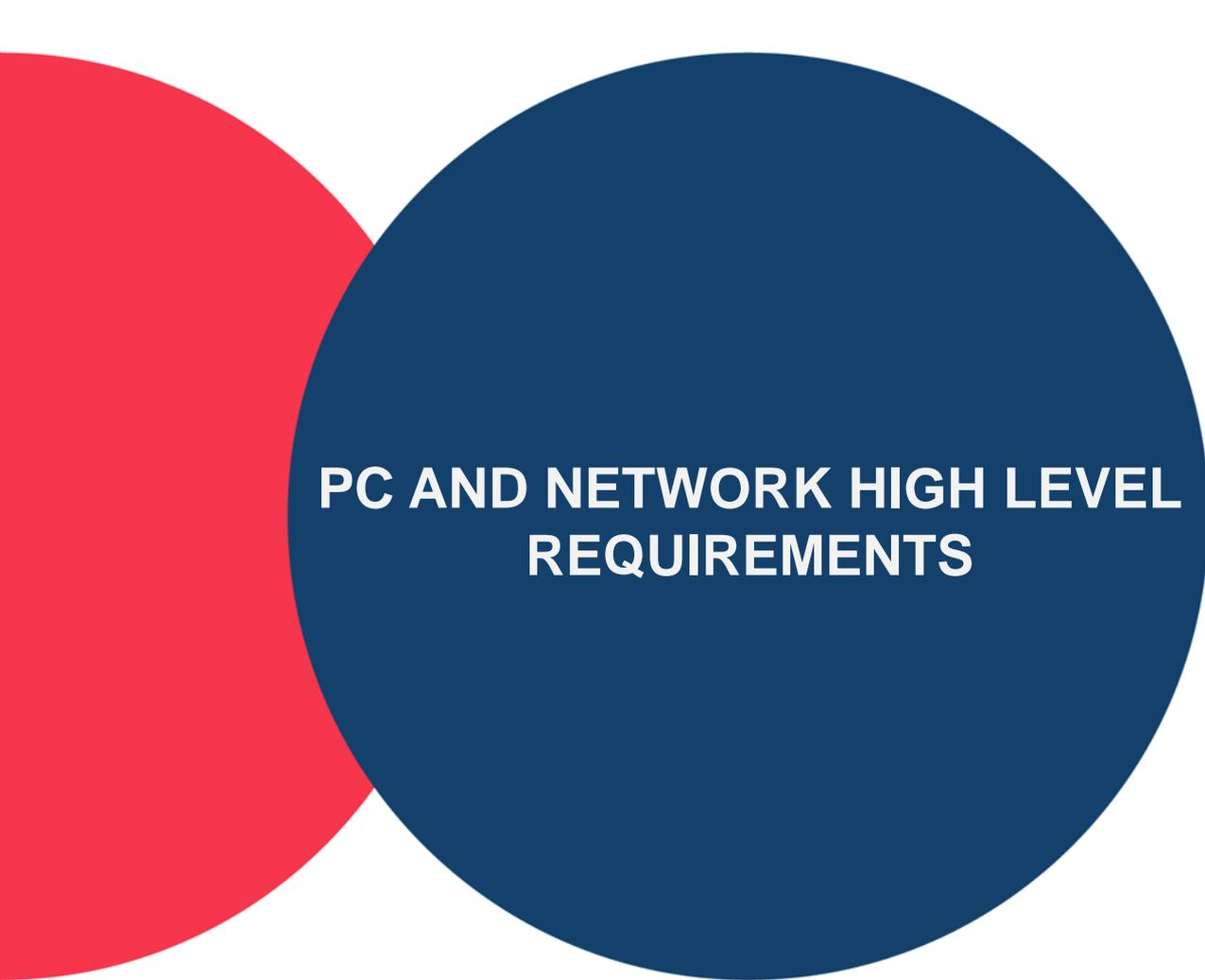


January 2020



# PC AND NETWORK HIGH LEVEL REQUIREMENTS

**HKEX**  
香港交易所

# AGENDA

1

Infrastructure under NGRM

2

Set Up Options

3

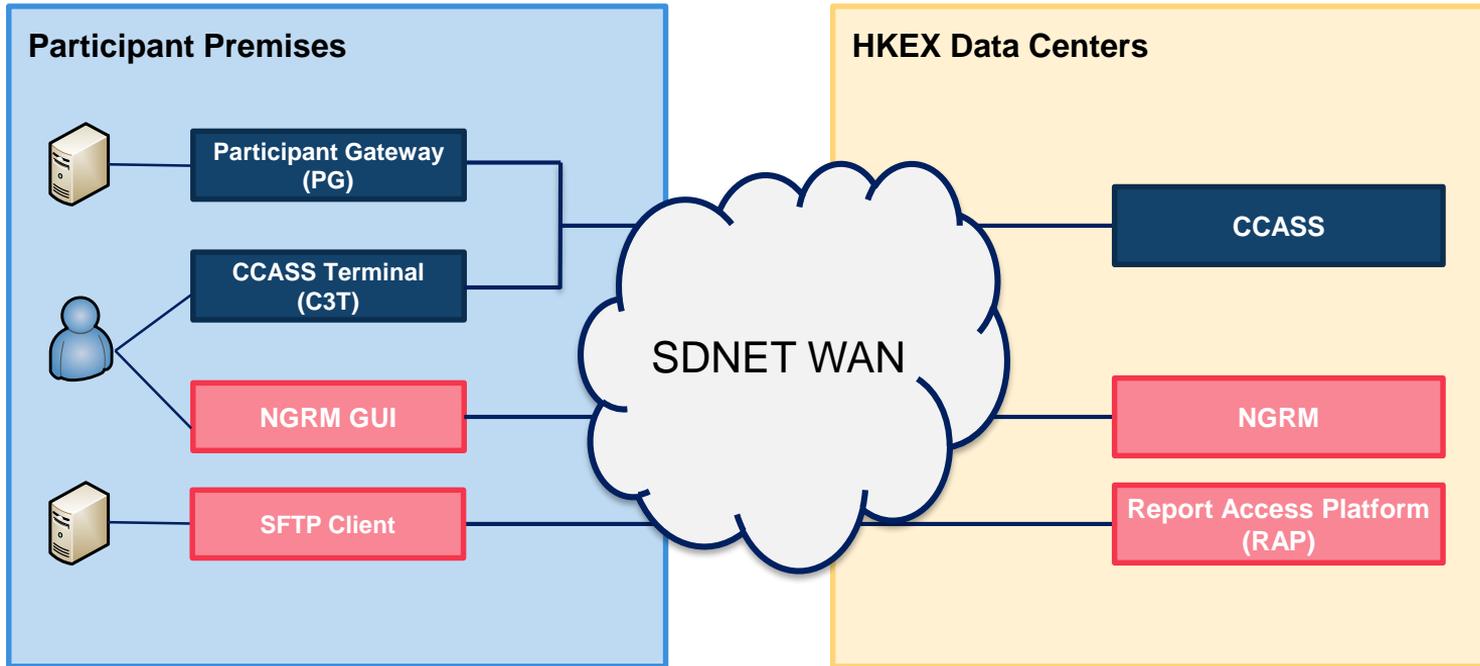
PC Requirement for C3T, RAP & NGRM

4

Key Setup Requirement & Timeline



# 1. Infrastructure under NGRM



Existing Setup

NGRM Setup

## 2. Set Up Options

	<u>Option 1</u> Minimum Setup use existing C3T for RAP <sup>1</sup>	<u>Option 2</u> Basic Setup use existing C3T for RAP <sup>1</sup> & NGRM	<u>Option 3</u> Advance Setup acquire new PC for RAP <sup>1</sup> & NGRM
Feature	Existing CCASS access + (NEW) RAP	Existing CCASS access + (NEW) RAP & NGRM	
Hardware	Existing C3T		Existing C3T + NEW PC
Software	<ul style="list-style-type: none"> <li>• Upgrade existing C3T to Win10 &amp; associated JRE version</li> <li>• Update PC Domain Name Server (DNS) Setting</li> <li>• Install SFTP client for RAP<sup>1</sup> &amp; Chrome for NGRM</li> </ul>		
Network	Reconfigure existing SDNet to access new DNS, RAP & NGRM		

