Posting Collateralisation Result Report (CCMPY01/ CCMPY02)

Latest updates are highlighted in orange

CCMPY01 (HKSCC Participants)

```
c        +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
    1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123
    CCMPY01                                                     HKEX - CCMS                                               RUN DATE : XXXXXXX
                                                        POSTING / COLLATERALISATION RESULT REPORT                         RUN TIME : HH:MM:SS
                                                                                                                              PAGE : Z,ZZ9

     CCMS DATE        :   XXXXXXX
     CLEARING HOUSE   :   HKSCC
     PAYMENT BATCH NO :   9999

     FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
     PART NAME  :   XXXXXXXXXXXXXXXXXXXX

     FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
     -------   -------   --------   ------   ----------
     XXXXXXX   XXXXXX      XXX       XXXX        X

     *********************************************************************************************************************************
     COLLATERALISATION BATCH:  999999     COLLATERALISATION DATE:    XXXXXXX        START TIME:   XX:XX     END TIME:   XX:XX          X
                                                                                                                                    X
                                                                                                                                    X
     PRODUCT INVOLVED                                                                                                               X
     ================                                                                                                               X
                                                                                                                                    X
     PRODUCT CODE :    XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                     X
                       XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                     X
                       XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                              X
                                                                                                                                    X
                                                                                                                                    X
     TRANSACTION SOURCE                                                                                                             X
     ==================                                                                                                             X
                                                                                                                                    X
     OBLIGATION COLLATERALISATION                                                                                                   X
     ----------------------------                                                                                                   X
                                                                                                                                    X
     PART ID/CUST A/C CODE    PRODUCT    OB/TXN      CCY            AMOUNT          ORG CCY       ORG AMOUNT          EX RATE      X
     ---------------------    -------  -----------   ---      --------------------  -------  --------------------  ----------------  X
     XXXXXXXXXXXXXXXXXXXXX    XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999  X
     XXXXXXXXXXXXXXXXXXXXX    XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999  X
                                                                                                                                    X
                                                                                                                                    X
     MERGED AND NETTED PRE-POSTING LEDGERS / OBLIGATIONS                                                                            X
     ===================================================                                                                            X
                                                                                                                                    X
     OBLIGATION COLLATERALISATION                                                                                                   X
     ----------------------------                                                                                                   X
                                                                                                                                    X
     CCY    PRODUCT      OB/TXN             AMOUNT             MULTIPLIED AMT         HKD EQUIVALENT                MIN COH AMT (CCY)  X
     ---    -------    -----------   --------------------   --------------------  --------------------               --------------------X
     XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                      X
            XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                      X
                                                           -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
     XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                      X
            XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                      X
            XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                      X
                                                           -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
                                                                                                                                    X
                                                                         TOTAL = -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                              X
                                                                                                                                    X
                                                                                                                                    X
     LEDGER POSTING / OBLIGATION COLLATERALISATION RESULT                                                                           X
     ====================================================                                                                           X
                                                                                                                                    X
     ACCOUNT BALANCE SNAPSHOT BEFORE POSTING                                                                                        X
     ---------------------------------------                                                                                        X
                                                                                                                                    X
     CASH INVENTORY                                                                                                                 X
                                                                                                                                    X
     ---  -------CONTRACT CURRENCY ON HOLD--------- ---------------------GENERAL CASH COLLATERAL---------------- -------TOTAL--------X
     CCY        AMOUNT (A)        PEND SH DDI            AMOUNT (B)         OUTSD DR             PEND SH DDI           (A) + (B)      X
     ---  -------------------- -------------------- -------------------- -------------------- -------------------- --------------------X
     XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
     XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
                                                                                                                                    X
     ACCOUNT TDMV/TEMV BALANCE                                                                                                      X
                                                                                                                                    X
     ----------------TOTAL DISCOUNTED MARKET VALUE-----------------    -------------------TOTAL EARMARKED VALUE----------------------  X
             TDMV               CASH TDMV             NON-CASH TDMV                 TEMV                 CASH TEMV             NON-CASH TEMV    X
     -------------------- -------------------- --------------------    -------------------- -------------------- --------------------  X
    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99  X
                                                                                                                                    X
     REMARKS: UNDER ACCOUNT LEVEL TDMV, $-Z,ZZZ,ZZZ,ZZZ,ZZ9.99 IS PENDING FOR COLLECTION.                                            X
                                                                                                                                    X
     LEDGER POSTING RESULT                                                                                                          X
     ---------------------                                                                                                          X
                                                                                                                                    X
     CCY    PRODUCT  OB/TXN   DIRECT DEBIT / CREDIT RESULT     NET OUT DB RESULTED                                                  X
     ---    -------  ------  ------------------------------   --------------------                                                  X
     XXX    XXXXXXX  XXXXX      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                  X
            XXXXXXX  XXXXX      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                  X
                                -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 (SUB-TOTAL)                                      X
                                                                                                                                    X
                                                                                                                                    X
                                                                                                                                    X
```

