

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Proposed cessation of trading of the Hong Kong shares on SEHK, proposed compulsory redemption of the Hong Kong shares, proposed voluntary delisting of the sub-funds in Hong Kong, proposed voluntary deauthorisation of the Company and the sub-funds in Hong Kong

- Xtrackers MSCI USA Swap UCITS ETF (Stock Code: 3020)
 - Xtrackers MSCI Taiwan UCITS ETF (Stock Code: 3036)
 - Xtrackers Nifty 50 Swap UCITS ETF (Stock Code: 3015)
 - Xtrackers MSCI Korea UCITS ETF (Stock Code: 2848)
 - Xtrackers MSCI China A UCITS ETF (Stock Code: 3007)
 - Xtrackers Vietnam Swap UCITS ETF (Stock Code: 3087)
- (each, a “Sub-Fund” and collectively, the “Sub-Funds”)

Enquiry: Participant General Enquiry Hotline¹ (Tel : 2840 3626 E-mail : trd@hkex.com.hk)

Exchange and CCASS Participants are requested to note that the Board of Directors of Xtrackers (“the Company”) has issued an Announcement on HKEXnews.hk (<https://www1.hkexnews.hk/listedco/listconews/sehk/2026/0915/2026091500340.pdf>)

concerning the (i) The proposed cessation of trading of the Hong Kong shares on SEHK, (ii) the proposed compulsory redemption of the Hong Kong shares, (iii) the proposed voluntary delisting of the Sub-Funds in Hong Kong, (iv) the proposed voluntary deauthorisation of the Company and the Sub-Funds in Hong Kong, and (v) non-applicability of certain provisions of the Code on Unit Trusts and Mutual Funds (the “Announcement”).

Capitalized terms used herein shall have the same meanings set out in the Announcement unless the context otherwise requires.

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

According to the Announcement, the Last Trading Day of the Shares in the Sub-Funds on The Stock Exchange of Hong Kong Limited (the “SEHK”) is expected to be 30 November 2026, after which the Sub-Funds will no longer be traded on the SEHK. The expected Deauthorisation and Delisting date of the Sub-Funds will be shortly after the Hong Kong Payment Date (on or before 15 December 2026).

Upon Deauthorisation and Delisting of the Sub-Funds, the Sub-Funds will no longer be subject to Hong Kong regulation by the Securities and Futures Commission (the “SFC”) nor recognized as the eligible securities in Central Clearing and Settlement System (“CCASS”) by Hong Kong Securities Clearing Company Limited (“HKSCC”).

Exchange and CCASS Participants should inform and liaise with their clients as soon as possible to take any appropriate action(s). Potential course of action(s) to be taken by investors on or before the Last Trading Day are briefly mentioned below.

Potential actions to be taken by investors on or before the Last Trading Day

1. Trading on the SEHK on any trading day up to and including the Last Trading Day (i.e. from now up to and including 30 November 2026)

On any trading day up to and including the Last Trading Day, an investor may continue to buy or sell Hong Kong Shares on the SEHK in accordance with the usual trading arrangements currently in place during the trading hours of the SEHK based on the prevailing market prices. Market Maker(s) of Sub-Funds shall continue to provide liquidity in accordance with the Rules of the Exchange to facilitate the trading of Hong Kong Shares on the SEHK. These Exchange Trades will be cleared inside CCASS and automatically settled on a Continuous Net Settlement (CNS) basis as usual unless they are isolated from the CNS System by the counterparty Exchange Participants at the time of trade or isolated from the CNS System by HKSCC, in which case such trades will be settled on a trade-for-trade (TFT) basis.

2. Holding Units after the Last Trading Day

If an investor wishes to remain invested in a Sub-Fund via another stock exchange where the Delisting Share Class of such Sub-Fund continues to be listed subsequent to the Delisting and Deauthorisation, such investor may, up to 2 December 2026 and subject to availability of such services from a financial intermediary and meeting necessary requirements including but not limited to having a securities account in the relevant overseas jurisdiction in which the Shares are listed, initiate a realignment of their Hong Kong Shares.

