

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **REMINDER ON NORTHBOUND INVESTOR ID MODEL MARKET REHEARSAL**

Enquiry: **Participant General Enquiry Hotline¹ (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Reference is made to the Exchange Circular (Ref. No.: [CT/077/18](#)) dated 11 July 2018, a mandatory market rehearsal (MR) will be held on **28 July 2018 (Saturday)** for all China Connect Exchange Participants (CCEPs) and Trade-through Exchange Participants (TTEPs) to verify their technical readiness before the launch of Northbound Investor ID model. They are required to take note of the following MR arrangements:

1. **All** CCEPs and TTEPs are required to participate in this mandatory MR. There are two parts in the MR: (i) submission of BCAN-CID Mapping File via SFTP server and (ii) submission of orders tagged with BCAN via CCCG. CCEPs are required to complete both parts while TTEPs are required to complete part (i).
2. The total number of records in BCAN-CID Mapping File to be submitted by each CCEP and TTEP must be less than **200** records.
3. All BCANs that were successfully registered during the Connectivity Session (CS) held on 21 July 2018 will be carried forward to the MR on 28 July 2018. Nonetheless, CCEPs and TTEPs are still required to submit new BCANs in the BCAN-CID Mapping File for registration in the MR.
4. In order to provide more detailed information on SFTP connection, Cash Market Secure File Transfer Technical Guide (Technical Guide) - Section 6 "Network Configuration" has been updated and posted under the dedicated "[Northbound Investor ID Model](#)" section of the Mutual Market website. CCEPs and TTEPs must refer to the additional information provided in the

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

update and configure their network accordingly. SFTP server will be available for connection starting from 8:00 am on the MR day.

5. The detailed trading timetable for Post Test Verification Session after the MR has been updated in the Information Package on the MR.
6. CCEPs and TTEPs should report to the Exchange of any issues they encounter during the MR. After MR, they are required to complete and return the feedback form in Appendix D of the Information Package on or before **30 July 2018 (Monday)**.

Subject to the successful completion of the MR and the necessary regulatory approvals, the launch of Northbound Investor ID model will be announced in due course.

Thank you in advance for your participation in the MR.

Sally Kwok
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