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香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **MANDATORY PRACTICE SESSION FOR ROLLING OUT THE SUBMISSION
FUNCTIONS OF THE ELECTRONIC COMMUNICATION PLATFORM 2.0 (ECP 2.0)**
Enquiry: **Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)**

Following the successful Phase 1 rollout of Electronic Communication Platform 2.0 (ECP 2.0) on download function (Ref No: [CT/028/22](#)), a **mandatory Practice Session** for Phase 2 rollout of submission functions will be arranged on **14 May 2022 (Saturday)** for Exchange Participants (EPs) to verify their readiness.

Phase 2 Migration Approach

To reduce operational risk, the various submission folders in ECP will be migrated from the existing ECP to ECP 2.0 by batches (see the folder list of the first migration batch at the appendix 7.3 of [ECP 2.0 User Guide](#)). Once the relevant submission folder is migrated to ECP 2.0, the same folder in the existing ECP will be disabled and hidden immediately. EPs will be allowed to submit the corresponding files via ECP 2.0 only.

To allow EPs to verify their readiness of the file submission functions, the corresponding folders to be migrated in the first batch including the **Stamp Duty Daily Return (SD-1)** and **ETF Market Makers Underlying Stock Transactions Report Form (SD-6)**, will be available for submission during the Practice Session. The migration schedule is tentatively in early June.

Practice Session Arrangements

To ensure EPs' readiness for the switchover of submission folders, all EPs are required to take the following actions accordingly.

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

#	Date & Time	Events	Details
1.	By 10 May 2022 (Tuesday)	Practice Session Notification Form	All EPs are required to complete and submit the Notification Form for acknowledging their participation in the Practice Session (Attachment 1) via the existing ECP by 10 May 2022 (Tuesday).
2.	By 13 May 2022 (Friday)	ECP 2.0 Access Setup in Client Connect	All EPs are required to set up their access to ECP 2.0 in Client Connect prior to the Practice Session. Please refer to the Guidelines for Setting up the Access to ECP 2.0 via Client Connect for details.
3.	14 May 2022 (Saturday)	Mandatory Practice Session	All EPs are required to participate in the mandatory Practice Session. - EPs should ensure their access to ECP 2.0 via Client Connect has been properly set up. - EPs should follow the activity rundown (Attachment 2) during the Practice Session. The relevant submission folders will be enabled during the Practice Session but the files submitted by EPs will not be processed in production.
4.	By 17 May 2022 (Tuesday)	Declaration of Readiness for the Phase 2 rollout	After the successful completion of Practice Session, all EPs are required to complete and submit the declaration form (Attachment 3) via ECP 2.0 by 17 May 2022 (Tuesday).

Practice on using the Secure File Transfer Protocol (SFTP) service

EPs can also familiarise themselves with the submission by using SFTP during the Practice Session. As the SFTP service is already available in [ECP 2.0](#), EPs are encouraged to follow the [ECP 2.0 User Guide](#) to set up the Client Connect account, public key registration as well as the IP address for the SFTP service for downloading files via the Securities and Derivatives Network (SDNet).

For enquiries regarding this project and the Practice Session, please contact the ECP Support team at ecpadmin@hkex.com.hk.

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