

編號

CT/027/14

Ref. No.:

日期

Date:

20 June 2014

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

The Stock Exchange of Hong Kong Limited

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

涌告 CIRCULAR

事項

Subject: SHANGHAI-HONG KONG STOCK CONNECT - REGISTRATION,

HARDWARE APPLICATION AND END-TO-END TEST

查詢

Enquiry: Participant General Enquiry Hotline (Tel: 2840 3626 E-mail: trd@hkex.com.hk)

Registration for Use of Shanghai-Hong Kong Stock Connect

Reference is made to the Exchange Circular (Ref: <u>CT/022/14</u>) dated 23 May 2014, Exchange Participants (EPs) interested to become the first batch users of Shanghai-Hong Kong Stock Connect are reminded to complete and return the Registration Form to HKEx **on or before 30 June 2014**.

Purchase New China Connect Open Gateway (CC OG)

The application forms and explanatory notes for purchase new CC OG via HKEx or install Hosting CC OG are now available at Shanghai-Hong Kong Stock Connect corner on the HKEx website (http://www.hkex.com.hk/chinaconnect). EPs purchasing new CC OG or installing Hosting CC OG are required to complete the application form and return it to HKEx on or before 30 June 2014.

China Connect Open Gateway End-to-End Test (CC OG E2E Test)

Following the availability of CC OG Offline Simulator Package in May 2014, CC OG E2E Test will be scheduled to conduct starting from 2 July 2014. Prior to the E2E Test, EPs are required to contact network provider to set up the testing line and prepare the testing CC OG. To assist EPs in arranging the testing line with network provider, "Notification of China Connect Open Gateway Testing Line" (Notification) is now available at Shanghai-Hong Kong Stock Connect corner (http://www.hkex.com.hk/chinaconnect). EPs should refer to the Notification to determine the testing line set up and make the necessary arrangement with network provider. In addition, please also return the completed Notification to HKEx on or before 27 June 2014 to facilitate HKEx to set up the testing configuration under the E2E Test environment. Submission of Notification later than 27 June 2014 will also be processed and it will be effective in E2E Test environment in 3 working days.

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For testing CC OG, the hardware requirement is same as testing OG set up.

The CC OG E2E Test package will be available for download from the Electronic Communication Platform (ECP) from 30 June 2014. The operational procedure for downloading the E2E Test Package via ECP is in Attachment 1.

Olivia Mak Vice President Cash Trading