Posting Collateralisation Result Report (CCMPY01/ CCMPY02)

```
c         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
     123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1    CCMPY01                                                HKEX - CCMS                                                  RUN DATE : XXXXXXX
2                                                 POSTING / COLLATERALISATION RESULT REPORT                             RUN TIME : HH:MM:SS
3                                                                                                                           PAGE : Z,ZZ9
4
5     CCMS DATE        :   XXXXXXX
6     CLEARING HOUSE   :   HKSCC
7     PAYMENT BATCH NO :   9999
8
9     FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
10    PART NAME  :   XXXXXXXXXXXXXXXXXXXX
11
12    FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
13    -------   -------   --------   ------   ----------
14    XXXXXXX   XXXXXX      XXX       XXXX         X
15
16    ***********************************************************************************************************************************
17    COLLATERALISATION BATCH:  999999     COLLATERALISATION DATE:    XXXXXXX        START TIME:   XX:XX     END TIME:   XX:XX          X
18                                                                                                                                        X
19                                                                                                                                        X
20    OBLIGATION COLLATERALISATION RESULT (NON-CASH COLLATERAL FIRST)                                                                     X
21    ---------------------------------------------------------------                                                                     X
22                                                                                                                                        X
23                                                                                                                                        X
24    CCY   OB/TXN   CONTRACT CURRENCY         GENERAL COLLATERAL EARMARKED VALUE (HKD)          SHORTFALL           SHORTFALL PENDING  X
25                        ON HOLD                   CASH                NON-CASH                                     FOR COLLECTION*  X
26    ---   ------  --------------------     --------------------  --------------------     --------------------   -------------------- X
27    XXX   XXXXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 X
28    XXX   XXXXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 X
29                                                                                                                                        X
30    ACCOUNT BALANCE SNAPSHOT AFTER POSTING                                                                                              X
31    --------------------------------------                                                                                              X
32                                                                                                                                        X
33    CASH INVENTORY                                                                                                                      X
34                                                                                                                                        X
35    ---  -------CONTRACT CURRENCY ON HOLD--------- ----------------------GENERAL CASH COLLATERAL----------------- -------TOTAL--------X
36    CCY         AMOUNT (A)        PEND SH DDI             AMOUNT (B)         OUTSD DR            PEND SH DDI            (A) + (B)     X
37    ---  -------------------- -------------------- -------------------- -------------------- -------------------- --------------------X
38    XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
39    XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
40                                                                                                                                        X
41    ACCOUNT TDMV/TEMV BALANCE                                                                                                           X
42                                                                                                                                        X
43    ----------------TOTAL DISCOUNTED MARKET VALUE-----------------    --------------------TOTAL EARMARKED VALUE----------------------  X
44            TDMV             CASH TDMV            NON-CASH TDMV              TEMV             CASH TEMV             NON-CASH TEMV     X
45    -------------------- -------------------- --------------------    -------------------- -------------------- --------------------  X
46   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99  X
47                                                                                                                                        X
48    REMARKS: UNDER ACCOUNT LEVEL TDMV, $ -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 IS PENDING FOR COLLECTION.                                                 X
49                                                                                                                                        X
50                                                                                                                                        X
51    PREFERRED SINGLE SETTLEMENT CURRENCY CONVERSION RESULT                                                                              X
52     ====================================================                                                                              X
53                                                                                                                                        X
54     ----ORIGINAL CURRENCY-----   ----PREFERRED CURRENCY----                                                                            X
55     CCY      ORIGINAL AMOUNT     CCY    CONVERTED AMOUNT                                                                              X
56     ---  ---------------------   ---  ---------------------                                                                           X
57     XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    XXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                              X
58     XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    XXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                              X
:                                                                                                                                         X
60                                                                                                                                        X
```

