

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **NORTHBOUND INVESTOR ID MODEL – CONNECTIVITY SESSION AND MARKET REHEARSAL UPDATE**

Enquiry: **Participant General Enquiry Hotline¹ (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Reference is made to the Exchange Circular (Ref. No.: [CT/072/18](#)) dated 5 July 2018. China Connect Exchange Participants (CCEPs) and Trade-through Exchange Participants (TTEPs) are reminded that an optional Connectivity Session (CS) will be held on 21 July 2018 (Saturday) and a mandatory Market Rehearsal (MR) will be held on 28 July 2018 (Saturday) as the final verification of the market's technical readiness before the launch of Northbound Investor ID model.

1. Information Package on CS and MR (Information Package)

An Information Package which sets out the schedules, guidelines and rundowns for the CS and MR is available for download from the dedicated "[Northbound Investor ID Model](#)" section of the Mutual Market website. All CCEPs and TTEPs are required to complete and return the notification form in Appendix A of the Information Package to designate their contact person for the CS and MR **on or before 16 July 2018 (Monday)**. CCEPs are also required to complete the second part of the notification form to indicate whether they will participate in the Post Test Verification Session after the MR.

CCEPs and TTEPs should report to the Exchange of any issues they encounter during the CS and MR. After MR, all CCEPs and TTEPs are required to complete and return the feedback form in Appendix D of the Information Package **on or before 30 July 2018 (Monday)**.

¹ All calls to and from the Participant General Enquiry Hotline would be recorded. HKEX Privacy Policy Statement is available [here](#).

2. Technical Documents Updates

CCEPs and TTEPs are requested to note that the China Stock Connect Northbound Trading Investor ID Model System File Interface Specification has been updated to reject mapping files with voluminous errors and the Cash Market Secure File Transfer Technical Guide has been updated to disallow renaming of submitted files. The updated technical documents have been posted under the dedicated "[Northbound Investor ID Model](#)" section of the Mutual Market website. CCEPs and TTEPs are advised to refer to the HKEX website from time to time for the latest information of the Northbound Investor ID Model.

Subject to the successful completion of the MR and the necessary regulatory approvals, the launch of Northbound Investor ID model will be announced in due course.

Sally Kwok
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