

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項： 北向交易投資者識別碼模式 - 交易所規則修訂草案

Subject: **NORTHBOUND INVESTOR ID MODEL – DRAFT RULE AMENDMENTS**

查詢： 參與者一般查詢熱線 (電話：2840 3626 電子郵箱：trd@hkex.com.hk)

Enquiry: **Participant General Enquiry Hotline (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

參閱交易所於 2017 年 11 月 30 日發出的通告 (編號：[CT/097/17](#))，為方便市場參與者就實行北向交易投資者識別碼模式做好準備，香港交易所已於互聯互通網頁內的「[北向交易投資者識別碼模式](#)」部分中發布相關交易所規則修訂草案。交易所參與者請留意以上修訂正受證監會審閱並有待其批准。

Reference is made to the Exchange Circular (Ref: [CT/097/17](#)) dated 30 November 2017, to facilitate market participants' preparation for the implementation of the Northbound Investor ID Model, the related draft amendments to the Rules of the Exchange ("SEHK Rules") are posted in the "[Northbound Investor ID Model](#)" section of the Mutual Market website. Exchange Participants should note that these draft SEHK Rules are being reviewed by and subject to the approval of the Securities and Futures Commission ("SFC").

市場科

現貨交易 高級副總裁

郭含笑 謹啟

Sally Kwok

Senior Vice President, Cash Trading

Markets Division