Posting Collateralisation Result Report (CCMPY01/ CCMPY02)

```
c        +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
         123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1        CCMPY01                                                  HKEX - CCMS                                          RUN DATE : XXXXXXX
2                                                   POSTING / COLLATERALISATION RESULT REPORT                          RUN TIME : HH:MM:SS
3                                                                                                                          PAGE : Z,ZZ9
4
5         CCMS DATE        :   XXXXXXX
6         CLEARING HOUSE   :   HKSCC
7         PAYMENT BATCH NO :   9999
8
9         FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
10        PART NAME  :   XXXXXXXXXXXXXXXXXXXX
11
12        FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
13        -------   -------   --------   ------   ----------
14        XXXXXXX   XXXXXX      XXX       XXXX         X
15
16        ***********************************************************************************************************************************
17        COLLATERALISATION BATCH:  999999     COLLATERALISATION DATE:    XXXXXXX        START TIME:   XX:XX     END TIME:   XX:XX          X
18                                                                                                                                          X
19                                                                                                                                          X
20        MARKS AND MARGIN TO BE COLLECTED                                                                                                  X
21        ================================                                                                                                  X
22                                                                                                                                          X
23         CCY      TOTAL AMT                                                                                                              X
24         ---  --------------------                                                                                                      X
25         XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                         X
26         XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                         X
27                                                                                                                                          X
28        REMARKS: FOR A FULL LIST OF DIRECT DEBIT INSTRUCTIONS, REFER TO ENQUIRE COLLATERAL ACCOUNT BALANCE FUNCTION.                       X
29                                                                                                                                          X
30                                                                                                                                          X
31       FOOTNOTE: MARGN - MARKS & MARGIN                                                                                                   X
32                * "SHORTFALL PENDING FOR COLLECTION" HAVE TAKEN INTO ACCOUNT THE TOLERANCE LIMIT FOR INTRA-DAY MARKS AND                  X
33                  MARGIN, IF APPLICABLE.                                                                                                  X
34                                                          *** END OF REPORT ***                                                           X
:                                                                                                                                           X
60                                                                                                                                          X
```

Posting Collateralisation Result Report (CCMPY01/ CCMPY02)

CCMPY02 (HKSCC Participants)

Note:

- When there is marks / margin to be collected and MSI generation, the section header will be displayed “MARKS AND MARGIN TO BE COLLECTED”
- When there is marks / margin to be collected and no MSI generation, the section header will be displayed “MARKS AND MARGIN NOT TO BE COLLECTED ON DDMMMYY DUE TO NO DDI GENERATION”

```
c         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
     123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
1    CCMPY02                                                HKEX - CCMS                                                RUN DATE : XXXXXXX
2                                                 POSTING / COLLATERALISATION RESULT REPORT                          RUN TIME : HH:MM:SS
3                                                                                                                        PAGE : Z,ZZ9
4
5     CCMS DATE        :   XXXXXXX
6     CLEARING HOUSE   :   HKSCC
7     PAYMENT BATCH NO :   9999
8
9     FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
10    PART NAME  :   XXXXXXXXXXXXXXXXXXXX
11
12    FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
13    -------   -------   --------   ------   ----------
14    XXXXXXX   XXXXXX      XXX       XXXX         X
15
16    ************************************************************************************************************************
17    COLLATERALISATION BATCH:  999999     COLLATERALISATION DATE:    XXXXXXX        START TIME:   XX:XX     END TIME:   XX:XX          X
18                                                                                                                                      X
19                                                                                                                                      X
20    PRODUCT INVOLVED                                                                                                                  X
21    ================                                                                                                                  X
22                                                                                                                                      X
23    PRODUCT CODE :    XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                        X
24                      XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                        X
25                      XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX  XXXXXXX                                X
26                                                                                                                                      X
27                                                                                                                                      X
28    TRANSACTION SOURCE                                                                                                                X
29    ==================                                                                                                                X
30                                                                                                                                      X
31    OBLIGATION COLLATERALISATION                                                                                                      X
32    ----------------------------                                                                                                      X
33                                                                                                                                      X
34    PART ID/CUST A/C CODE    PRODUCT    OB/TXN      CCY            AMOUNT          ORG CCY       ORG AMOUNT          EX RATE        X
35    ---------------------    -------  -----------   ---      --------------------   -------  --------------------  ----------------   X
36    XXXXXXXXXXXXXXXXXXXX    XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999   X
37    XXXXXXXXXXXXXXXXXXXX    XXXXXXX     XXXXX      XXX     -Z,ZZZ,ZZZ,ZZZ,ZZ9.99     XXX   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  ZZZZ9.9999999999   X
38                                                                                                                                      X
39                                                                                                                                      X
40    MERGED AND NETTED PRE-POSTING LEDGERS / OBLIGATIONS                                                                               X
41    ===================================================                                                                               X
42                                                                                                                                      X
43    OBLIGATION COLLATERALISATION                                                                                                      X
44    ----------------------------                                                                                                      X
45                                                                                                                                      X
46    CCY    PRODUCT      OB/TXN            AMOUNT            MULTIPLIED AMT         HKD EQUIVALENT                MIN COH AMT (CCY)  X
47    ---    -------    -----------   --------------------  --------------------  --------------------            --------------------X
48    XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
49           XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
50                                                         -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
 :                                                                                                                                      X
59                                                                                                                                      X
60                                                                                                                                      X
```

