

香港交易及結算所有限公司（「香港交易所」）是認可交易所控制人，營運香港聯合交易所有限公司及香港期貨交易所有限公司及其結算所 Hong Kong Exchanges and Clearing Limited（“HKEX”）is the recognised exchange controller which operates The Stock Exchange of Hong Kong Limited and Hong Kong Futures Exchange Limited and their clearing houses

通告 CIRCULAR

Subject: Panel Member Selection Committee invites nominations from Exchange and Clearing Participants of candidates to the Cash Market Consultative Panel, Derivatives Market Consultative Panel and Clearing Consultative Panel (collectively “Consultative Panels”)

Enquiry: Regina Hui, Secretarial Services Department
(Email: reginahui@hkex.com.hk; or Tel: 2840 3063)

The Panel Member Selection Committee (“the Committee”) is pleased to invite nominations from Participants of the stock and futures exchanges (“Exchange Participants”) and their respective clearing houses (“Clearing Participants”) of suitable candidates to the Consultative Panels for consideration and approval.

The Consultative Panels act as the advisory bodies to the HKEX Board to provide market expertise and advice on international market trends, the needs of intermediaries, issuers, investors and other market participants, technological challenges and new product opportunities relating to the Cash Market, Derivatives Market and clearing business. Each of the Consultative Panels consists of two directors of HKEX and eight/nine members selected from representatives of market participants and industry experts. Details of the role and functions of each of the Consultative Panels are set out in their respective terms of reference which are available on the HKEX Group website at https://www.hkexgroup.com/Corporate-Governance/Corporate-Governance-Framework/Corporate-Governance-Practices/Consultative-Panels/Terms-of-reference?sc_lang=en.

Candidates nominated to the Consultative Panels should be a member of the senior management in the Exchange Participant’s or the Clearing Participant’s business and should have a good knowledge of, and expertise in, the relevant market and business. Meetings will be held as the work of the panel demands and members are expected to attend all the meetings in person or by submission. Appointing an alternate member to attend meetings is not allowed.

Selected candidates will be appointed in their personal capacity and their terms of appointment will be for a term of not more than three years commencing June 2019.

An Exchange Participant or a Clearing Participant is entitled to make only one nomination to one relevant panel. Nevertheless, an Exchange Participant who is a participant of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited and their respective clearing houses is entitled to make one nomination to each of the Consultative Panels.

Nominations should be made in the prescribed form as attached. They should be delivered to Mr Joseph Mau, Secretary to the Committee, 8/F, Two Exchange Square, 8 Connaught Place, Central, Hong Kong, or by email to ssd@hkex.com.hk, or by facsimile to 2878 7029 on or before Monday, 15 April 2019.

Nominations will be treated in strict confidence. Personal data provided will only be used for the purpose of nomination of members to the Consultative Panels. The Committee may request the candidates to provide additional information and documents as considered necessary.

Joseph Mau
Secretary
Panel Member Selection Committee

PERSONAL INFORMATION COLLECTION STATEMENT

- (i) "Personal Data" in this statement has the same meaning as "personal data" in the Personal Data (Privacy) Ordinance, Cap 486 of the Laws of Hong Kong ("PDPO").
- (ii) Your supply of Personal Data to HKEX is on a voluntary basis for the purpose of making nominations to the Consultative Panels. Failure to provide the required information may result in HKEX being unable to consider the proposed nomination.
- (iii) Your Personal Data may be disclosed or transferred by HKEX to its subsidiaries and/or other companies or bodies for any of the stated purposes, and retained for such period as may be necessary for our verification and record purposes.
- (iv) You have the right to request access to and/or correction of your Personal Data in accordance with the provisions of the PDPO. Any such request for access to and/or correction of your Personal Data should be in writing by email to: ssd@hkex.com.hk; or by mail to: Hong Kong Exchanges and Clearing Limited, 8/F, Two Exchange Square, 8 Connaught Place, Central, Hong Kong (Attn.: Group Company Secretary).
- (v) Please refer to the HKEX Group's Privacy Policy Statement which is available on the HKEX Group website (https://www.hkexgroup.com/Global/Exchange/Privacy-Policy?sc_lang=en) for further details.

