

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

The Stock Exchange of Hong Kong Limited

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項

Subject: Introduction of New Stock Option Classes

查詢

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Participants are requested to note the following introduction of new stock option classes.

Trading of the following new stock option classes will commence on **17 February 2014** (Commencement Day):

Underlying Stock Name (Stock Code)	HKATS Code	Contract Size (Shares)	Tier	Trading Tariff (Per Contract)	Expiry Months Available on the Commencement Day
Kunlun Energy Co. Ltd. (135)	KLE	2,000	1	\$3.0	Feb-2014, Mar- 2014, Apr-2014, May-2014, Jun- 2014, Sep-2014, Dec-2014
Want Want China Holdings Ltd. (151)	WWC	1,000	2	\$1.0	
Dongfeng Motor Group Co. Ltd. (489)	DFM	2,000	2	\$1.0	
Hengan International Group Ltd (1044)	HGN	500	1	\$3.0	

For details of the contract specifications, please refer to the Appendix B of the Operational Trading Procedures for Options Trading Exchange Participants. The list of stock option classes available for trading is set forth in Attachment I of this circular for reference.

Market Making

The Exchange invites applications for the market making permits in the new stock option classes.

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Market makers will pay a discounted trading tariff of HK\$1.5 for Tier 1 options and HK\$0.8 for Tier 2 options upon fulfillment of respective market making obligations.

Pre-launch Arrangements

The risk parameter files (RPF) for margining of the new stock option classes will be generated one business day before the Commencement Day. The following pre-launch arrangements for the new stock option classes will be implemented on **14 February 2014**:

- a. Underlying stock prices of the new stock option classes will be broadcast;
- b. Stock option series of the new stock option classes will be displayed, but not tradable; and
- c. RPF, clearing and open interest reports on new stock option classes will be generated.

The purpose of the generation of RPF one business day before the Commencement Day is to assist Participants to estimate margin requirements at Clearing House and client levels for the new stock option classes trading on the Commencement Day.

Please note that the margin requirements estimated using the RPF available after the settlement process on the business day before the Commencement Day may not be the same as the actual amounts required after the close of trading on the Commencement Day and HKEx and its subsidiaries accept no liability whatsoever for any loss or damage arising from or in connection with the use of these information contained in such RPF. Participants who wish to use the above-mentioned RPF are reminded to update the PC-SPAN Organisation Master File. For details, please refer to relevant Clearing House circular to be issued in due course.

Trading Information

The access codes of information vendors for the new stock option classes will be available on the HKEx's website (<http://www.hkex.com.hk>) in due course.

Participants should notify your staff and all interested clients of the details of the new stock options. Please ensure that your back office systems are ready for a smooth operation. In addition, all your staff should be fully aware of the above and should exercise caution when dealing with this options contract and when advising your clients.

PC Wong
Senior Vice President
Derivatives Trading
Global Markets Division

Att.

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.

The List of Stock Option Classes Available for Trading

a) Stock Option Classes with Contract Size More Than One Underlying Board Lot Shares

No.	SEHK Code	Underlying Stock Name	HKATS Code	Contract Size (shares)	Number of Board Lots	Tier No.	Position Limit
1	1288	Agricultural Bank of China Limited	ABC	10,000	10	1	50,000
2	1299	AIA Group Limited	AIA	1,000	5	1	50,000
3	1339	Peoples Insurance Company (Group) of China Ltd.	PIN	5,000	5	2	50,000
4	1988	China Minsheng Banking Corporation Ltd.	MSB	2,500	5	2	50,000
5	2601	China Pacific Insurance (Group) Co., Ltd.	CPI	1,000	5	1	50,000
6	2822	COSP FTSE China A50 ETF	CSA	5,000	25	1	30,000
7	2823	iShares FTSE A50 China Index ETF* (* This is a synthetic ETF)	A50	5,000	50	1	50,000
8	2827	W.I.S.E. - CSI 300 China Tracker* (* This is a synthetic ETF)	CS3	1,000	5	1	30,000
9	3188	ChinaAMC CSI 300 Index ETF	AMC	2,000	10	1	30,000

b) Stock Option Classes with Contract Size Equal to One Underlying Board Lot Shares

