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香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

The Stock Exchange of Hong Kong Limited*(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)*

通告 CIRCULAR

事項：

Subject: **QUARTERLY RETURN ON TRADING COMPOSITION REGARDING
TRADING THROUGH SHANGHAI-HONG KONG STOCK CONNECT**

查詢：

Enquiry: **Participant General Enquiry Hotline (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Pursuant to Rule 1441(1) of the Rules of the Exchange, the Exchange may require China Connect Exchange Participants (“CCEPs”) to provide information on their clients’ profiles, the type and value of China Connect orders and the China Connect Securities trades executed for them through Shanghai-Hong Kong Stock Connect.

In this regard, CCEPs should note that they are required to complete and submit the “Quarterly Return on Trading Composition (Form TCS)” (“Quarterly Return”) within the month immediately following the end of the quarter via the Electronic Communication Platform. The first Quarterly Return which covers Northbound trading conducted during the period from 17 November to 31 December 2014 will be required to be submitted to the Exchange on or before the end of January 2015.

Detailed instructions and explanatory notes for completing and submitting the Quarterly Return are available under the “Forms, Checklists and Procedures” section of the Shanghai-Hong Kong Stock Connect corner on HKEx website (<http://www.hkex.com.hk/chinaconnect>).

David Lo
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