參與者名稱

Participant Name

「滬股交易通機構投資者前端監控」簡報會報名表 (供交易所參與者使用)

Enrolment Form for Briefing Sessions for Pre-Trade Checking for Institutional Investors under Northbound Trading of Shanghai-Hong Kong Stock Connect (FOR Exchange Participants)

(填妥之表格須於2014年12月29日 星期一或之前傳真至**2521-7899 / 2868-5223**) (Completed form should be returned **by fax to 2521-7899 / 2868-5223 on or before 29 December 2014, Monday**)

參與者編號

Participant ID

第一部份 交易所參與者聯絡人資料

Section I Exchange Participant Contact Person Information

| 聯絡人 | | 電話號碼 | | | | |
|---|---|-------------|--------------------------------------|--------|-------|--|
| Contact Person | | Contact No. | Contact No. | | | |
| 電郵地址 | | 傳真號碼 | 傳真號碼 | | | |
| Email Address | | Fax No. | | | | |
| 第二部份 Section II | 簡報會詳情 Details of the Briefing Sessions | s | | | | |
| 日期: | 2015年1月5、6、7、15及16日 | | | | | |
| Date : | 5,6,7,15 and 16 January 2015 | | | | | |
| 時間: | 下午 4 時 45 分至 5 時正 (登記) | 下午5時 | 下午5時正至6時正(簡報會) | | | |
| Time: | 4:45 pm – 5:00 pm (Registration) | 5:00 pm | 5:00 pm – 6:00 pm (Briefing Session) | | | |
| 地點: | 香港中環交易廣場1座及2座1樓交易所展覽館交易所會議廳 | | | | | |
| Venue: | The Exchange Auditorium, The Exchange Exhibition Hall, 1/F, One & Two Exchange Square, Central, Hong Kong | | | | | |
| 語言: | 廣東話 (2015年1月5、6、15及16日) 及 英語 (2015年1月7日) | | | | | |
| Language: | Cantonese (5,6,15 and 16 January 2015) and English (7 January 2015) | | | | | |
| 簡報會報名 (供業務及運作人員) Briefing Session Registration (For Business & Operations Staff) | | | | | | |
| 英文姓名(請用正楷填寫) | | 職位 | 選擇日期 Choices of Date | | | |
| Name in English (in Block Letters) | | Position | 第一選擇 | 第二選擇 | 第三選擇 | |
| | | | First | Second | Third | |
| 1. | | | | | | |
| 2. | | | | | | |
| 如有剩餘名額 If seats are available | | | | | | |
| 3. | - | | | | | |
| | | | | | | |
| 備註: 交易所將會於簡報會3日前以電郵確認接納的報名。若任何已遞交報名表之參與者未能出席簡報會,請盡早致電 2840-3626 通知交易所。 Note: Confirmation will be sent to the contact person by e-mail 3 days before the date of briefing session. If any Exchange Participants which have submitted the enrolment form and cannot attend the briefing session, please notify the Exchange on 2840-3626 as soon as possible. | | | | | | |
| Responsible C with Company 負責人員名稱 | | | 日期 Date : | | | |