For the purposes of effecting a realignment of Hong Kong Shares, requests for transfer of Hong Kong Shares held in an account in CCASS to an ICSD account by way of a book entry transfer will be accepted up to 2 December 2026 in accordance with the sub-section headed “Delivery of Shares out of CCASS for delivery to an ICSD” under the section headed “Global

Clearing and Settlement, International Central Securities Depository and Common Depositary” in the Hong Kong Prospectus.

Investors should note that any Hong Kong Shares acquired on or shortly before the Last Trading Day are subject to the usual settlement procedures of Hong Kong Shares, and, in case of settlement delays beyond control of the Company or the Management Company, may not be settled in time for realignment of such Hong Kong Shares to be initiated before 2 December 2026. In such circumstances, such Hong Kong Shares will be subject to the Compulsory Redemption. Investors are advised to contact their financial intermediaries as soon as possible should they wish to initiate any realignment of Hong Kong Shares.

For Shareholders of Hong Kong Shares who do not dispose of or realign their Hong Kong Shares prior to the Delisting and Deauthorisation, all of their outstanding Hong Kong Shares in each Delisting Share Class as of the Trading Cessation Date will be compulsorily redeemed on 8 December 2026 (i.e. the Compulsory Redemption Date).

The Hong Kong record date in respect of any payment of redemption proceeds resulting from such Compulsory Redemption of the Hong Kong Shares in each Delisting Share Class (the “Redemption Proceeds”) is expected to be 4 December 2026. The Redemption Proceeds resulting from such Compulsory Redemption are expected to be paid to the Shareholders of Hong Kong Shares on or before 15 December 2026. It should be noted that Shareholders who hold Hong Kong Shares via intermediaries may receive payment of the Redemption Proceeds later than the Hong Kong Payment Date.

Further announcements will be issued by the Company in due course to inform Shareholders of Hong Kong Shares of the Hong Kong Payment Date, and the Compulsory Redemption Price, the Delisting and Deauthorisation Date and any changes to the schedule of proposed key dates.

IMPORTANT NOTE: If Shareholders dispose of their holdings in the Hong Kong Shares at any time on or before the Last Trading Day, they will not, in any circumstances, be entitled to any portion of the Redemption Proceeds resulting from the Compulsory Redemption in respect of any such Shares so disposed. Investors should exercise caution and consult with their professional and financial advisers before dealing in the Hong Kong Shares or otherwise deciding on any course of action to be taken.

Exchange/CCASS Participants are urged to:

- forward a copy of the Announcement to their clients holding Hong Kong Shares, and inform them of the contents of the Announcement as soon as possible;
- assist their clients who want to dispose of their Hong Kong Shares on or before the Last Trading Day;
- inform their clients as soon as possible if any earlier dealing deadline, additional fees or charges, and/or other terms and conditions will be applicable in respect of the provision of their services in connection with any disposal of Hong Kong Shares; and

- if applicable, inform their clients of the payment of Redemption Proceeds resulting from Compulsory Redemption and the possible impact in relation to such arrangements to their clients.

If you have any queries concerning the Announcement, please direct them to your financial adviser or alternatively to the Company's Hong Kong Representative at Level 60, International Commerce Centre, 1 Austin Road West, Kowloon, Hong Kong (telephone number: +852 2203 6886) or by sending an email to Xtrackers@dws.com.

Trading in the ETF(s) may not be suitable for all members of the public. Exchange Participants should advise their clients to carefully consider whether trading in the ETF(s) is/are appropriate for them in light of their understanding of the product nature and characteristics, their own investment objectives, skills and experience, financial resources, risk tolerance and other relevant circumstances prior to making any decision.

Further information such as the prospectus and other documents issued by the manager is available on the [HKEXnews website](#) and on the [ETP webpage](#)

**Olivia Mak
Senior Vice President
Trading Department
Operations Division**

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.