

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Amendments to the Rules of the Exchange (the “Rules”)

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Holders of Stock Exchange Trading Rights and Exchange Participants are requested to note that the Securities and Futures Commission (“Commission”) has approved amendments to the Rules for the purpose of extending the duration of the notice period required for a Securities Market Maker to surrender its Securities Market Maker permit or to remove its Designated Specialist from the Register of Designated Specialists in order to align with that required under the revised Code on Unit Trusts and Mutual Funds issued by the Commission.

The amendments, as set out in the Appendix, will come into effect on Tuesday, 2 July 2019.

The marked-up version of the amendments can be downloaded from the “Rule Update - Rules of the Exchange” section of the HKEX website.

Florence Leung
Head of Legal

Rules of the Exchange

FOURTEENTH SCHEDULE

**SECURITIES MARKET MAKER REGULATIONS
("REGULATIONS")**

APPLICATION FOR REGISTRATION OF DESIGNATED SPECIALIST

- (5B) (e) A Securities Market Maker shall give not less than three months' (or such shorter period of time as the Exchange may allow) prior written notice to the Exchange if the Securities Market Maker wishes to have the name of any of its Designated Specialists removed from the Register of Designated Specialists. The Exchange shall, upon expiration of the said notice, revoke the registration of the said Designated Specialist and remove its name and other related details from the Register of Designated Specialists.

SUSPENSION, REVOCATION AND SURRENDER

- (20) An Exchange Participant may surrender at any time its Securities Market Maker permit for any Market Making Security by giving not less than three months' (or such shorter period of time as the Exchange may allow) written notice to that effect to the Exchange.