

香港交易及結算所有限公司是香港聯合交易所有限公司、香港期貨交易所有限公司及香港中央結算有限公司之認可控制人
Hong Kong Exchanges and Clearing Limited is the recognised controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited and Hong Kong Securities Clearing Company Limited

通告 CIRCULAR

事項

Subject: Third Annual Retail ETF Event:
(1) ETF & Stock Investment Simulation Game 2014; (2) ETFs & Options Investment Expo 2014 & (3) Options Education TV Program

查詢

Enquiry: 2211 6051

Third Annual Retail ETF Event

Please be informed that HKEx and other co-sponsors will be hosting the Third Annual Retail ETF Event (the "Event") which includes ETF & Stock Investment Simulation Game 2014 (the "Game"), ETFs & Options Investment Expo 2014 (the "Expo") and Options Education TV Program (the "Program"). The objective of the Event is to familiarize retail investors with ETFs and Options. You are cordially invited to participate and/or invite your clients to participate in both the Game and the Expo.

(1) ETF & Stock Investment Simulation Game 2014

The Game is divided into Public Section and Tertiary Student Section. Details are as follows:

| | |
|------------------------|--|
| Duration: | 20 October to 14 November 2014 (4 weeks, trading days only) |
| Major features: | Simple, investor-friendly, ETF focused, real-time trading |
| Portfolio mix: | Hong Kong equity ETFs: 30%; Mainland China A-share ETFs: 20%; Other ETFs: 20%; Stocks: 30% |

Awards:

| Public Section | |
|---------------------------|-------------------------------------|
| Final Investment Champion | Cash prize of HK\$20,000 |
| First Runner-up | Cash prize of HK\$10,000 |
| ETF Champion | Apple iPhone 6 Plus 16GB smartphone |
| Weekly Winners | Apple iPhone 6 16GB smartphone |

| Tertiary Student Section | |
|---------------------------------|--|
| Champion | 2 round trip economy class air tickets from Hong Kong to Seoul |
| First Runner-up | Apple iPhone 6 16GB smartphone |
| Second Runner-up | Cash prize of HK\$5,000 |
| Third Runner-up | Apple Store Gift Card with a value of HK\$1,000 |
| Best Presentation Award | Internship at China Asset Management (Hong Kong) Limited |
| Best Portfolio Award | Internship at China Asset Management (Hong Kong) Limited |

| Public and Tertiary Student Sections | |
|---|--|
| Lucky Draw Award (1 award) | Apple iPhone 6 Plus 16GB smartphone (gold) |

***For registration and details, please refer to <http://projects.etnet.com.hk/s/hkexgame2014>.**

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(2) ETFs & Options Investment Expo 2014

The Expo comprises an investment exhibition, 2 large scale seminars and a number of workshops. 23 sponsors, comprising ETF managers, options participants and index providers are sponsoring the Expo.

| | |
|-------------------------|---|
| Date: | 16 November 2014 (Sunday) |
| Time: | 11:00 - 18:00 |
| Venue: | Meeting Rooms N101-N112, Hong Kong Convention and Exhibition Centre |
| Medium: | Cantonese (unless otherwise stated) |
| Target audience: | Retail investors, brokers, intermediaries and other market participants |

The first large seminar and a number of workshops are now open for registration. Please find the agenda and registration method set out in the Appendix I for your information.

The second large seminar and other workshops will be open for registration from **27 October 2014**. Please find the Appendix II for the agenda and registration method.

(3) Options Education TV Program

An Options Education TV Program with 5 episodes will be broadcasted on Now TV News Channel 333 from 24 October to 14 November 2014 at 12:15 and 13:29. Market commentators including Agnes Wu, Louis Wong, Freeman To and Elsie Lee will share their options knowledge in the program including options fundamentals and applications. Please refer to Appendix III for detailed program schedule. The program can also be reviewed at YouTube and the web address is as below: <https://www.youtube.com/channel/UCYbF4qn38XHILV4uaPyngag>.

For further details of the Event, please contact the enquiry hotline at 2211 6051.

David Quah
Vice President
Cash Trading
Global Markets Division

Appendix I

Large Seminar

| Time | Topic | Speaker |
|---------------|--|--|
| 11:47 - 11:50 | Welcome Remarks | Representative Hong Kong Exchanges and Clearing Limited |
| 11:50 - 12:10 | How to Capture A-Shares Opportunities | Mr. David Lai Director and Portfolio Manager China Asset Management (Hong Kong) |
| 12:10 - 12:30 | Capture Investment Opportunities in Europe and Asia Flexibly with ETFs | Ms. Linda Luk Managing Director, Retail & Intermediary Sales Vanguard Investments Hong Kong |
| 12:30 - 12:50 | The 15-year Milestone and Prospects of HK's ETF | Mr. Ray Chan Vice President and Head of ETF Business Development, Asia ex-Japan State Street Global Advisors |
| 12:50 - 13:10 | China Outlook – Can China Equity Market Get an “A”? | Mr. Vincent Ching Vice President BlackRock |
| 13:10 - 13:30 | Unveiling the Arbitrage Relationship Between ETF, Related Stocks and Futures | Mr. Alton Lau Head of Product Development China Exchanges Services Company |
| 13:30 - 13:50 | Using RQFII ETF to Capture China's Market Opportunities | Mr. Derek Wang Market Analyst CSOP Asset Management |
| 13:50 - 14:30 | Hong Kong Stock Market Outlook 2015 | Mr. Arthur Shek Associate Publisher and Head of Research Department Hong Kong Economic Times Prof. Chan Yan Chong University Professor |

