

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Proposed Cessation of Trading, Termination, Voluntary Deauthorisation and Delisting
- Fubon FTSE Taiwan RIC Capped Index ETF
(Stock Code: 3021)
(the “Terminating Sub-Fund”)

Enquiry: Participant General Enquiry Hotline¹ (Tel : 2840 3626 E-mail : trd@hkex.com.hk)

Exchange and CCASS Participants are requested to note that Fubon Fund Management (Hong Kong) Limited (the “Manager”) has issued an Announcement and Notice on HKEXnews.hk (<https://www1.hkexnews.hk/listedco/listconews/sehk/2025/1010/2025101000294.pdf>) concerning the proposed cessation of trading, termination, voluntary deauthorization, delisting and non-applicability of certain provisions of the Code on Unit Trusts and Mutual Funds (the “Announcement and Notice”).

Capitalized terms used herein shall have the same meanings set out in the Announcement and Notice unless the context otherwise requires.

According to the Announcement and Notice, the Last Trading Day of the Units in the Terminating Sub-Fund on The Stock Exchange of Hong Kong Limited (the “SEHK”) will be 10 November 2025, after which the Terminating Sub-Fund will no longer be traded on the SEHK. The expected Deauthorisation and Delisting of the Terminating Sub-Fund will be after the Termination Date (expected to be 15 December 2025) within 6 calendar months from the Termination Date.

Upon Deauthorisation and Delisting of the Terminating Sub-Fund, the Terminating Sub-Fund will no longer be subject to Hong Kong regulation by the Securities and Futures Commission (the “SFC”) nor recognized as the eligible securities in Central Clearing and Settlement System (“CCASS”) by Hong Kong Securities Clearing Company Limited (“HKSCC”).

Exchange and CCASS Participants should inform and liaise with their clients as soon as possible to take any appropriate action(s). Potential course of action(s) to be taken by investors on or before the Last Trading Day are briefly mentioned below.

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

Potential actions to be taken by investors on or before the Last Trading Day

1. Trading on the SEHK on any trading day up to and including the Last Trading Day (i.e. from now up to and including 10 November 2025)

On any trading day up to and inclusive of the Last Trading Day, an investor may continue to buy or sell its Shares on the SEHK in accordance with the usual trading arrangements, during the trading hours of the SEHK and based on the prevailing market prices. The Market Makers of the Terminating Sub-Fund will continue to perform their market making functions in accordance with the Rules of the Exchange until the Last Trading Day. These Exchange Trades will be cleared inside CCASS and automatically settled on a Continuous Net Settlement (CNS) basis as usual unless they are isolated from the CNS System by the counterparty Exchange Participants at the time of trade or isolated from the CNS System by HKSCC, in which case such trades will be settled on a trade-for-trade (TFT) basis.

2. Holding Units after the Last Trading Day

For Relevant Investors (as defined below) who are still holding Shares after the Last Trading Day, the Manager will, after consulting the Custodian and the Auditor, declare a final distribution of assets (the “Final Distribution”) to those investors who remain invested in the Terminating Sub-Fund as at the Distribution Record Date (the “Relevant Investors”). Such Final Distribution is expected to be made on or around 5 December 2025.

Each Relevant Investor will be entitled to a Final Distribution of an amount equal to the Terminating Sub-Fund’s then Net Asset Value in proportion to the Relevant Investor’s Shares as at the Distribution Record Date. The Terminating Sub-Fund’s then Net Asset Value will be the total value of the net proceeds from the realisation of its assets, excluding (i) any taxes payable; and (ii) any expenses payable.

The Final Distribution payable to each Relevant Investor is expected to be paid on or around 5 December 2025 to the accounts of its financial intermediary or stockbroker maintained with CCASS as at the Distribution Record Date. The Manager will issue a further announcement to inform the Relevant Investors of the exact day of payment of the Final Distribution, together with the amount of Final Distribution per Share in respect of the Terminating Sub-Fund on or around 24 November 2025.

The Manager does not expect or anticipate there will be a further distribution after the Final Distribution. However, in the unlikely event there is a further distribution after the Final Distribution, the Manager will issue an announcement informing the Relevant Investors.

IMPORTANT NOTE: If an investor disposes of its Shares at any time on or before the Last Trading Day, such investor will not in any circumstances be entitled to any portion of the Final Distribution or any further distribution (if any) in respect of any Shares so disposed. Investors should therefore exercise caution and consult their professional and financial advisers before dealing in their Shares or otherwise deciding on any course of actions to be taken in relation to their Shares.

Exchange/CCASS Participants are urged to:

- forward a copy of the Announcement and Notice to their clients holding Shares, and inform them of the contents of the Announcement and Notice as soon as possible;
- facilitate their clients who want to dispose of Shares on or before the Last Trading Day; and
- inform their clients as soon as possible if any earlier dealing deadline, additional fees or charges, and/or other terms and conditions will be applicable in respect of the provision of their services in connection with any disposal of Shares.

If you have any questions in relation to the contents of the Announcement and Notice, please direct them to your stockbrokers or financial intermediaries or contact the Manager at +852 3918 3288 or visit the Manager's office at Unit D, 19/F, Lee & Man Commercial Center, 169 Electric Road, Hong Kong.

Trading in the ETF(s) may not be suitable for all members of the public. Exchange Participants should advise their clients to carefully consider whether trading in the ETF(s) is/are appropriate for them in light of their understanding of the product nature and characteristics, their own investment objectives, skills and experience, financial resources, risk tolerance and other relevant circumstances prior to making any decision.

Further information such as the prospectus and other documents issued by the manager is available on the [HKEXnews website](#) and on the [ETP webpage](#)

**Olivia Mak
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