Posting Collateralisation Result Report (CCMPY01/ CCMPY02)

```
c         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
     123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
  1  CCMPY02                                                  HKEX - CCMS                                                   RUN DATE : XXXXXXX
  2                                                 POSTING / COLLATERALISATION RESULT REPORT                              RUN TIME : HH:MM:SS
  3                                                                                                                            PAGE : Z,ZZ9
  4
  5   CCMS DATE        :   XXXXXXX
  6   CLEARING HOUSE   :   HKSCC
  7   PAYMENT BATCH NO :   9999
  8
  9   FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
 10   PART NAME  :   XXXXXXXXXXXXXXXXXXXX
 11
 12   FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
 13   -------   -------   --------   ------   ----------
 14   XXXXXXX   XXXXXX      XXX       XXXX         X
 15
 16   ************************************************************************************************************************
 17   COLLATERALISATION BATCH:  999999     COLLATERALISATION DATE:    XXXXXXX        START TIME:   XX:XX     END TIME:   XX:XX          X
 18                                                                                                                                   X
 19   CCY    PRODUCT      OB/TXN             AMOUNT             MULTIPLIED AMT         HKD EQUIVALENT                MIN COH AMT (CCY)  X
 20   ---    -------    -----------   --------------------   --------------------  --------------------             --------------------X
 21   XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
 22          XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
 23                                                          -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
 24   XXX    XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
 25          XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
 26          XXXXXXX     XXXXX       -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                 X
 27                                                          -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99(SUB-TOTAL) -Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
 28                                                                                                                                   X
 29                                                                  TOTAL = -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                    X
 30                                                                                                                                   X
 31   LEDGER POSTING / OBLIGATION COLLATERALISATION RESULT                                                                            X
 32   ====================================================                                                                            X
 33                                                                                                                                   X
 34   ACCOUNT BALANCE SNAPSHOT BEFORE POSTING                                                                                         X
 35   ---------------------------------------                                                                                         X
 36                                                                                                                                   X
 37   CASH INVENTORY                                                                                                                  X
 38                                                                                                                                   X
 39   ---  -------CONTRACT CURRENCY ON HOLD--------- ----------------------GENERAL CASH COLLATERAL----------------- -------TOTAL--------X
 40   CCY         AMOUNT (A)        PEND SH DDI             AMOUNT (B)         OUTSD DR            PEND SH DDI            (A) + (B)     X
 41   ---  -------------------- -------------------- -------------------- -------------------- -------------------- --------------------X
 42   XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
 43   XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
 44                                                                                                                                   X
 45   ACCOUNT TDMV/TEMV BALANCE                                                                                                       X
 46                                                                                                                                   X
 47   ----------------TOTAL DISCOUNTED MARKET VALUE------------------    --------------------TOTAL EARMARKED VALUE----------------------  X
 48           TDMV             CASH TDMV            NON-CASH TDMV              TEMV             CASH TEMV            NON-CASH TEMV     X
 49   -------------------- -------------------- --------------------    -------------------- -------------------- --------------------  X
 50  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99  X
 51                                                                                                                                   X
 52   REMARKS: UNDER ACCOUNT LEVEL TDMV, $-Z,ZZZ,ZZZ,ZZZ,ZZ9.99 IS PENDING FOR COLLECTION.                                              X
 53                                                                                                                                   X
 54   LEDGER POSTING RESULT                                                                                                           X
 55   ---------------------                                                                                                           X
 56                                                                                                                                   X
 57   CCY    PRODUCT  OB/TXN   DIRECT DEBIT / CREDIT RESULT     NET OUT DB RESULTED                                                  X
 58   ---    -------  ------  -------------------------------  --------------------                                                  X
 59   XXX    XXXXXXX  XXXXX      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                  X
 60          XXXXXXX  XXXXX      -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                  X
  :                              -Z,ZZZ,ZZZ,ZZZ,ZZ9.99        -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 (SUB-TOTAL)                                      X
```