HONG KONG EXCHANGES AND CLEARING LIMITED (“HKEX”)
香港交易及結算所有限公司 (「香港交易所」)
NOMINATION FORM FOR CONSULTATIVE PANEL MEMBERSHIP
諮詢小組成員提名表格

Panel entered: (Please tick one only) **有關的小組:** (只可選一個小組，並加上「✓」號)

☐	Cash Market Consultative Panel 現貨市場諮詢小組
☐	Derivatives Market Consultative Panel 衍生產品市場諮詢小組
☐	Clearing Consultative Panel 結算諮詢小組

Particulars of Proposer 提名人資料

Name of the Participant 參與者名稱		
Currently being a 現時為		
☐ Stock Exchange Participant 聯交所參與者	☐ Futures Exchange Participant 期交所參與者	☐ Clearing House Participant 結算所參與者

Relationship with the Candidate 與候選人的關係

☐ The candidate is employed by the Proposer 候選人是受僱於提名人
☐ The candidate is employed by the Proposer's group of companies 候選人是受僱於提名人的集團公司

Reasons for nominating the Candidate 提名此候選人的原因

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Particulars of the Candidate 候選人資料

Name (English) 姓名 (英文)		Recent photo of Candidate 候選人近照	
Name (Chinese) 姓名 (中文)			
Age 年齡			
Current employment and position 現時職業及職位			
Office address 辦公室地址		Office phone number 辦公室電話號碼	
Residential address 住宅地址		Mobile number 手機號碼	
Email address 電郵地址	Office 辦公室		
	Personal (if available) 私人 (如有)		
Professional qualification and/or educational background 專業資格及 / 或教育背景	Please provide the relevant information in an attachment 請於附件提交有關資料		

Nomination Form for Consultative Panel Membership 諮詢小組成員提名表格

Any positions (currently and previously) held with HKEX and/or other members of the HKEX group
現時或過去擔任香港交易所及 / 或香港交易所集團旗下其他成員公司的任何職位

Accomplishment and experience in the financial services industry, in particular in the Cash Market/Derivatives Market/clearing business
在金融服務業 (特別是現貨市場 / 衍生產品市場 / 結算業務) 的成就和經驗

Has the Candidate ever been a member of the following panel(s) (Please tick as appropriate & specify the period)

候選人曾否擔任下列小組的成員 (請在適當項目旁加上「✓」號, 並註明時期)

☐	Cash Market Consultative Panel 現貨市場諮詢小組	Period 時期:
☐	Derivatives Market Consultative Panel 衍生產品市場諮詢小組	Period 時期:
☐	Clearing Consultative Panel 結算諮詢小組	Period 時期:
☐	Nil 無	

Declaration of the Candidate 候選人聲明

I confirm that I, _____, (i) have never been disqualified from acting or deemed unfit to act as a licensed person in the securities/futures/clearing business by any regulatory authorities in Hong Kong or elsewhere; (ii) have never been personally reprimanded, censured, disciplined, criticised or investigated by any regulatory authorities in Hong Kong or elsewhere; and (iii) have never had any criminal record.

本人確認, 本人 _____ (i) 從來不曾被香港或其他地方的任何監管機構吊銷證券業及 / 或結算業的持牌人資格或視為不適合擔任證券 / 期貨 / 結算業的持牌人; (ii) 個人而言從來不曾遭香港或其他地方的任何監管機構嚴厲譴責、責備、紀律處分、批評或調查; 及 (iii) 沒有任何刑事紀錄。

Please provide details if no declaration could be made. 若未能作出上述聲明, 請提供詳情。

Signature of Exchange/Clearing Participant
(the Proposer)
交易所/結算所參與者(即提名人)簽署
(with company chop) (請蓋上公司印章)

Date 日期:

Signature of Candidate
候選人簽署
(I hereby accept the nomination and the appointment if approved, and confirm that I have obtained consent from my employer to act as a Consultative Panel member)
(本人在此接受有關提名及委任 (如獲批准), 並確認已獲僱主同意擔任諮詢委員會成員)

Date 日期: