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通告 CIRCULAR

Subject: Hong Kong Investor Identification Regime for the Derivatives Market (“HKIDR-DM”) – Publication of HKIDR-DM File Interface Specification and Updated Orion Derivatives Platform (“ODP”) Technical Specification Documents

Enquiry: HKATS Hotline¹ Tel: 2211-6360

Reference is made to the circulars dated 3 July 2026 (Ref: [MO/DT/164/26](#) and [MO/DT/165/26](#)) regarding the publication of the information paper and launch of the dedicated web corner for the Hong Kong Investor Identification Regime for the Derivatives Market (“HKIDR-DM”).

To facilitate Exchange Participants of Hong Kong Futures Exchange Limited (“HKFE EPs”), Options Trading Exchange Participants of the Stock Exchange of Hong Kong Limited (“SEHK OTEPs”) (HKFE EPs and SEHK OTEPs collectively referred to as “EPs” in this circular), other Relevant Regulated Intermediaries (“RRIs”) and vendors in preparing for the implementation of HKIDR-DM, HKEX has published the HKIDR-DM File Interface Specification and updated two existing Orion Derivatives Platform (“ODP”) technical documents. Links to access these documents are available on the [HKIDR-DM dedicated web corner](#):

- **HKIDR-DM File Interface Specification**, which sets out the file specifications and reporting requirements for HKIDR-DM submissions;
- **HKEX Orion Derivatives Platform – Trading: Binary Specification**, which provides the technical and system interface specifications relating to the implementation of HKIDR-DM through HKEX Orion Derivatives Platform – Trading (“ODP-TR”); and

¹ All calls to the HKATS hotline will be recorded. Please refer to the following link for HKEX privacy policy statement: [Privacy Notice \(hkex.com.hk\)](#)

- **HKEX Orion Derivatives Platform – Trading: Offline Simulator Package**, which provides tools and guidance on conducting ODP-TR offline testing.

EPs and RRIIs are advised to review the documents and make the necessary preparations for the implementation of HKIDR-DM. ODP-TR: Binary Specification and ODP-TR: Offline Simulator Package will be available for download via the Electronic Communication Platform (“**ECP**”) channel. ECP Business users with the function ID “EU ECPDO” or “EU ECPSO” are authorised to download the materials. Software vendors should reach out to their EP clients to obtain the necessary documentation.

For enquiries or if non-EP RRIIs cannot download the documents in ECP, please contact the HKATS Hotline at +852 2211 6360 or email hkatssupport@hkex.com.hk.

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This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.