

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

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通告 CIRCULAR

Subject: Annual Review of Stock Options Trading Fee Tier Classification

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Pursuant to the Operational Trading Procedures for Options Trading Exchange Participants of the Stock Exchange (Appendix B11), the trading fee tier levels of the following stock option classes will be changed, effective from **2 January 2019**, as a result of the annual review:

Underlying Stock	Stock Code	HKATS Code	Tier Change	Trading Fee Change for Clients (HK\$)
The Wharf (Holdings) Ltd.	4	WHL	From Tier 1 to Tier 2	From \$3.0 to \$1.0
BYD Company Ltd.	1211	BYD		
PICC Property and Casualty Co. Ltd.	2328	PIC		
Haitong Securities Co. Ltd.	6837	HAI	From Tier 2 to Tier 3	From \$1.0 to \$0.5
Jiangxi Copper Co. Ltd.	358	JXC		
China Communications Construction Co. Ltd.	1800	CCC		
Aluminum Corporation of China Ltd.	2600	ALC	From Tier 2 to Tier 1	From \$1.0 to \$3.0
China Mengniu Dairy Co. Ltd.	2319	MEN		
CNOOC Ltd.	883	CNC	From Tier 3 to Tier 2	From \$0.5 to \$1.0

The full list of option classes and the new tier levels are set forth in the Attachment for reference.

Li Gang
Co-Head

Market Development Division

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.

Attachment I

List of Stock Option Classes Available for Trading (Effective from 2 January 2019)

a) Stock Option Classes with Contract Size with More Than One Underlying Board Lot Shares

No.	SEHK Code	Underlying Stock Name	HKATS Code	Contract Size (shares)	No. of Board Lots	Tier No.*
1	16	Sun Hung Kai Properties Limited	SHK	1,000	2	1
2	175	Geely Automobile Holdings Ltd.	GAH	5,000	5	1
3	788	China Tower Corporation Limited	XTW	10,000	5	2
4	823	Link Real Estate Investment Trust	LNK	1,000	2	1
5	1099	Sinopharm Group Co. Ltd.	SNP	800	2	1
6	1113	Cheung Kong Property Holdings Ltd.	CKP	1,000	2	1
7	1288	Agricultural Bank of China Limited	XAB	10,000	10	1
8	1299	AIA Group Limited	AIA	1,000	5	1
9	1336	New China Life Insurance Co. Ltd.	NCL	1,000	10	1
10	1339	The People's Insurance Company (Group) of China Limited	PIN	5,000	5	2
11	1359	China Cinda Asset Management Co., Ltd	CDA	5,000	5	2
12	1658	Postal Savings Bank of China Co. Ltd.	XPB	5,000	5	1
13	1810	XiaoMi Corporation	MIU	1,000	5	2
14	1816	CGN Power Co., Ltd	CGN	10,000	10	2
15	1918	Sunac China Holdings Ltd.	SUN	2,000	2	1
16	1988	China Minsheng Banking Corporation Limited	MSB	2,500	5	2
17	2007	Country Garden Holdings Co. Ltd.	COG	5,000	5	1
18	2018	AAC Technologies Holdings Inc.	AAC	1,000	2	1
19	2202	China Vanke Co. Ltd.	VNK	1,000	10	1
20	2238	Guangzhou Automobile Group Co. Ltd.	GAC	4,000	2	1
21	2382	Sunny Optical Technology (Group) Co. Ltd.	SNO	2382	10	1
22	2601	China Pacific Insurance (Group) Co., Ltd.	CPI	1,000	5	1
23	2822	CSOP FTSE China A50 ETF	CSA	5,000	25	1
24	2823	iShares FTSE A50 China Index ETF	A50	5,000	50	1
25	2828	Hang Seng H-Share Index ETF	HCF	1,000	5	1
26	3188	ChinaAMC CSI 300 Index ETF	AMC	2,000	10	1
27	3333	China Evergrande Group	EVG	2,000	2	1
28	3690	Meituan Dianping	MET	500	5	1
29	3800	GCL-Poly Energy Holdings Ltd.	PLE	5,000	5	3
30	6030	CITIC Securities Co. Ltd.	CTS	1,000	2	2
31	6837	Haitong Securities Co., Ltd.	HAI	2,000	5	2

b) Stock Option Classes with Contract Size Equal to One Underlying Board Lot Shares