Workshop A

| Time | Topic | Speaker |
|---------------|--|--|
| 14:30 - 15:00 | Benefits of Fixed Income ETF | Mr. Raymond Chan Vice President & Portfolio Manager BMO Global Asset Management |
| 15:15 - 15:45 | 10th Anniversary of China A-shares ETF Investing – Review and Prospect | Ms. Marcella Pun Vice President BlackRock |
| 16:00 - 16:30 | Invest in China Using Equity and Bond RQFII ETFs | Mr. Stanley Dai Capital Markets Analyst CSOP Asset Management |
| 16:45 - 17:15 | Global ETF Trends (English) | Mr. Robert Hughes Vice President Nasdaq |
| 17:30 - 18:00 | SSE 50 Index – New Opportunity for Shanghai-Hong Kong Stock Connect | Mr. Jeffrey Wong Business Analyst, Quantitative Strategy Business Unit BOCI-Prudential Asset Management |

Workshop B

| Time | Topic | Speaker |
|---------------|--|--|
| 14:30 - 15:00 | Identify the 'Right' ETFs for Your Asset Allocation | Mr. Eddy Lam Director, Retail & Intermediary Sales Vanguard Investments Hong Kong |
| 15:15 - 15:45 | Stock Markets and Sectors Overview | Prof. Chan Yan Chong University Professor |
| 16:00 - 16:30 | Investment Value and Potential of Corporate Sustainability Index | Ms. Eugenie Chiu Vice President, Research and Development Hang Seng Indexes Company |
| 16:45 - 17:15 | Common Options Strategies (Intermediate Level) | Representative Hong Kong Exchanges and Clearing Limited |

| | | |
|---------------|--|--|
| 17:30 - 18:00 | Portfolio Management – Use ETFs to Express Your China/Hong Kong Market Views | Ms. Angel Lee Senior Institutional Business Manager Ping An of China Asset Management (Hong Kong) Company |
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**For registration, please visit www.etbc.com.hk/e485.html.*

*** The large seminar and workshops may have been fully booked.*

Appendix II

Large Seminar

| Time | Topic | Speaker |
|---------------|--|---|
| 15:02 - 15:05 | Welcome Remarks | Representative Hong Kong Exchanges and Clearing Limited |
| 15:05 - 16:05 | Sharing on Options Trading in Practice | Mr. Arthur Shek Associate Publisher and Head of Research Department Hong Kong Economic Times Prof. Chan Yan Chong University Professor Ms. Agnes Wu Independent Stock Commentator Mr. Louie Shum Veteran Stock Commentator Mr. Freeman To Senior Option Instructor |
| 16:05 - 16:25 | Investment Opportunities in Asia | Mr. Raymond Chan Vice President & Portfolio Manager BMO Global Asset Management |
| 16:25 - 16:45 | Dividend Investing (English) | Mr. Robert Hughes Vice President Nasdaq |
| 16:45 - 17:05 | Hong Kong Stock Market Outlook 2015 | Prof. Chan Yan Chong University Professor |
| 17:05 - 17:25 | SH-HK Stock Connect – Indexing and Investment Opportunities | Mr. Daniel Wong Director and Head of Research and Development Hang Seng Indexes Company |
| 17:25 - 17:45 | How to Use ETFs for Investment Themes Within and Outside of Greater China? | Mr. Anson Chow Vice President, Passive Asset Management, Asia Pacific Deutsche Asset & Wealth Management |
| 17:45 - 18:00 | Best Booth Voting Award Presentation | |

Workshop A

| Time | Topic | Speaker |
|---------------|--|---|
| 11:30 - 12:00 | Capture Investment Opportunities in Asia through Smart Beta ETFs | Mr. Vincent Chen Associate Director, ETF Business Development Value Partners |
| 12:15 - 12:45 | Using Index Options and Futures (Advanced Level) | Mr. Benny Leung Chief Consultant at ET Net and ET Trade Program Director (Investment) of ET Business College |
| 13:00 - 13:30 | Allocate in China through Index ETFs | Ms. Clara Jiang ETF Product Manager China Asset Management (Hong Kong) |
| 13:45 - 14:15 | Fundamentals of Options (Beginner Level) | Representative Hong Kong Exchanges and Clearing Limited |

