



HKEx
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通告 CIRCULAR

事項

Subject: **Updates of the Planned Hardware and Software Upgrade for HKATS in 2014**

查詢

Enquiry: **HKATS Hotline 2211-6360**

Reference is made to the circular (ref: DMD/191/13) dated 30 December 2013. Hong Kong Exchanges and Clearing Limited (“HKEx”) plans to implement the following initiatives to HKATS in 2014. To facilitate Exchange Participants (“EPs”) for better planning and preparation on these initiatives, please find the corresponding updates of relevant initiatives **highlighted** as below:

Tentative Schedule	Planned Initiatives	Impact to EPs
Mid 2014	Upgrade the Operating System of Network Gateway	To ensure the Network Gateway (“NG”) maintainability, the Linux OS of NG will be upgraded with the latest suitable patches by HKEx remotely in subsequent phases. Participants are required to have the valid maintenance license for Linux OS upgrade license. The NG(s) should remain powered on during the period of Linux OS upgrade. Details of the upgrade schedule will be provided in May 2014 .
Mid 2014	System Readiness for trading beyond midnight	The Exchange has planned to introduce commodities derivatives products in HKATS and DCASS in the second half of 2014. EPs, who would like to participate in these new products, are requested to verify the readiness of their internal trading, clearing and back office system(s). Simulation tests are tentatively scheduled between July and August 2014 to facilitate EPs' verification of their readiness. Participants should note that the simulation tests are mandatory for those who would like to participate in these new products from its launch.

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Tentative Schedule	Planned Initiatives	Impact to EPs
<u>Mid 2014</u>	Upgrade of CLICK Trade software	To enhance the exceptional handling capability under rare situation and rectify a few cosmetic problems, a new version of CLICK Trade will be provided in <u>May 2014</u> . EPs, who are using CLICK Trade, are required to complete the installation of this new version of CLICK Trade in <u>Mid 2014</u> . No hardware upgrade for the CLICK Trade Workstation is required for this installation.
<u>Mid 2014</u> and Q4 2014	HKATS Load Rehearsal	<p>To ensure the trading system(s) of EPs are ready to support the growth of order and trade activities, HKEx will arrange two rounds of Load Rehearsal in <u>May 2014</u> and the fourth quarters of 2014 respectively.</p> <p>The first round of Load Rehearsal will target at 12,000 series price update per second in <u>May 2014</u>.</p> <p>The second round of Load Rehearsal will target at 36,000 series price update per second in Q4 2014.</p> <p>EPs are highly recommended to join the Load Rehearsals to ensure that their OAPI programs can function as designed under the above prescribed loading.</p> <p>Details of the testing run down will be announced in due course.</p>

Tentative Schedule	Planned Initiatives	Impact to EPs
<p><u>Q3 2014</u></p>	<p>Rollout of HKEx Orion Market Data Platform (“OMD”) in Derivatives Market</p>	<p>To support the growth of order and trade activities, HKEx will implement OMD on the derivatives market (OMD-D) in the <u>third quarter of 2014</u>. Upon the rollout of OMD-D, PRS Plus and PRS will be phased out within one month and three months respectively. EPs currently subscribing to PRS-Plus or PRS should plan for migration to OMD-D according to the on-boarding schedule as communicated by OMD project team and ensure their readiness before the Market Rehearsal for OMD-D rollout.</p> <p>For details, please refer to OMD project corner at HKEx website. http://www.hkex.com.hk/eng/prod/dataprod/omd/Phase2.htm</p>

For any enquiries, please contact the HKATS Hotline at 2211 6360.

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This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.