

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: REMINDER - 2017 Annual Attestation and Inspection Programme – Self-attestation of Compliance Questionnaire

Enquiry: surveillance@hkex.com.hk

Reference is made to the circular (Ref. No.: MSM/003/2017) dated 24 February 2017 regarding the Introduction of Annual Attestation and Inspection Programme (“Programme”).

Under the Programme, Exchange Participants, which have engaged in Manual Trade, Short Selling or China Connect trading activities, are required to submit a duly completed Self-attestation of Compliance Questionnaire in respect of the year ended 31 December 2016 (“Questionnaire”) to the Exchange via the Electronic Communication Platform at <https://www.ecp.hkex.com.hk/> by 28 April 2017.

The Questionnaire and the User Guide for the Electronic Communication Platform are available on the HKEX website at <http://www.hkex.com.hk/eng/rulesreg/tradingruleenforcement/ruleenforcement.htm>.

Garbo Cheung

Head

Market Surveillance and Monitoring

Markets Division