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香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **ROLLOUT OF ADDITIONAL SUBMISSION AND DOWNLOAD FUNCTIONS OF ELECTRONIC COMMUNICATION PLATFORM 2.0 (ECP 2.0)**

Enquiry: **Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)**

With reference to the Exchange Circular (Ref No: [CT/055/22](#)), Electronic Communication Platform 2.0 ("ECP 2.0") has been introduced earlier this year to replace the current Electronic Communication Platform ("ECP") gradually. Following the successful rollout in Q2 2022, the remaining file submission and download functions will be migrated from existing ECP to ECP 2.0 by end of December 2022.

Large Open Position Report (LOPR) Submission

LOPR submission will be rolled out in ECP 2.0 in December 2022. Since existing ECP credentials used for LOPR submission cannot be used in ECP 2.0, LOPR submitters must set up their access to ECP 2.0 via Client Connect in order to enable their LOPR submission under ECP 2.0.

All LOPR submitters are required to register at least **TWO** Delegated Administrators ("DA") role in Client Connect. Registered DAs will be responsible for ongoing user maintenance on behalf of their company.

To ensure a smooth transition from existing ECP to ECP 2.0 for LOPR submission, all existing LOPR submitters are required to note the below arrangements and act accordingly.

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

#	Users	Arrangement & Action
1.	Non-Client Connect users	- Register ECP 2.0 DAs by filling in the form here and returning the duly completed forms by email to lophkfe@HKEX.COM.HK by 31 August 2022 (Wednesday). (i) Each newly registered DA of the company will receive email notification when the account setup is completed. (ii) Upon receiving the email notification, the registered DAs should further create or edit the user accounts in Client Connect for their business users and assign the functions for LOPR submission in ECP 2.0. - Please refer to Attachment 1 for the guidelines of account creation and assignment of ECP 2.0 functions.
2.	LOPR submitters who are existing Client Connect users	- Please verify if DA roles and relevant user profiles for LOPR submission through ECP 2.0 have been assigned via Client Connect. - If DA roles and relevant user profiles for LOPR submission have NOT been assigned in Client Connect, please register the DAs by filling in the form here and return the duly completed form by email to lophkfe@HKEX.COM.HK by 31 August 2022 (Wednesday). - If DA roles have been assigned in Client Connect according to your previous registration, please check and ensure the relevant user profiles and access rights of your company have been duly setup for LOPR submission by 31 October 2022 (Monday). - Please refer to Attachment 1 for the guidelines of account creation and assignment of ECP 2.0 functions.

An optional practice session will be arranged in November 2022, tentatively prior to the rollout. Detailed arrangement will be announced in due course. If LOPR submitters have further enquiries, please send email to lophkfe@HKEX.COM.HK.

Migration of other File Submission and Download Functions

For the migration of other file submission and download functions, please refer to [Attachment 2](#) for details.

Files Download on ECP 2.0

Exchange Participants (EPs) are reminded that the files listed below are already available on ECP 2.0 for download and **the distribution through existing ECP will be stopped starting from 1 October 2022.**

File Category	Files
Hong Kong Securities Market Files (Morning)	CTF morning trade file
Hong Kong Securities Market Files (Day End)	CTF closing Price file
	CTF day end trade file
Stock Connect Service Files (Morning)	Stock Code Mapping File for China Connect Securities
	Update of Sellable Positions for SSE
	Update of Sellable Positions for SZSE
	Morning Trade File for China Connect Securities
Stock Connect Service Files (Day End)	Closing Price File for SSE
	Closing Price File for SZSE
	Day-end Trade File for China Connect Securities
Stock Connect Service Files (Ad-Hoc)	Ad hoc Additional Trade File for China Connect Securities

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