

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項： 北向交易投資者識別碼模式客戶同意書參考模板
Subject: **CLIENT CONSENT TEMPLATE ON THE NORTHBOUND INVESTOR ID MODEL**
查詢： 參與者一般查詢熱線 (電話：2840 3626 電子郵箱：trd@hkex.com.hk)
Enquiry: **Participant General Enquiry Hotline (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

參閱交易所於 2017 年 11 月 30 日發出的通告 (編號：[CT/097/17](#))，中華通交易所參與者 (CCEP) 及透過中華通交易所參與者買賣之交易所參與者 (TTEP) 請留意，香港交易所已於互聯互通網頁內專設的「[北向交易投資者識別碼模式](#)」部分中發布「客戶同意書參考模板」，以便市場參與者就實行北向交易投資者識別碼模式做好準備。

請注意，「客戶同意書參考模板」僅供 CCEP 及 TTEP 參考，不擬亦不應被視為向 CCEP、TTEP 或其各自客戶提供的法律或其他專業意見。CCEP 及 TTEP 亦應不時參閱香港交易所網站以獲得最新消息。

Reference is made to the Exchange Circular (Ref: [CT/097/17](#)) dated 30 November 2017, to facilitate market participants' preparation for the implementation of the Northbound Investor ID Model, China Connect Exchange Participants (CCEPs) and Trade-through Exchange Participants (TTEPs) are requested to note that the "Sample Language for Inclusion in Personal Information Collection Statement concerning Northbound China Connect Orders" (Client Consent Template) has been posted under the dedicated "[Northbound Investor ID Model](#)" section of the Mutual Market website.

Please note that the Client Consent Template is for CCEPs' and TTEP's reference only and is not intended to be, nor should it be regarded as, legal or other professional advice for CCEPs, TTEPs and their respective clients. CCEPs and TTEPs are also advised to refer to the HKEX website from time to time for the latest information.

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