



HKEx
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Hong Kong Exchanges and Clearing Limited is the recognised controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited and Hong Kong Securities Clearing Company Limited

通告 CIRCULAR

事項

Subject: **Monitoring of Non-Clearing Participants' Trading Activities**

查詢

Enquiry: **HKATS Hotline 2211-6360**

Hong Kong Exchanges and Clearing Limited ("HKEx") recently received enquiries from Exchange Participants if there is any Drop Copy service available at HKATS and DCASS for Non-Clearing Participants ("NCPs") to facilitate General Clearing Participants ("GCPs") to conduct any risk assessment, where appropriate, in relation to the trading activities performed by NCP in HKATS.

If NCPs wish to share the **order information** with their associated GCP, they can apply for a view-only username for the corresponding GCP. With such arrangement, the GCP can receive NCPs' order information through their CLICK and/or HKATS OMnet Application Programming Interface ("OAPI") programs. NCPs who wish to deploy such view-only username(s) to GCP should send the application to ClickSupport@hkex.com.hk.

Currently, GCPs can receive all **trade information** of their associated NCPs through their DCASS terminals and where appropriate their self-developed DCASS OAPI programs.

For any enquiries, please contact the HKATS hotline at 2211 6360.

Sanly Ho
Head
Derivatives Trading
Global Markets Division

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.

香港交易及結算所有限公司
Hong Kong Exchanges and Clearing Limited

香港中環港景街一號國際金融中心一期12樓
12/F, One International Finance Centre, 1 Harbour View Street, Central, Hong Kong

電話 Tel: +852 2522 1122 傳真 Fax: +852 2295 3106 網址 Website: www.hkex.com.hk 電郵 E-mail: info@hkex.com.hk