

香港交易所資訊服務有限公司

(香港交易及結算所有限公司全資附屬公司)

HKEX INFORMATION SERVICES LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

10 April 2019  
Our Ref: MDD/19/401

**By Email**

**To: Derivatives Lite (D-Lite) Market Data Vendors, End Users and Application Service Providers (Collectively “Clients”); D-Lite Applicants (“Applicants”); Independent Software Vendors (ISVs)**

**Copy: Indirect Connection Derivatives Market Data Vendors and End Users**

### **Rollout of Changes to D-Lite for HKATS and DCASS Upgrade on 6 May 2019**

References are made to the notices dated 15 February 2018 (Our Ref: [MDD/18/854](#)), 4 May 2018 (Our Ref: [MDD/18/1169](#)), 14 May 2018 (Our Ref: [MDD/18/1243](#)), 26 July 2018 (Our Ref: [MDD/18/1666](#)), 9 August, 2018 (Our Ref: [MDD/18/1923](#)), 30 October 2018 (Our Ref: [MDD/18/2362](#)) and 4 February 2019 (Our Ref: [MDD/19/195](#)), regarding the Changes to D-Lite for HKATS and DCASS Upgrade (“Upgrade”).

We are pleased to inform you that, following the successful completion of the Market Rehearsal, the Changes to D-Lite will be rolled out on **6 May 2019 (Monday)** with the HKATS and DCASS Upgrade.

A Post Release Test (PRT) will be held on **5 May 2019 (Sunday)**. All Direct Connection Clients are **required** to participate in the PRT to ensure their applications can operate properly after the implementation of the Changes to D-Lite.

The test rundown of the PRT is provided in the Appendix 1 in the enclosed Information Package of Rollout of Changes to OMD-D for HKATS / DCASS Upgrade (“Information Package”). To confirm your participation in the PRT, please submit us the online Test Participation Form via [this link](#) **on or before 18 April 2019 (Thursday)**. Upon the completion of the PRT, Clients are required to confirm the test results by submitting the online [Test Result Confirmation Form](#) by the time as indicated in the test rundown.

Indirect Connection Clients should liaise closely with their feed providing vendors for all necessary arrangements to ensure their readiness for the rollout of the Upgrade.

### **Rollout Preparation**

Clients are reminded to plan for the rollout and fallback arrangement to ensure a smooth rollout. Below are the key reminders for your planning and details can be referred to the Information Package as enclosed.

- a) Keep the software successfully tested in Market Rehearsal unchanged for the PRT of this Upgrade;
- b) After the PRT, keep the upgraded infrastructure and system unchanged until the completion of stabilization period (the stabilisation period starting from 6 May 2019 (Monday) to the end of T-Session on 17 May 2019 (Friday)); and
- c) Retain the existing infrastructure until the announcement of completion of the stabilisation period for contingency. In an unlikely situation that upgraded HKATS / DCASS system encounters irreparable incidents during the stabilisation period, HKEX may trigger a system fallback to the version before rollout (i.e. existing production version).

A client notice will be issued upon the completion of the stabilisation period.

Softcopy of the relevant Participant Circular is also attached herewith for your reference.

Should you have any queries, please contact us at (852) 2211 6558 or send your questions to [IVSupport@hkex.com.hk](mailto:IVSupport@hkex.com.hk).

Arthur Yung  
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Data Connectivity & Support, Market Data  
Markets Division

Enclosures: 1) Information Package of Rollout of Changes to OMD for HKATS / DCASS Upgrade  
2) Participant Circular