

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **NORTHBOUND INVESTOR ID MODEL – END-TO-END TEST PACKAGE**
Enquiry: **Participant General Enquiry Hotline (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Reference is made to the Exchange Circular (Ref. No. : [CT/042/18](#)) dated 18 April 2018 in relation to the overall testing arrangements and schedule of Northbound (NB) Investor ID model.

The upcoming mandatory End-to-End (E2E) testing for China Connect Exchange Participants (CCEPs) and Trade-through Exchange Participants (TTEPs) will start on **21 May 2018**. For mapping file submission test, it will end on **15 June 2018**. As for Broker-to-Client Assigned Number (BCAN) tagging test, it will end on **29 June 2018**. The relevant **E2E test package** is now available for download (see [Attachment](#) for download procedure) on the Electronic Communication Platform (ECP) or via email request to OTPC@hkex.com.hk.

CCEPs are reminded that they must submit positive test result for offline simulator testing by **14 May 2018** before participating in the E2E testing. CCEPs and TTEPs are further reminded that personal data as defined under the Personal Data (Privacy) Ordinance (PDPO) shall not be used in the E2E testing due to data privacy concerns.

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