

The Stock Exchange of Hong Kong Limited
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

***Implementation of Additional Short Sell Order Function
to Existing Broker Supplied System for CCOG Enrolment Form***

Notes:

1. The enrolment form is applicable to existing China Connect Exchange Participants (CCEP) who will implement additional short sell order function to their broker supplied system (BSS) for CCOG.
2. The enrolment form should be completed and returned by fax (Fax No.: 2521-7899) or by email to ams3info@hkex.com.hk on or before **1 December 2014**.
3. Please contact HKEx – BSS Support Team at 2840-3351 for technical enquiry or HKEx – Participant General Enquiry Hotline at 2840-3626 for general enquiry.

Part I – Participant Information

Name of Exchange Participant	Participant ID
Contact Person Name	Phone No.
Email Address	Fax No.

Part II – Enrolment

- We are existing CCEP and will implement additional short sell order function to our BSS for following CCOG: (Please fill in the CCOG device number)

- | | |
|----------|----------|
| 1) _____ | 2) _____ |
| 3) _____ | 4) _____ |

Part III – End-to-End Testing Arrangement

- We will conduct end-to-end testing via our BSS testing line (Circuit No.: _____).
- We will appoint BSS vendor on our behalf to conduct end-to-end testing.

Name of BSS vendor : _____

Signature of Responsible Officer
with Company Chop : _____ Date : _____

Name of Responsible Officer : _____