

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Hong Kong Investor Identification Regime for the Derivatives Market (HKIDR-DM) –
Publication of Information Paper and Launch of Web Corner

Enquiry: HKATS Hotline¹ Tel: 2211-6360

Reference is made to the [consultation conclusions](#) issued by the Securities and Futures Commission (SFC) on 23 Jun 2026 regarding the implementation of the investor identification regime at trading level for the exchange-traded derivatives market in Hong Kong (HKIDR-DM). As mentioned in the SFC's consultation conclusions, HKEX has prepared an information paper to provide further details on the operational logistics of HKIDR-DM. The paper is available on the [HKIDR-DM dedicated web corner](#) of the HKEX website.

Web Corner

HKEX has established an [HKIDR-DM dedicated web corner](#) on its website to facilitate Exchange Participants (EPs) and other Relevant Regulated Intermediaries (RRIs)² in preparing for the launch of HKIDR-DM. The web corner provides reference materials relating to HKIDR-DM, including links to the SFC's consultation and conclusions paper, HKEX's information paper and relevant circulars. It will be updated from time to time to include new materials, such as File Interface Specification and Technical Specifications, which will be published in due course.

¹ All calls to the HKATS hotline will be recorded. Please refer to the following link for HKEX privacy policy statement: [Privacy Notice \(hkex.com.hk\)](#)

² Relevant Regulated Intermediaries refer to SFC-licensed corporations and registered institutions subject to the HKIDR pursuant to the proposed paragraph 5.6A of the SFC's Code of Conduct for Persons Licensed by or Registered with the SFC as set out in the Appendix C in the SFC's consultation conclusions paper

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