No.	SEHK Code	Underlying Stock Name	HKATS Code	Contract Size (shares)	Tier No.*	Position Limit (contracts)
1	1	CK Hutchison Holdings Ltd.	CKH	500	1	50,000
2	2	CLP Holdings Limited	CLP	500	1	50,000
3	3	The Hong Kong and China Gas Company Limited	HKG	1,000	2	50,000
4	4	The Wharf (Holdings) Limited	WHL	1,000	2	50,000
5	5	HSBC Holdings Plc.	HKB	400	1	150,000
6	6	Power Assets Holdings Limited	HEH	500	1	50,000
7	11	Hang Seng Bank Limited	HSB	100	2	50,000
8	12	Henderson Land Development Company Limited	HLD	1,000	1	50,000
9	17	New World Development Company Limited	NWD	1,000	2	150,000
10	19	Swire Pacific Limited	SWA	500	1	50,000
11	23	The Bank of East Asia, Limited	BEA	200	3	50,000
12	27	Galaxy Entertainment Group Limited	GLX	1,000	1	100,000
13	66	MTR Corporation Limited	MTR	500	2	50,000
14	135	Kunlun Energy Co. Ltd.	KLE	2,000	2	50,000
15	151	Want Want China Holdings Ltd.	WWC	1,000	3	100,000
16	267	CITIC Limited	CIT	1,000	2	50,000
17	293	Cathay Pacific Airways Limited	CPA	1,000	2	50,000
18	358	Jiangxi Copper Company Limited	JXC	1,000	3	100,000
19	386	China Petroleum & Chemical Corporation	CPC	2,000	2	150,000
20	388	Hong Kong Exchanges and Clearing Limited	HEX	100	2	150,000
21	390	China Railway Group Limited	CRG	1,000	3	150,000
22	489	Dongfeng Motor Group Co. Ltd.	DFM	2,000	2	50,000
23	494	Li & Fung Limited	LIF	2,000	3	100,000
24	688	China Overseas Land & Investment Limited	COL	2,000	1	50,000
25	700	Tencent Holdings Limited	TCH	100	1	150,000
26	728	China Telecom Corporation Limited	CTC	2,000	3	150,000
27	753	Air China Ltd.	AIR	2,000	2	50,000
28	762	China Unicom (Hong Kong) Limited	CHU	2,000	2	150,000
29	857	PetroChina Company Limited	PEC	2,000	2	150,000
30	883	CNOOC Limited	CNC	1,000	2	150,000
31	902	Huaneng Power International, Inc.	HNP	2,000	2	100,000
32	914	Anhui Conch Cement Company Limited	ACC	500	2	150,000
33	939	China Construction Bank Corporation	XCC	1,000	3	150,000
34	941	China Mobile Limited	CHT	500	1	150,000

No.	SEHK Code	Underlying Stock Name	HKATS Code	Contract Size (shares)	Tier No.*	Position Limit (contracts)
35	992	Lenovo Group Limited	LEN	2,000	3	150,000
36	998	China CITIC Bank Corporation Limited	CTB	1,000	3	150,000
37	1044	Hengan International Group Co. Ltd.	HGN	500	1	50,000
38	1088	China Shenhua Energy Company Limited	CSE	500	3	150,000
39	1093	CSPC Pharmaceutical Group Ltd.	CSP	2,000	1	100,000
40	1109	China Resources Land Ltd.	CRL	2,000	1	50,000
41	1171	Yanzhou Coal Mining Company Limited	YZC	2,000	2	50,000
42	1186	China Railway Construction Corporation Limited	CRC	500	3	150,000
43	1211	BYD Company Limited	BYD	500	2	100,000
44	1398	Industrial and Commercial Bank of China Limited	XIC	1,000	3	150,000
45	1800	China Communications Construction Company Limited	CCC	1,000	3	150,000
46	1898	China Coal Energy Company Limited	CCE	1,000	3	150,000
47	1919	China COSCO Holdings Company Limited	CCS	500	3	150,000
48	1928	Sands China Ltd.	SAN	400	2	150,000
49	2282	MGM China Holdings Limited	MGM	400	3	100,000
50	2318	Ping An Insurance (Group) Company of China, Ltd.	PAI	500	1	150,000
51	2319	China Mengniu Dairy Co. Ltd.	MEN	1,000	1	50,000
52	2328	PICC Property and Casualty Company Limited[^]	PIC	2,000	2	100,000
53	2333	Great Wall Motor Co. Limited	GWM	500	3	150,000
54	2388	BOC Hong Kong (Holdings) Limited	BOC	500	2	150,000
55	2600	Aluminum Corporation of China Limited	ALC	2,000	3	150,000
56	2628	China Life Insurance Company Limited	CLI	1,000	2	150,000
57	2777	Guangzhou R&F Properties Co., Ltd.	RFP	400	3	150,000
58	2800	Tracker Fund of Hong Kong	TRF	500	2	150,000
59	2888	Standard Chartered PLC	STC	50	3	150,000
60	2899	Zijin Mining Group Company Limited	ZJM	2,000	3	150,000
61	3323	China National Building Material Company Limited	NBM	2,000	2	150,000
62	3328	Bank of Communications Co., Ltd.	BCM	1,000	3	150,000
63	3888	Kingsoft Corporation Ltd.	KSO	1,000	2	50,000
64	3968	China Merchants Bank Co., Ltd.	CMB	500	2	150,000
65	3988	Bank of China Limited	XBC	1,000	3	150,000

* Unless otherwise specified, tier levels of adjusted contracts shall be the same as their standard contracts.

[^] The tier level of adjusted contract PIA shall be tier 1