Posting Collateralisation Result Report (CCMPY01/ CCMPY02)

```
c         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
     123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
  1  CCMPY02                                                HKEX - CCMS                                                   RUN DATE : XXXXXXX
  2                                                 POSTING / COLLATERALISATION RESULT REPORT                            RUN TIME : HH:MM:SS
  3                                                                                                                          PAGE : Z,ZZ9
  4
  5   CCMS DATE        :   XXXXXXX
  6   CLEARING HOUSE   :   HKSCC
  7   PAYMENT BATCH NO :   9999
  8
  9   FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
 10   PART NAME  :   XXXXXXXXXXXXXXXXXXXX
 11
 12   FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
 13   -------   -------   --------   ------   ----------
 14   XXXXXXX   XXXXXX      XXX       XXXX         X
 15
 16   ************************************************************************************************************************
 17   COLLATERALISATION BATCH:  999999     COLLATERALISATION DATE:    XXXXXXX        START TIME:   XX:XX     END TIME:   XX:XX          X
 18                                                                                                                                      X
 19   OBLIGATION COLLATERALISATION RESULT (NON-CASH COLLATERAL FIRST)                                                                   X
 20   ---------------------------------------------------------------                                                                   X
 21                                                                                                                                      X
 22   CCY   OB/TXN   CONTRACT CURRENCY         GENERAL COLLATERAL EARMARKED VALUE (HKD)          SHORTFALL           SHORTFALL PENDING  X
 24                       ON HOLD                   CASH                NON-CASH                                     FOR COLLECTION*  X
 25   ---   ------  --------------------     --------------------  --------------------     --------------------   -------------------- X
 26   XXX   XXXXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 X
 27   XXX   XXXXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    -Z,ZZZ,ZZZ,ZZZ,ZZ9.99  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 X
 28                                                                                                                                      X
 29   ACCOUNT BALANCE SNAPSHOT AFTER POSTING                                                                                             X
 30   --------------------------------------                                                                                             X
 31                                                                                                                                      X
 32   CASH INVENTORY                                                                                                                     X
 33                                                                                                                                      X
 34   ---  -------CONTRACT CURRENCY ON HOLD--------- ----------------------GENERAL CASH COLLATERAL----------------- -------TOTAL--------X
 35   CCY         AMOUNT (A)        PEND SH DDI             AMOUNT (B)         OUTSD DR            PEND SH DDI            (A) + (B)     X
 36   ---  -------------------- -------------------- -------------------- -------------------- -------------------- --------------------X
 37   XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
 38   XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99X
 39                                                                                                                                      X
 40   ACCOUNT TDMV/TEMV BALANCE                                                                                                          X
 41                                                                                                                                      X
 42   ----------------TOTAL DISCOUNTED MARKET VALUE-----------------    --------------------TOTAL EARMARKED VALUE----------------------  X
 43           TDMV             CASH TDMV            NON-CASH TDMV              TEMV             CASH TEMV            NON-CASH TEMV     X
 44   -------------------- -------------------- --------------------    -------------------- -------------------- --------------------  X
 45  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99   -Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99-Z,ZZZ,ZZZ,ZZZ,ZZ9.99  X
 46                                                                                                                                      X
 47   REMARKS: UNDER ACCOUNT LEVEL TDMV, $ -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 IS PENDING FOR COLLECTION.                                                X
 48                                                                                                                                      X
 49                                                                                                                                      X
 50   PREFERRED SINGLE SETTLEMENT CURRENCY CONVERSION RESULT                                                                             X
 51   ======================================================                                                                             X
 52                                                                                                                                      X
 53    ----ORIGINAL CURRENCY-----   ----PREFERRED CURRENCY----                                                                           X
 54    CCY      ORIGINAL AMOUNT     CCY    CONVERTED AMOUNT                                                                             X
 55    ---  ---------------------   ---  ---------------------                                                                          X
 56    XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    XXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                            X
 57    XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99    XXX  -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                            X
 58                                                                                                                                      X
 37                                                                                                                                      X
  :                                                                                                                                      X
 59                                                                                                                                      X
 60                                                                                                                                      X
```