No.	SEHK Code	Underlying Stock Name	HKATS Code	Contract Size (shares)	Tier No.	Position Limit
1	1	Cheung Kong (Holdings) Limited	CKH	1,000	1	50,000
2	2	CLP Holdings Limited	CLP	500	1	50,000
3	3	The Hong Kong and China Gas Company Limited	HKG	1,000	2	50,000
4	4	The Wharf (Holdings) Limited	WHL	1,000	1	50,000
5	5	HSBC Holdings Plc.	HKB	400	1	50,000
6	6	Power Assets Holdings Limited	HEH	500	1	50,000
7	11	Hang Seng Bank Limited	HSB	100	2	50,000
8	12	Henderson Land Development Company Limited	HLD	1,000	1	50,000
9	13	Hutchison Whampoa Limited	HWL	1,000	1	50,000
10	16	Sun Hung Kai Properties Limited	SHK	1,000	1	50,000
11	17	New World Development Company Limited	NWD	1,000	2	50,000
12	19	Swire Pacific Limited 'A'	SWA	500	1	50,000
13	23	The Bank of East Asia, Limited	BEA	200	3	50,000
14	27	Galaxy Entertainment Group Limited	GLX	1,000	1	50,000
15	66	MTR Corporation Limited	MTR	500	2	50,000
16	135	Kunlun Energy Co. Ltd.^	KLE	2,000	1	50,000
17	151	Want Want China Holdings Ltd.^	WWC	1,000	2	50,000
18	267	CITIC Pacific Limited	CIT	1,000	3	50,000
19	293	Cathay Pacific Airways Limited	CPA	1,000	2	50,000
20	330	Esprit Holdings Limited	ESP	100	3	50,000
21	358	Jiangxi Copper Company Limited	JXC	1,000	2	50,000
22	386	China Petroleum & Chemical Corporation	CPC	2,000	2	50,000

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23	388	Hong Kong Exchanges and Clearing Limited	HEX	100	2	50,000
24	390	China Railway Group Limited	CRG	1,000	3	50,000
25	489	Dongfeng Motor Group Co. Ltd.^	DFM	2,000	2	50,000
26	494	Li & Fung Limited	LIF	2,000	2	50,000
27	688	China Overseas Land & Investment Limited	COL	2,000	1	50,000
28	700	Tencent Holdings Limited	TCH	100	1	50,000
29	728	China Telecom Corporation Limited	CTC	2,000	3	50,000
30	762	China Unicom (Hong Kong) Limited	CHU	2,000	2	50,000
31	857	PetroChina Company Limited	PEC	2,000	2	50,000
32	883	CNOOC Limited	CNC	1,000	2	50,000
33	902	Huaneng Power International, Inc.	HNP	2,000	2	50,000
34	914	Anhui Conch Cement Company Limited	ACC	500	2	50,000
35	939	China Construction Bank Corporation	CCB	1,000	3	50,000
36	941	China Mobile Limited	CHT	500	1	50,000
37	992	Lenovo Group Limited	LEN	2,000	2	50,000
38	998	China CITIC Bank Corporation Limited	CTB	1,000	3	50,000
39	1044	Hengan International Group Ltd.^	HGN	500	1	30,000
40	1088	China Shenhua Energy Company Limited	CSE	500	2	50,000
41	1171	Yanzhou Coal Mining Company Limited	YZC	2,000	2	50,000
42	1186	China Railway Construction Corporation Limited	CRC	500	3	50,000
43	1211	BYD Company Limited	BYD	500	2	50,000
44	1398	Industrial and Commercial Bank of China Limited	ICB	1,000	3	50,000
45	1800	China Communications Construction Company Limited	CCC	1,000	3	50,000
46	1880	Belle International Holdings Limited	BIH	1,000	2	50,000
47	1898	China Coal Energy Company Limited	CCE	1,000	3	50,000
48	1919	China COSCO Holdings Company Limited	CCS	500	3	50,000
49	1928	Sands China Ltd.	SAN	400	2	50,000
50	2038	FIH Mobile Limited	FIH	1,000	3	50,000
51	2282	MGM China Holdings Ltd.	MGM	400	3	50,000
52	2318	Ping An Insurance (Group) Company of China, Ltd.	PAI	500	1	50,000
53	2328	PICC Property and Casualty Company Limited	PIC	2,000	2	50,000
54	2333	Great Wall Motor Company Ltd.	GWM	500	2	50,000
55	2388	BOC Hong Kong (Holdings) Limited	BOC	500	2	50,000
56	2600	Aluminum Corporation of China Limited	ALC	2,000	3	50,000
57	2628	China Life Insurance Company Limited	CLI	1,000	2	50,000
58	2777	Guangzhou R&F Properties Co., Ltd.	RFP	400	3	50,000
59	2800	Tracker Fund of Hong Kong	TRF	500	2	50,000
60	2888	Standard Chartered PLC	STC	50	3	50,000
61	2899	Zijin Mining Group Company Limited	ZJM	2,000	3	50,000
62	3323	China National Building Material Company Limited	NBM	2,000	2	50,000
63	3328	Bank of Communications Co., Ltd.	BCM	1,000	3	50,000
64	3968	China Merchants Bank Co., Ltd.	CMB	500	3	50,000
65	3988	Bank of China Limited	BCL	1,000	3	50,000

[^] Trading commences from 17 February 2014