

HKEX INFORMATION SERVICES LIMITED

(A wholly-owned member of the Hong Kong Exchanges and Clearing Limited Group)

Practice Session (PS) for Pre-Opening Session (POS) Enhancement in the Securities Market in HKEX Orion Market Data Platform – Securities Market (OMD-C) and Mainland Market Data Hub (MMDH)

Date: 10 October 2020 (Saturday)

Systems: OMD-C and MMDH

| Time (HKT) | Activity | Action – for participating OMD-C and MMDH Clients |
|--|---|--|
| Market Rehearsal | | |
| 08:30 – 09:00 | Systems ready for logon (Primary Site) | <ul style="list-style-type: none"> To connect to OMD-C / MMDH in Primary Site To check if Security Definition (11) message disseminated from OMD-C / MMDH could be handled properly |
| 09:00 - 09:30 | Pre-Opening Session | <ul style="list-style-type: none"> To check if the following POS relevant messages and other messages disseminated from OMD-C / MMDH could be handled properly: <ul style="list-style-type: none"> - Trading Session Status (20) - Indicative Equilibrium Price (41) - Order Imbalance (56) - Reference Price (43) |
| 09:30 - 10:45 | Continuous Trading Session (Morning Session) | <ul style="list-style-type: none"> To check if all messages disseminated from OMD-C / MMDH could be handled properly |
| 10:45 - 11:00 | Mid-day Close | |
| 11:00 - 12:30 | Continuous Trading Session (Afternoon Session) | |
| 12:30 - 12:40 | Closing Auction Session | |
| Around 12:40 | Day Close | |
| 13:00 | Systems Shutdown and fallback to current production version | <ul style="list-style-type: none"> Should take appropriate actions to clean all test messages disseminated during the testing period |
| Connectivity Test After Fallback OMD-C and MMDH to Current Production Version | | |
| 17:00 - 19:00 | Systems ready for logon (Primary Site) | <ul style="list-style-type: none"> To connect to OMD-C and MMDH in Primary Site To check if Security Definition (11) message disseminated from OMD-C / MMDH could be handled properly after fallback to current production version |
| 19:00 | Systems shutdown | <ul style="list-style-type: none"> Should take appropriate actions to clean all messages disseminated from OMD-C / MMDH during the testing period |

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Important Notes to Clients:

1. For fault reporting, please call our Connectivity Project & Support Hotline at (852) 2211 6558 during the PS session, or (852) 9183 8966 after 13:00 on the PS day.
2. All messages disseminated during the testing period should be treated as non-production data and should be cleaned after the PS.
3. In any case, all participating Clients should complete the appropriate day-end works including file / database backup, system restoration / fallback and etc. before, during and / or after the PS.
4. Contingency Arrangements on Typhoon, Extreme Conditions and Rainstorm Warning on the day of the PS:
 - a. In case Typhoon Signal No. 8 or above or Extreme Conditions or Black Rainstorm Warning is issued/ announced or continues to be in force anytime from 07:00 to before 09:00 on the PS day, the PS will be cancelled and will not be resumed for the rest of the day.
 - b. In case Typhoon Signal No. 8 or above or Extreme Conditions is issued on or after 09:00 on the PS day, the PS will be terminated 15 minutes thereafter.
 - c. In case Black Rainstorm Warning is issued on or after 09:00 on the PS day, the PS will continue until completion.
 - d. In any case, all participating Clients should complete the appropriate day-end works including file / database backup, system restoration / fallback and etc before, during and / or after the PS.]