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香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

The Stock Exchange of Hong Kong Limited

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項 SHANGHAI – HONG KONG STOCK CONNECT Subject: INVESTOR EDUCATION SEMINAR

查詢 HKEx (Tel: 2840 3895 / Email: <u>info@hkex.com.hk</u>)

Enquiry: IEC (Tel: 2700 6000 / Email: info@hkiec.hk)

Hong Kong Exchanges and Clearing Limited (HKEx) is co-organising a seminar on Shanghai – Hong Kong Stock Connect with the Investor Education Centre (IEC), a dedicated organisation supported by the four financial regulators (including the Securities and Futures Commission) in Hong Kong that aims to better equip the general public with the skills and knowledge to make informed financial decisions. HKEx is inviting retail investors, including all EP clients to attend this seminar.

Details of the seminar are as follows:

Date	22 September 2014 (Monday)
Time	7:00 pm – 9:00 pm
Venue	The Exchange Auditorium
	The Exchange Exhibition Hall
	1/F, One and Two Exchange Square, Central
Topics	Overview of Shanghai-Hong Kong Stock Connect
_	 Key differences between trading A-shares via the Stock Connect and trading Hong Kong
	stocks
	Characteristics and risks of the A-share market
	Rules, risks and other things retail investors should note before trading A shares via the
	Stock Connect
Speakers	Mr Samuel Ho, Senior Vice President, Global Markets Division, HKEx
	 Mr Anthony Wong, Assistant Vice President, Global Markets Division, HKEx
	 Mr Patrick Ho, CFA, Seasoned Fund Manager and Representative from The Hong Kong
	Society of Financial Analysts
	Ms Cecilia Ko, Deputy General Manager, IEC
Language	Cantonese

The seminar is free. Registration is on a first-come, first-served basis. For registration, please click <u>HERE</u>.

For more information about Shanghai-Hong Kong Stock Connect, please click HERE

Tae Yoo Managing Director Head of Client Business Development & FIC Development Global Markets Division

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