

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **SECOND MARKET REHEARSAL (MR2) FOR NORTHBOUND INVESTOR ID MODEL**

Enquiry: **Participant General Enquiry Hotline¹ (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Following the market rehearsal held on 28 July 2018, another mandatory MR2 will be held on **11 August 2018 (Saturday)** for all China Connect Exchange Participants (CCEPs) and Trade-through Exchange Participants (TTEPs) to verify their technical readiness before the launch of Northbound Investor ID model. They are required to take note of the following MR arrangements:

1. An Information Package for MR2 (Information Package) with updated guidelines and rundowns for the MR2 is available for download from the dedicated "[Northbound Investor ID Model](#)" section of the Mutual Market website. CCEPs and TTEPs are required to complete and return the notification form in Appendix A of the Information Package if they need to update the designation of contact person for the MR2 **on or before 8 August 2018 (Wednesday)**. CCEPs are also required to complete the second part of the notification form if they wish to participate in the Post Test Verification Session after the MR2.
2. **All** CCEPs and TTEPs are required to participate in this mandatory MR2. There are two parts in the MR2: (i) submission of BCAN-CID Mapping File via SFTP server and (ii) submission of orders tagged with BCAN via CCCG. CCEPs are required to complete both parts while TTEPs are required to complete part (i).
3. The total number of records in BCAN-CID Mapping File to be submitted by each CCEP and TTEP must be less than **200** records.

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

4. All BCANs that were successfully registered during the Connectivity Session held on **21 July 2018** will be carried forward to the MR2 on 11 August 2018. Please note that BCAN-CID Mapping Files submitted on 28 July 2018 will be disregarded. Nonetheless, CCEPs and TTEPs are still required to submit new BCANs in the BCAN-CID Mapping File for registration in the MR2.
5. SFTP server will be available for connection starting from 8:00 am on the MR2.
6. CCEPs and TTEPs should report to the Exchange of any issues they encounter during the MR2. After MR2, they are required to complete and return the feedback form in Appendix C of the Information Package on or before **13 August 2018 (Monday)**.

Subject to the successful completion of the MR2 and the necessary regulatory approvals, the launch of Northbound Investor ID model will be announced in due course.

Thank you in advance for your participation in the MR2.

Sally Kwok
Senior Vice President
Cash Trading
Markets Division