing – STI Clearing Fee
D8	CPI – Stamp Duty – Takeover (Uncond)	P1	Option Trades Settlement Amount Transferred from SEOCH
D9	CPI – Stamp Duty – Takeover (Comp)	P2	Option Trades Pending Marks Transferred from SEOCH
F1	Default Fee on Overdue Short Position	Q1	NASDAQ Stock Deposit Fee (Fix)
F2	CPI/TSF FX Transaction	Q2	NASDAQ Stock Deposit Fee (Var)

1 xxxxx represents Firm ID and yyyyy represents Broker Number

Code	Description	Code	Description
Q3	NASDAQ Stock Withdrawal Fee (Fix)	R6	CPI (FCY) Paid for BV
Q4	NASDAQ Stock Withdrawal Fee (Var)	RA	CPI / Sub Money - Open Offer
Q5	NASDAQ Stock X-Border Delivery Fee (Fix)	RB	CPI / Sub Money - Ex Open Offer
Q6	NASDAQ Stock X-Border Delivery FEE (Var)	RC	CPI / Sub Money - Rights Sub
Q7	NASDAQ Stock X-Border Receive Fee (Fix)	RD	CPI / Sub Money - Ex Rights Sub
Q8	NASDAQ Stock X-Border Receive Fee (Var)	RE	CPI / Sub Money - Reg Warrant Sub
Q9	NASDAQ Stock Maintenance Fee	RF	CPI / Sub Money - Covered Warrant
QE	NASDAQ Stock – Open Offer Sub (Fix)	RG	CPI / Bond Conversion Sub Money
QF	NASDAQ Stock - Open Offer Sub (Var)	RH	CPI / Transaction Levy - Open Offer
QG	NASDAQ Stock - EX Open Offer Sub (Fix)	RI	CPI / Transaction Levy – Ex Open Offer
QH	NASDAQ Stock - EX Open Offer Sub (Var)	RJ	CPI / Brokerage – Open Offer
QI	NASDAQ Stock - Reg Warrant Sub (Fix)	RK	CPI / Brokerage – Ex Open Offer
QJ	NASDAQ Stock - Reg Warrant Sub (Var)	RL	CPI / Exercise Fee - CW
QK	NASDAQ Stock - Covered Warrant Sub (Fix)	RM	CPI / Cancel Cert Fee – CW
QL	NASDAQ Stock - Covered Warrant Sub (Var)	RN	CPI / Bond Exercise Fee
QM	NASDAQ Stock - Conditional Takeover (Fix)	RO	CPI / Trade Fee – Open Offer
QN	NASDAQ Stock - Conditional Takeover (Var)	RP	CPI / TD Stamp Duty – CW
QO	NASDAQ Stock - Uncon Takeover (Fix)	RQ	CPI / Transfer Fee for CW
QP	NASDAQ Stock - Uncon Takeover (Var)	RR	CPI / Trade Fee – Ex Open Offer
QZ	NASDAQ Stock Miscellaneous Fee	RX	CPI / Buy-in Cost
R1	CPI Paid for RW, BW	RY	CPI / Allocated shares pre-payment
R2	CPI Paid for OO, EO, RS, ER	SA	Direct Debit Instruction (CCP)
R3	CPI Paid for CW	SB	Direct Credit Instruction (CCP)
R4	CPI Paid for BV	SC	Evening DDI Generation (CCP)
R5	CPI (FCY) Paid for Subscription	SD	Evening DCI Generation (CCP)
SE	DDI Reversal Entry (CCP)	XE	SH MSCD REFUND INSTR– Refund of Mainland Security Deposit (Shanghai)

Code	Description	Code	Description
SF	DCI Reversal Entry (CCP)	XF	SH INTEREST ON MSCD – Quarterly interest on Mainland Security Deposit (Shanghai)
SG	Special DCI Reversal Entry (CCP)	XK	COLLATERAL (SETTLEMENT) FOR A-SHARE
SH	IPI - Nominee	XL	COLLATERAL (NOMINEE) FOR A-SHARE
T1	Trading Fee - Open Offer	XM	COLLATERAL (DEPOSITORY) FOR A-SHARE
T2	Trading Fee - Excess Open Offer	XN	ADDITIONAL COLL IN A-SHARE MKT – Collection of additional collateral in A-share market
TR	Transfer Fee – Multi-counter Transfer Instruction	XO	INTEREST ON ADD COLL IN A-SHARE MKT – Interest on additional collateral in A-share market
U1	CCASS SDNet Monthly Rental Charges	XP	SZ MSTD DEPOSIT INSTR - Collection of Mainland Settlement Deposit (Shenzhen)
U2	CCASS SDNet External Relocation	XQ	SZ MSTD REFUND INSTR – Refund of Mainland Settlement Deposit (Shenzhen)
U3	CCASS SDNet Internal Relocation	XR	SZ INTEREST ON MSTD – Quarterly interest on Mainland Settlement Deposit (Shenzhen)
U4	CCASS SDNet Reconfiguration	XS	SZ MSCD DEPOSIT INSTR – Collection of Mainland Security Deposit (Shenzhen)
U5	CCASS SDNet Upgrade/Downgrade	XT	SZ MSCD REFUND INSTR– Refund of Mainland Security Deposit (Shenzhen)
U6	CCASS SDNet Misc Charges	XU	SZ INTEREST ON MSCD – Quarterly interest on Mainland Security Deposit (Shenzhen)
X1	A-SHARE CPI PMT GENERATION NO, X999999	XV	CPI/PREPAYMENT TO RELEASE ON-HOLD A-SHR
X2	A-SHARE STAMP DUTY	YA	EARLY RLSE REFUND
X3	A-SHARE HANDLING FEE		
X4	A-SHARE SECURITIES MANAGEMENT FEE		
X5	A-SHARE TRANSFER FEE		
X6	CASH PREPMT TO RELEASE ON-HOLD A-SHARE		
X7	A-SHARE TRANSFER FEE		
X8	(repealed)		
XA	SH MSTD DEPOSIT INSTR - Collection of Mainland Settlement Deposit (Shanghai)		
XB	SH MSTD REFUND INSTR – Refund of Mainland Settlement Deposit (Shanghai)		
XC	SH INTEREST ON MSTD – Quarterly interest on Mainland Settlement Deposit (Shanghai)		
XD	SH MSCD DEPOSIT INSTR – Collection of Mainland Security Deposit (Shanghai)		