NORTHBOUND ELIGIBLE STOCK LISTS –SHENZHEN-HONG KONG STOCK CONNECT

Update History

No.	Issue Date	Details
1	2016-10-14	First Issue

Product description

This is a suite of files providing the complete set of eligible stock lists for northbound trading under Shenzhen-Hong Kong Stock Connect, and contains the following 12 files which are zipped into a single file for delivery.

Stock List	File Name	Language
Eligible stocks for buy and sell	SZSE_Securities.xls	English
	SZSE_Securities.csv	English
	SZSE_Securities_c.xls	Traditional Chinese
	SZSE_Securities_c.csv	Traditional Chinese
Eligible stocks for sell only	Special_SZSE_Securities.xls	English
	Special_SZSE_Securities.csv	English
	Special_SZSE_Securities_c.xls	Traditional Chinese
	Special_SZSE_Securities_c.csv	Traditional Chinese
Eligible stocks for Margin Trading	SZSE_Securities_for_Margin_Trading.xls	English
	SZSE_Securities_for_Margin_Trading_c.xls	Traditional Chinese
Eligible stocks for Short Selling	SZSE_Securities_for_Short_Selling.xls	English
	SZSE_Securities_for_Short_Selling_c.xls	Traditional Chinese

File specifications

Section A.1

a) Applicable stock lists

Stock List	File Name	Format	Language
Eligible stocks for both buy and sell	SZSE_Securities.xls	Excel	English
Eligible stocks for sell only	Special_SZSE_Securities.xls	Excel	English

b) Layout

Row	Column	Content	Format	Value
1	A	File Header	Fixed text	The name of the eligible stock list
3	A	Updated Date	DD MMMM YYYY	Example: "Updated: 29 September 2016"
4	A	Description	Fixed text	"For the following Northbound trading day"
5	A	Column Header	Fixed text	"No."
5	B	Column Header	Fixed text	"SZSE Stock Code"
5	C	Column Header	Fixed text	"CCASS Stock Code"
5	D	Column Header	Fixed text	"Stock Name"
5	E	Column Header	Fixed text	"Face Value (RMB)"
6 to n*	A	Position Number	Integer	Sequential starting from 1
6 to n*	B	SZSE Stock Code	Integer	Self explanatory
6 to n*	C	CCASS Stock Code	Integer	Self explanatory
6 to n*	D	Stock Name	String	Stock name in English
6 to n*	E	Face Value (RMB)	Numeric	Self explanatory

* n denotes the last non-empty row

Section A.2

a) Applicable stock lists

Stock List	File Name	Format	Language
Eligible stocks for both buy and sell	SZSE_Securities.csv	CSV	English
Eligible stocks for sell only	Special_SZSE_Securities.csv	CSV	English

b) Layout

Same content and arrangement as Section A.1 but in Comma Separated Value (CSV) format with all fields (including numeric and integer) provided as text in quotes.

Section B.1

a) Applicable stock lists

Stock List	File Name	Format	Language
Eligible stocks for both buy and sell	SZSE_Securities_c.xls	Excel	Traditional Chinese
Eligible stocks for sell only	Special_SZSE_Securities_c.xls	Excel	Traditional Chinese

b) Layout

Row	Column	Content	Format	Value
1	A	File Header	Fixed text	The name of the eligible stock list
3	A	Updated Date	YYYY 年 MM 月 DD 日	Example: "更新日期：2016 年 10 月 13 日"
4	A	Description	Fixed text	"適用於下一個深股通交易日"
5	A	Column Header	Fixed text	"數目"
5	B	Column Header	Fixed text	"深交所股份編號"
5	C	Column Header	Fixed text	"CCASS 股份編號"
5	D	Column Header	Fixed text	"股票名稱"
5	E	Column Header	Fixed text	"面值(人民幣)"
6 to n*	A	Position Number	Integer	Sequential starting from 1
6 to n*	B	SZSE Stock Code	Integer	Self explanatory
6 to n*	C	CCASS Stock Code	Integer	Self explanatory
6 to n*	D	Stock Name	String	Stock name in Traditional Chinese
6 to n*	E	Face Value (RMB)	Numeric	Self explanatory

* n denotes the last non-empty row

Section B.2

a) Applicable stock lists

Stock List	File Name	Format	Language
Eligible stocks for both buy and sell	SZSE_Securities_c.csv	CSV	Traditional Chinese
Eligible stocks for sell only	Special_SZSE_Securities_c.csv	CSV	Traditional Chinese

b) Layout

Same content and arrangement as Section B.1 but in Comma Separated Value (CSV) format with all fields (including numeric and integer) provided as text in quotes.

Section C

a) Applicable stock lists

Stock List	File Name	Format	Language
Eligible stocks for Margin Trading	SZSE_Securities_for_Margin_Trading.xls	Excel	English
Eligible stocks for Short Selling	SZSE_Securities_for_Short_Selling.xls	Excel	English

b) Layout

Row	Column	Content	Format	Value
1	A	File Header	Fixed text	The name of the eligible stock list
3	A	Updated Date	DD MMMM YYYY	Example: "Updated: 29 September 2016"
4	A	Description	Fixed text	"For the following Northbound trading day"
5	A	Column Header	Fixed text	"No."
5	B	Column Header	Fixed text	"SZSE Stock Code"
5	C	Column Header	Fixed text	"Stock Name"
6 to n*	A	Position Number	Integer	Sequential starting from 1
6 to n*	B	SZSE Stock Code	Integer	Self explanatory
6 to n*	C	Stock Name	String	Stock name in English

* n denotes the last non-empty row

Section D

a) Applicable stock lists

Stock List	File Name	Format	Language
Eligible stocks for Margin Trading	SZSE_Securities_for_Margin_Trading_c.xls	Excel	Traditional Chinese
Eligible stocks for Short Selling	SZSE_Securities_for_Short_Selling_c.xls	Excel	Traditional Chinese

b) Layout

Row	Column	Content	Format	Value
1	A	File Header	Fixed text	The name of the eligible stock list
3	A	Updated Date	YYYY 年 MM 月 DD 日	Example: "更新日期： 2016 年 10 月 13 日"
4	A	Description	Fixed text	"適用於下一個深股通交易日"
5	A	Column Header	Fixed text	"數目"
5	B	Column Header	Fixed text	"深交所股份編號"
5	C	Column Header	Fixed text	"股票名稱"
6 to n*	A	Position Number	Integer	Sequential starting from 1
6 to n*	B	SZSE Stock Code	Integer	Self explanatory
6 to n*	C	Stock Name	String	Stock name in Traditional Chinese

* n denotes the last non-empty row