CP should start planning for the technical setup for your preferred Option accordingly



### 3. PC Requirement for C3T, RAP & NGRM

	<u>Option 1</u> Use Existing C3T <sup>1</sup> for RAP	<u>Option 2</u> Use Existing C3T <sup>1</sup> for RAP & NGRM	<u>Option 3</u> Acquire new PC for RAP & NGRM
CPU	1GHz	2.4GHz or faster	
Memory	2GB	8GB	
HardDisk	20GB	22GB	
Operating System	Windows 10 Pro (64 bit)		
Browser	IE11	IE11 (for C3T) & Chrome (for NGRM)	
JRE	Java 8 update 211		N/A
Layered Software	Anti-virus software		
Bandwidth	1M	1M <sup>2</sup>	

Note : 1. Clearing Participants (CP) should review their existing C3T setting to ensure it meets the minimum PC requirement  
 2. Minimum requirement, CP should assess and evaluate its own bandwidth requirement based on their business needs



## 4. Key Set Up Requirements & Timeline

#	REF	Key Set Up Requirements	Option 1 Use Existing C3T	Option 2 Use Existing C3T for ALL	Option 3 Acquire new PC for NGRM	Action by
1	N	Reconfigure SDNet routing to access NGRM functions & reports	Required & by Apr 2020*			Network Vendors
2	SW	Update PC Domain Name Server (DNS) Setting to access NGRM functions & reports	Required & by Apr 2020*		New PC (follow latest DNS setting)	PC Vendors/ Participants
3	SW	Install SFTP client for NGRM report download (follow Report Access Platform Technical Guide)	Required & by May 2020*			Participants
4	SW/HW	Upgrade existing C3T to Win10 & associated JRE version <ul style="list-style-type: none"> <li>Win7 end of support in mid Jan 2020</li> <li>NGRM supports Win 10 only</li> </ul>	Required & by Dec 2019		New PC (running on Win10)	PC Vendors/ Participants
5	SW	Install Chrome to access NGRM functions	N/A	Required & by Aug 2020		Participants

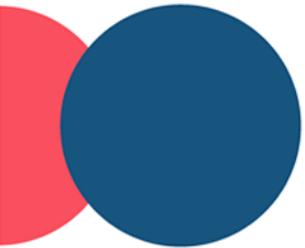
(please see Appendix for more information)

**Report Access Platform Connectivity Test will commence in June 2020**



**N:** Network related; **SW:** PC Software related; **HW:** PC Hardware related

\*Dates are indicative only and yet to be finalized



## APPENDIX

# Appendix 1

## - Reconfiguration of SDNet

- SDNet circuits installed **before 1 December 2018**<sup>1</sup> MUST be reconfigured, by applying for "SDNet/2 Reconfiguration for CCASS and CCMS" from the network vendors.
- The Preferred Domain Name System (DNS) and Alternate DNS MUST be updated as below, applicable to **ALL SDNet**, both with or without reconfiguration.

**Preferred DNS: 10.243.1.1**  
**Alternate DNS : 10.243.65.1**

*If needed, please refer to Section C of the [CCASS \(& CCMS\) Terminal Installation Procedures](#) for information.*

- It is **mandatory for all CCASS Participants** to complete the SDNet reconfiguration and verify CCASS access via C3T and PG on or before **30 April 2020**. Please refer to Appendix 2 (next slide) for the verification procedures.



