

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)
The Stock Exchange of Hong Kong Limited
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項

Subject: **SHANGHAI-HONG KONG STOCK CONNECT – CONNECTIVITY TEST AND MARKET REHEARSALS**

查詢

Enquiry: **Participant General Enquiry Hotline (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Following the start of China Connect Open Gateway end-to-end test, a Connectivity Test (CT) and two Market Rehearsals (MRs) will be arranged in late August to September 2014 for Exchange Participants (EPs) to verify their readiness to participate in the Shanghai-Hong Kong Stock Connect at its initial launch, as follow:

Test	Date	Purpose
CT	23 – 24 August 2014 (Saturday – Sunday)	Verify system(s) readiness for MR1
MR 1	30 – 31 August 2014 (Saturday – Sunday)	Conduct normal trading, and clearing and settlement (for EP also a CCASS participant) activities
MR 2	13 September 2014 (Saturday)	Verify contingency arrangements upon simulated systems failover from primary to backup data center

EPs who have applied as Batch 1 users of Shanghai-Hong Kong Stock Connect are mandatory to participate in the CT and two MRs. An Exchange Participant Information Package (Information Package) setting out the schedules, guidelines and rundowns for the CT and MRs has been posted to Shanghai-Hong Kong Stock Connect Corner on HKEx website (<http://www.hkex.com.hk/chinaconnect>) under “Market Rehearsal” for reference.

Hong Kong securities market will also be opened for testing during the above dates. All EPs participating the CT and MRs must complete and return the application forms (Appendixes C, D.1 and D.2 in the Information Package) on or before the stipulated dates to confirm whether they will i) apply to activate all of their off-floor trading devices connected to AMS/3.8 during CT and each MR; and ii) participate in an optional Fallback Verification Session after last day of each CT and MR, to verify their BSS software and/or back-office system(s), if applicable, are properly fallback to current production version prior to the following trading day on Monday.

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CCASS Participants (EPs or non EPs) should refer to HKSCC circular (Ref: [CD/CCO/CCASS/169/2014](#)) for detail of MR activities in relation to clearing and settlement.

Please email to trd@hkex.com.hk for any enquiries related to trading MR arrangements and for enquiries related to clearing MR arrangements, please email to clg@hkex.com.hk.

To facilitate the communication between market participants and investors as well as strengthen investors' understanding on Shanghai-Hong Kong Stock Connect, a series of investor education videos on Shanghai-Hong Kong Stock Connect are also available in English, Cantonese and Putonghua at the same web corner (<http://www.hkex.com.hk/chinaconnect>).

Olivia Mak
Vice President
Cash Trading