Posting Collateralisation Result Report (CCMPY01/ CCMPY02)

```
c         +    1    +    2    +    3    +    4    +    5    +    6    +    7    +    8    +    9    +    0    +    1    +    2    +    3
     123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012
  1     CCMPY02                                                HKEX - CCMS                                          RUN DATE : XXXXXXX
  2                                                 POSTING / COLLATERALISATION RESULT REPORT                       RUN TIME : HH:MM:SS
  3                                                                                                                       PAGE : Z,ZZ9
  4
  5   CCMS DATE        :   XXXXXXX
  6   CLEARING HOUSE   :   HKSCC
  7   PAYMENT BATCH NO :   9999
  8
  9   FIRM NAME  :   XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
 10   PART NAME  :   XXXXXXXXXXXXXXXXXXXX
 11
 12   FIRM ID   PART ID   A/C TYPE   A/C NO   A/C STATUS
 13   -------   -------   --------   ------   ----------
 14   XXXXXXX   XXXXXX      XXX       XXXX         X
 15
 16     ***********************************************************************************************************************
 17     COLLATERALISATION BATCH:  999999     COLLATERALISATION DATE:    XXXXXXX        START TIME:   XX:XX     END TIME:   XX:XX          X
 18                                                                                                                                        X
 19                                                                                                                                        X
 20     MARKS AND MARGIN TO BE COLLECTED                                                                                                   X
 21     ================================                                                                                                   X
 33     MARKS AND MARGIN NOT TO BE COLLECTED ON DDMMMYY DUE TO NO DDI GENERATION                                                           X
 23     ======================================================================                                                             X
 24                                                                                                                                        X
 25      CCY      TOTAL AMT                                                                                                                X
 26      ---  --------------------                                                                                                        X
 27      XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                          X
 28      XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                          X
 29                                                                                                                                        X
 30     REMARKS: FOR A FULL LIST OF DIRECT DEBIT INSTRUCTIONS, REFER TO ENQUIRE COLLATERAL ACCOUNT BALANCE FUNCTION.                          X
 31                                                                                                                                        X
 32                                                                                                                                        X
 33     MARKS AND MARGIN TO BE REFUNDED                                                                                                    X
 34     ================================                                                                                                   X
 35                                                                                                                                        X
 36      CCY      TOTAL AMT                                                                                                                X
 37      ---  --------------------                                                                                                        X
 38      XXX -Z,ZZZ,ZZZ,ZZZ,ZZ9.99                                                                                                          X
 39                                                                                                                                        X
 40     FOOTNOTE: MARGN - MARKS & MARGIN                                                                                                   X
 41             * "SHORTFALL PENDING FOR COLLECTION" HAVE TAKEN INTO ACCOUNT THE TOLERANCE LIMIT FOR INTRA-DAY MARKS AND                   X
 42               MARGIN, IF APPLICABLE.                                                                                                   X
 43                                                                                                                                        X
 44                                                                                                                                        X
 45                                                          *** END OF REPORT ***                                                         X
 46                                                                                                                                        X
 47                                                                                                                                        X
 48                                                                                                                                        X
 49                                                                                                                                        X
 50                                                                                                                                        X
 51                                                                                                                                        X
  :                                                                                                                                        X
 59                                                                                                                                        X
 60                                                                                                                                        X
```