



Enrolment Form for Continuous Professional Training (CPT) Course 持續專業培訓課程報名表格

Please first read the enrolment “terms and conditions” overleaf and then complete all parts of the Form in English. Incomplete Form will not be processed.

請先細閱表格背面所列之「條款及細則」並用英文填寫表格的所有部份。未填妥的表格將不會受理。

Course Details 課程詳情

Course Name and Code: _____
 課程名稱及編號 1st Choice 首選 2nd Choice 次選

Personal Particulars 個人資料

Name of Applicant: _____ Email Address: _____
 申請人姓名 Surname 姓氏 Given Name 名字 電郵地址
 (For issuance of certificate, please use the name on your HKID card. 請提供香港身份證上之姓名，以方便作發出證書之用)

Mailing Address: _____
 回郵地址

Tel No.: _____ Fax No.: _____
 電話號碼 (Office or Mobile 辦公室或手提電話) 傳真號碼

Exchange Participants Details 交易所參與者詳情

Company Name (Name of Exchange Participant) : _____
 公司名稱 (交易所參與者名稱)

Authorised Signature of Exchange Participant (with Company Chop) : _____
 交易所參與者授權人簽署(附加公司印章)

Declaration of the Applicant 申請人聲明

I hereby declare that the information provided in this enrolment form is complete and correct. I have read the enrolment “terms and conditions” overleaf and agree to abide by them.

本人謹在此聲明在本申請表格內所提供的資料均屬正確無訛。本人已閱讀並同意遵守表格背面所列之「條款及細則」。

Signature of Applicant: _____
 申請人簽署

Date: _____
 日期

Course Fee Payment Method 課程費用付款方式:

By crossed cheque made payable to “**Hong Kong Exchanges and Clearing Limited**”
 以劃線支票繳付，支票抬頭為「香港交易及結算所有限公司」

Bank Name: _____ Cheque No. : _____ HK\$ _____
 銀行名稱 支票號碼 港幣



Terms and Conditions 條款及條件

1. Submit the duly completed and signed enrolment form and a crossed cheque in respect of the course fee to **Hong Kong Exchanges and Clearing Limited at 10/F, One International Finance Centre, 1 Harbour View Street, Central, Hong Kong** before the closing date for enrolment under paragraph 3. Cash payment or post-dated cheque will not be accepted.
請於第三段所述之課程截止報名日期前，將已簽署及填妥的報名表格連同繳付課程費用的劃線支票交回**香港中環港景街一號國際金融中心一期10樓香港交易及結算所有限公司**。現金或期票恕不接受。
2. Incomplete or unsigned enrolment form or form that is not accompanied by a crossed cheque in respect of the course fee will not be processed.
資料不全或沒有簽署的報名表格或沒有附上繳付課程費用的劃線支票之申請將不獲處理。
3. Closing date for enrolment is normally 3 business days before commencement of the course, unless otherwise stated. Early enrolment is appreciated. Enrolment will normally be processed on a first-come-first-served basis. HKEx reserves the right to determine whether an enrolment is successful and the decision of HKEx shall be final.
除特別註明，課程截止報名日期通常為開課前三個工作日。申請人應盡早報名，因申請會以先到先得方式處理。香港交易所保留接受任何申請之最終決定權。
4. Applicants whose enrolments are successful will receive a written confirmation normally not later than 2 business days before commencement of the course by fax or by email. Applicants who do not receive any such confirmation should contact HKEx immediately.
成功的申請人通常會在開課前二個工作日收到以傳真或電郵方式傳遞的課程確認書。如申請人沒有收到任何確認書，應立即聯絡香港交易所。
5. Course fees are non-refundable and non-transferable unless (i) the applicant's enrolment is unsuccessful; or (ii) the course is cancelled or re-scheduled by HKEx. Refund of the course fees, if made by HKEx, will be made by cheque and sent to the applicant's last known address by ordinary mail.
除非 1)申請人之申請不被接納；或 2)所選讀的課程被香港交易所取消或改期，否則已繳付之課程費用恕不退還及不得轉讓。如香港交易所退還課程費用，退款將以支票並以平郵方式寄予已知最後申請人的地址。
6. Certificates of Attendance will only be issued to applicants who have attended the whole course (including the Question and Answer Session) and will be distributed after the course.
聽講證書只會頒發給那些修畢整個課程(包括問答環節)之參加者，並會於課程完畢後派發。
7. Certificates of Attendance will not be re-issued.
所有聽講證書將不予補發。
8. HKEx reserves the right to alter the details of or re-schedule or cancel any course. The applicants will be notified accordingly.
香港交易所保留修訂課程內容、或重新調配或取消課程之權利。申請人將獲另行通知。

For Information and Enquiries 資料及查詢:

For Derivatives Market related courses, please contact Ms. Susanna Chow or Mr. Dominic Ng.
有關衍生產品市場之持續專業培訓課程，請聯絡周穎詩小姐或吳文博先生。

Tel 電話 : 2840-3579
Fax 傳真 : 2824-4438
Email 電郵 : dmcpt@hkex.com.hk
Website 網頁 : <http://www.hkex.com.hk/cpt/dmcpt/cpt.htm>
Address 地址 : Derivatives Market
Hong Kong Exchanges and Clearing Limited
10/F, One International Finance Centre, 1 Harbour View Street, Central, Hong Kong
香港中環港景街1號 國際金融中心一期10樓
香港交易及結算所有限公司
衍生產品市場