# Appendix 2

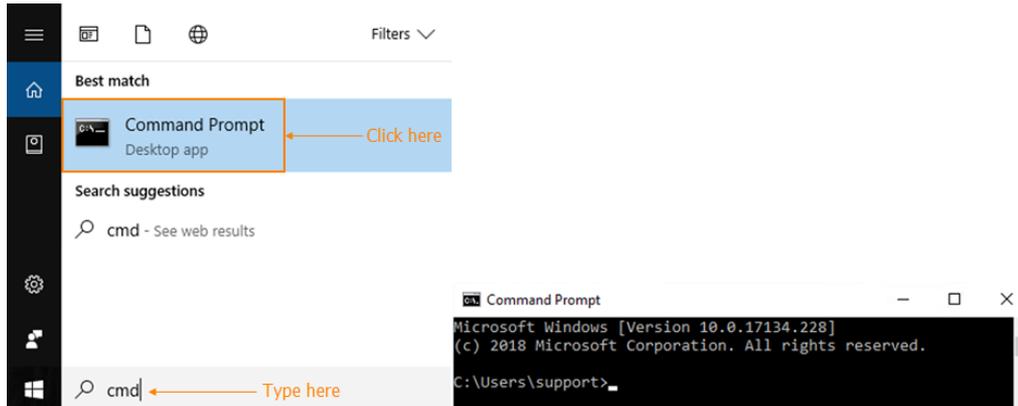
## - Verification after reconfiguration of SDNet for C3T & PG

- a. Make sure you have followed Section C of the [CCASS \(& CCMS\) Terminal Installation Procedures](#) to update the Preferred DNS and Alternate DNS as below:

Preferred DNS: 10.243.1.1

Alternate DNS : 10.243.65.1

- b. Go to **Start** and type **cmd** in the search field to open the command prompt



## Appendix 2

### - Verification after reconfiguration of SDNet for C3T & PG (Cont'd)

c. Type **nslookup**

d. Type **www.ccass.com** (or **pg.ccass.com** for PG) and the query result from the Primary DNS should be displayed as follows

```
Command Prompt
C:\Users\user>nslookup
Default Server: UnKnown
Address: 10.243.1.1

> www.ccass.com
Server: UnKnown
Address: 10.243.1.1

Name: www.ccass.com
Address: 10.129.1.3

> quit
C:\Users\user>
```

DNS Address should be 10.243.1.1

The IP address of "www.ccass.com" should be resolved as "10.129.x.3", where x can be 1, 2, 3 or 4

e. Type **quit** to exit

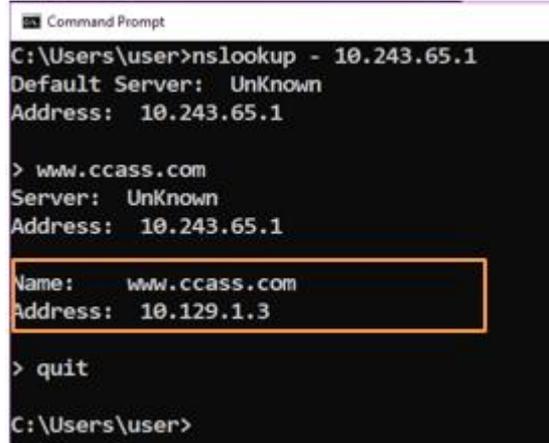


## Appendix 2

### - Verification after reconfiguration of SDNet for C3T & PG (Cont'd)

f. Type `nslookup - 10.243.65.1`

g. Type `www.ccass.com` (or `pg.ccass.com` for PG) and the query result from the Alternate DNS should be displayed as follows



```
Command Prompt
C:\Users\user>nslookup - 10.243.65.1
Default Server: UnKnown
Address: 10.243.65.1

> www.ccass.com
Server: UnKnown
Address: 10.243.65.1

Name: www.ccass.com
Address: 10.129.1.3

> quit
C:\Users\user>
```

The same IP address of `www.ccass.com` as in step d should be returned.

h. Type `quit` to exit and close the command prompt window

i. If CCASS is in service, logon C3T or PG normally to verify the connectivity

Please follow Appendix 2 to verify all your C3T & PG

