

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **Regular OTP-C and OTP-CSC Rehearsal for System Recovery under
Emergency Situation on 6 November 2021**

Enquiry: **Participant General Enquiry Hotline¹ (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Exchange Participants ("EPs") are advised that a regular OTP-C and OTP-CSC rehearsal for system recovery under emergency situation (the "Rehearsal") will be conducted on Saturday, 6 November 2021 between 11:00am and 3:10pm.

The objective of the Rehearsal is to enable EPs and related parties to familiarize themselves with contingency procedures and related operational matters upon simulated service outage in the HKEX primary data center and restart of various HKEX systems at the secondary data center. All EPs and related parties are cordially invited to participate in the Rehearsal.

The Rehearsal will cover the system recovery mode "Activate OTP-C and OTP-CSC Backup System after TKO Data Center site failover to backup site during the Continuous Trading Session" under emergency situation. During the Rehearsal, all production trading devices in EP's offices which are subscribed for trading purpose and connected to OTP-C or OTP-CSC will be available for use from 11:00am.

EPs rely on market datafeed vendors for providing price updates on their trading screens should liaise with their respective market datafeed vendors for providing the services during the Rehearsal.

Before the Rehearsal, EPs are required to complete and submit the "Notification Form for Participation in 2021 Market Rehearsal" form ([Attachment 1](#)) to Trading Department of HKEX through Electronic Communication Platform (ECP) on or before 22 October 2021.

EPs are advised to follow the guidelines set out in [Attachment 2](#) during the Rehearsal.

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

After completion of the Rehearsal, EPs are required to complete and submit the “Rehearsal Evaluation Reply” Form ([Attachment 6](#)) to Trading Operations of HKEX through Electronic Communication Platform (ECP) during 8 to 10 November 2021.

EPs should read the following attachments carefully and familiarize themselves with the said guidelines and related procedures before the Rehearsal.

Attachment 1: Notification Form for Participation in 2021 Market Rehearsal

Attachment 2: Guidelines for Exchange Participants during the Rehearsal

Attachment 3: OTP-C Activity Rundown for the Rehearsal

Attachment 4: OTP-CSC Activity Rundown for the Rehearsal

Attachment 5: Contingency Arrangements on Typhoon, Extreme Conditions and Rainstorm Warning on the Day of Rehearsal

Attachment 6: Rehearsal Evaluation Reply Form

Thank you in advance for your participation.

Olivia Mak
Senior Vice President
Trading Department
Operations Division