

**Attachment 3**  
*Submission deadline: on or before  
 5 December 2014*

## BSS Upgrade Rollout Form

- Notes:**
1. EPs are required to complete and return this Rollout Form to HKEx by Fax at **2521 7899** or by email to [ams3info@hkex.com.hk](mailto:ams3info@hkex.com.hk) **on or before 5 Dec 2014**.
  2. EP should rollout the upgraded BSS within 1 month after completion of the rollout test, otherwise EP needs to join the rollout test again.
  3. For enquiry, please contact our Participant General Enquiry Hotline **2840-3626**.

| Exchange Participant Information |                |               |
|----------------------------------|----------------|---------------|
| Participant Name                 | Participant ID |               |
| Contact Person                   | Phone No.      | Email Address |

We confirm that we have successfully completed the OCG rollout test on \_\_\_\_\_  
 and will roll out the upgraded BSS on the date specified below:

| OCG Comp ID | BSS Vendor | BSS Version | Rollout Date<br>[see Notes 2 above]<br><i>(must be on or after 15 Dec 2014)</i> |
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**We confirm that the above information is correct and will roll out the upgraded BSS on the rollout date.**

*Signature of Responsible Officer* \_\_\_\_\_  
*(with Company Chop)*

*Name of Responsible Officer* \_\_\_\_\_ *Date* \_\_\_\_\_