Workshop B

| Time | Topic | Speaker |
|---------------|--|--|
| 11:30 - 12:00 | 2015 Investment Outlook and Strategy from ETF Money Flows | Ms. Laura Lui Head of ETF / Index Mirae Asset Global Investments (Hong Kong) |
| 12:15 - 12:45 | Complete China Investment and Shanghai-HK Stock Connect | Mr. Gary Lui Head of Index Development China Exchanges Services Company |
| 13:00 - 13:30 | Simple Buy the Market | Ms. Ellen Law Assistant Vice President, Passive Asset Management, Asia Pacific Deutsche Asset & Wealth Management Mr. Henry Wu Analyst, Passive Asset Management, Asia Pacific Deutsche Asset & Wealth Management |
| 13:45 - 14:15 | Options Strategies – How to Increase Your Chance of Winning? | Mr. Louie Shum Veteran Stock Commentator |

**For registration, please visit www.etbc.com.hk/e485.html.*

Appendix III

Broadcasting schedule of Options Education TV Program

| Date | Time | Guest | Title | Episode |
|-------------------|-------|----------------------|---|---------|
| 24-Oct-2014 (Fri) | 12:15 | Agnes Wu, Louis Wong | Index Options and Stock Options | 1 |
| 24-Oct-2014 (Fri) | 13:29 | Agnes Wu, Freeman To | Leverage power of options | 2 |
| 27-Oct-2014 (Mon) | 12:15 | Agnes Wu, Freeman To | Yield enhancement by selling options | 3 |
| 27-Oct-2014 (Mon) | 13:29 | Agnes Wu, Elsie Lee | Usage of put options and HSI Volatility Index | 4 |
| 28-Oct-2014 (Tue) | 12:15 | Agnes Wu, Louis Wong | Buying stocks at low by selling put options | 5 |
| 28-Oct-2014 (Tue) | 13:29 | Agnes Wu, Louis Wong | Index Options and Stock Options | 1 |
| 29-Oct-2014 (Wed) | 12:15 | Agnes Wu, Freeman To | Leverage power of options | 2 |
| 29-Oct-2014 (Wed) | 13:29 | Agnes Wu, Freeman To | Yield enhancement by selling options | 3 |
| 30-Oct-2014 (Thu) | 12:15 | Agnes Wu, Elsie Lee | Usage of put options and HSI Volatility Index | 4 |
| 30-Oct-2014 (Thu) | 13:29 | Agnes Wu, Louis Wong | Buying stocks at low by selling put options | 5 |
| 31-Oct-2014 (Fri) | 12:15 | Agnes Wu, Louis Wong | Index Options and Stock Options | 1 |
| 31-Oct-2014 (Fri) | 13:29 | Agnes Wu, Freeman To | Leverage power of options | 2 |
| 03-Nov-2014 (Mon) | 12:15 | Agnes Wu, Freeman To | Yield enhancement by selling options | 3 |
| 03-Nov-2014 (Mon) | 13:29 | Agnes Wu, Elsie Lee | Usage of put options and HSI Volatility Index | 4 |
| 04-Nov-2014 (Tue) | 12:15 | Agnes Wu, Louis Wong | Buying stocks at low by selling put options | 5 |
| 04-Nov-2014 (Tue) | 13:29 | Agnes Wu, Louis Wong | Index Options and Stock Options | 1 |
| 05-Nov-2014 (Wed) | 12:15 | Agnes Wu, Freeman To | Leverage power of options | 2 |
| 05-Nov-2014 (Wed) | 13:29 | Agnes Wu, Freeman To | Yield enhancement by selling options | 3 |
| 06-Nov-2014 (Thu) | 12:15 | Agnes Wu, Elsie Lee | Usage of put options and HSI Volatility Index | 4 |
| 06-Nov-2014 (Thu) | 13:29 | Agnes Wu, Louis Wong | Buying stocks at low by selling put options | 5 |
| 07-Nov-2014 (Fri) | 12:15 | Agnes Wu, Louis Wong | Index Options and Stock Options | 1 |

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| | | | | |
|-------------------|-------|----------------------|---|---|
| 10-Nov-2014 (Mon) | 12:15 | Agnes Wu, Freeman To | Leverage power of options | 2 |
| 10-Nov-2014 (Mon) | 13:29 | Agnes Wu, Freeman To | Yield enhancement by selling options | 3 |
| 11-Nov-2014 (Tue) | 12:15 | Agnes Wu, Elsie Lee | Usage of put options and HSI Volatility Index | 4 |
| 11-Nov-2014 (Tue) | 13:29 | Agnes Wu, Louis Wong | Buying stocks at low by selling put options | 5 |
| 12-Nov-2014 (Wed) | 12:15 | Agnes Wu, Louis Wong | Index Options and Stock Options | 1 |
| 12-Nov-2014 (Wed) | 13:29 | Agnes Wu, Freeman To | Leverage power of options | 2 |
| 13-Nov-2014 (Thu) | 12:15 | Agnes Wu, Freeman To | Yield enhancement by selling options | 3 |
| 13-Nov-2014 (Thu) | 13:29 | Agnes Wu, Elsie Lee | Usage of put options and HSI Volatility Index | 4 |
| 14-Nov-2014 (Fri) | 12:15 | Agnes Wu, Louis Wong | Buying stocks at low by selling put options | 5 |
| 14-Nov-2014 (Fri) | 13:29 | Agnes Wu, Louis Wong | Index Options and Stock Options | 1 |