

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **(1) Trading Arrangements; and (2) Submission of Stamp Duty Daily Return, Options Market Maker Jobbing Transaction Composite Reporting Form, ETF Market Makers Underlying Stock Transactions Reporting Form, ETF Market Makers Underlying Stock Transactions Reporting Form (Supplemental) and DCMM Activity Form During Eves of Christmas, New Year and Lunar New Year**

Enquiry: **Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)**

1. Trading Arrangements

Exchange Participants (EPs) are requested to note that in accordance with Rule 501 of the Rules of the Exchange on trading hours, the eves of the coming Christmas (Sunday, 24 December 2023) and New Year (Sunday, 31 December 2023) are non-trading days and the trading day before that day (Friday, 22 December 2023 and Friday, 29 December 2023) will be full trading days. However, please be reminded that there will be **no afternoon trading session in Hong Kong securities market on the eve of the coming Lunar New Year (Friday, 9 February 2024).**

For reference, the respective first days of ex-entitlements quotation of securities which are different from the normal schedule due to no settlement on the eve of coming Lunar New Year is summarized below: -

For an issue of securities with its book closure date(s) commencing from		First Ex-Entitlements Trading Date due to no settlement on the eve day	
Friday	9 February 2024	Wednesday	7 February 2024*
Saturday	10 February 2024	Wednesday	7 February 2024
Sunday	11 February 2024	Wednesday	7 February 2024
Monday	12 February 2024	Wednesday	7 February 2024
Tuesday	13 February 2024	Wednesday	7 February 2024
Wednesday	14 February 2024	Wednesday	7 February 2024
Thursday	15 February 2024	Thursday	8 February 2024

¹All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

For an issue of securities with its book closure date(s) commencing from	First Ex-Entitlements Trading Date due to no settlement on the eve day
Friday 16 February 2024	Wednesday 14 February 2024*

* remains unchanged as normal

Please also be reminded that trade amendments and short selling input errors must be reported no later than 9:45 am on the next trading day in accordance with the Rules of the Exchange.

2. Submission of Return and Reporting Forms

Similarly, EPs should submit Stamp Duty Daily Return (Form SD-1), Options Market Maker Jobbing Transaction Composite Reporting Form (Form SD-4), ETF Market Makers Underlying Stock Transactions Reporting Form (Form SD-6), ETF Market Makers Underlying Stock Transactions Reporting Form (Supplemental) (Form SD-6A) and DCMM Activity Form (Form DCMMAF) on the following trading dates: -

A. Stamp Duty Daily Return (Form SD-1)

Trading Date	Corresponding Submission Date (before 11:00 am)
Tuesday 6 February 2024	Thursday 8 February 2024*
Wednesday 7 February 2024	Wednesday 14 February 2024
Thursday 8 February 2024	Thursday 15 February 2024
Friday 9 February 2024	Thursday 15 February 2024*

* remains unchanged as normal

EPs must not combine the data for any two or more trading days into one Return.

B. Options Market Maker Jobbing Transaction Composite Reporting Form (Form SD-4), ETF Market Makers Underlying Stock Transactions Reporting Form (Form SD-6) and DCMM Activity Form (Form DCMMAF)

Trading Date	Corresponding Submission Date (before 10:15 am)
Wednesday 7 February 2024	Thursday 8 February 2024*
Thursday 8 February 2024	Wednesday 14 February 2024
Friday 9 February 2024	Wednesday 14 February 2024*

* remains unchanged as normal

C. ETF Market Makers Underlying Stock Transactions Reporting Form (Supplemental) (Form SD-6A)

Trading Date		Corresponding Submission Date (before 10:15 am)	
Monday	5 February 2024	Thursday	8 February 2024*
Tuesday	6 February 2024	Wednesday	14 February 2024
Wednesday	7 February 2024	Thursday	15 February 2024
Thursday	8 February 2024	Friday	16 February 2024
Friday	9 February 2024	Friday	16 February 2024*

* remains unchanged as normal

For detailed arrangements on settlement, please refer to the circular(s) issued by HKSCC.

Chan Shun Wah
Vice President
Trading Department
Operations Division