

香港交易及結算所有限公司（「香港交易所」）是認可交易所控制人，營運香港聯合交易所有限公司及香港期貨交易所有限公司及其結算所 Hong Kong Exchanges and Clearing Limited（「HKEX」）is the recognised exchange controller which operates The Stock Exchange of Hong Kong Limited and Hong Kong Futures Exchange Limited and their clearing houses

通告 CIRCULAR

Subject: **REMINDER - Panel Member Selection Committee invites nominations from Exchange and Clearing Participants of candidates to the Cash Market Consultative Panel, Derivatives Market Consultative Panel and Clearing Consultative Panel (collectively “Consultative Panels”)**

Enquiry: Ada Lau, Secretarial Services Department
(Email: adalau@hkex.com.hk; or Tel: 2840 3292)

The Panel Member Selection Committee (“the Committee”) is pleased to invite nominations from Participants of the stock and futures exchanges (“Exchange Participants”) and their respective clearing houses (“Clearing Participants”) of suitable candidates to the Consultative Panels for consideration and approval.

The Consultative Panels act as the advisory bodies to the HKEX Board to provide market expertise and advice on international market trends, the needs of intermediaries, issuers, investors and other market participants, technological challenges and new product opportunities relating to the Cash Market, Derivatives Market and clearing business. Each of the Consultative Panels consists of one or more director(s) of HKEX and eight/ nine members selected from representatives of market participants and industry experts. Details of the role and functions of each of the Consultative Panels are set out in their respective terms of reference which are available on the HKEX Group website at https://www.hkexgroup.com/Corporate-Governance/Corporate-Governance-Framework/Corporate-Governance-Practices/Consultative-Panels/Terms-of-reference?sc_lang=en.

Candidates nominated to the Consultative Panels shall be a member of the senior management in the Exchange Participant’s or the Clearing Participant’s business and shall have a good knowledge of, and expertise in, the relevant market and business. Meetings will be held as the work of the panel demands and members are expected to attend all the meetings in person or by submission. Appointing an alternate member to attend meetings is not allowed.

Selected candidates will be appointed in their personal capacity and their terms of appointment will be for a term of not more than three years commencing June 2021.

An Exchange Participant or a Clearing Participant is entitled to make only one nomination to one relevant panel. Nevertheless, an Exchange Participant who is a participant of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited and their respective clearing houses is entitled to make one nomination to each of the Consultative Panels.

Nominations should be made in the prescribed form as set out in the Attachment. They should be delivered to Mr David Fu, Secretary to the Committee, 8/F, Two Exchange Square, 8 Connaught Place, Central, Hong Kong, or by email to ssd@hkex.com.hk, on or before Monday, 19 April 2021. Nominations will be treated in strict confidence. Personal data provided will only be used for the purpose of nomination of members to the Consultative Panels. The Committee may request the candidates to provide additional information and documents as considered necessary.

David Fu
Secretary
Panel Member Selection Committee

PERSONAL INFORMATION COLLECTION STATEMENT

- (i) "Personal Data" in this statement has the same meaning as "personal data" in the Personal Data (Privacy) Ordinance, Cap 486 of the Laws of Hong Kong ("PDPO").
- (ii) Your supply of Personal Data to HKEX is on a voluntary basis for the purpose of making nominations to the Consultative Panels. Failure to provide the required information may result in HKEX being unable to consider the proposed nomination.
- (iii) Your Personal Data may be disclosed or transferred by HKEX to its subsidiaries and/or other companies or bodies for any of the stated purposes, and retained for such period as may be necessary for our verification and record purposes.
- (iv) You have the right to request access to and/or correction of your Personal Data in accordance with the provisions of the PDPO. Any such request for access to and/or correction of your Personal Data should be in writing by email to: ssd@hkex.com.hk; or by mail to: Hong Kong Exchanges and Clearing Limited, 8/F, Two Exchange Square, 8 Connaught Place, Central, Hong Kong (Attn.: Group Company Secretary).
- (v) Please refer to the HKEX Group's Privacy Policy Statement which is available on the HKEX Group website (https://www.hkexgroup.com/Global/Exchange/Privacy-Policy?sc_lang=en) for further details.