HKEX
香港交易所

Attachment 2

Emergency Arrangement

Activity Rundown for OTP-C Fallback to AMS/3.8 on 3−4 February 2018

Time	Standard Tasks
3 February 2018 (Saturday)	
Software fallback for OCG/BSS (Emergency Arrangement Only)	
Around 3:30pm	***Fallback Announcement*** ***EPs should pay attention to the fallback announcement posted on HKEX website at the OTP-C web corner if there is an unexpected incident during the Post Release Test and trading system needs to fallback to AMS/3.8.*** For **OCG/BSS** : ❑ Fallback the BSS software to AMS/3.8 compatible version (if applicable).
4 February 2018 (Sunday)	
Verification of trading in AMS/3.8	
Before 8:30am	**Logon to AMS/3.8 Host** For **OCG/NSTD** ❑ Perform Administrator Logon to ET Trade Speed Station. ❑ Perform logon for OCG sessions by using the production password (including Trading and Drop Copy sessions). ❑ Perform ET Trade Speed Station Start-of-Trading procedure. ❑ Perform Trader logon. ❑ Note: If EP encounters any issue on ET Trade Speed Station, please directly contact ET Trade Customer Service Hotline at 2880-8601 or by email to cs@etnet.com.hk. For **OCG/BSS** : ❑ Connect the AMS/3.8 compatible version of BSS to AMS/3.8. ❑ Logon using the assigned Comp ID(s) and the production password for all OCG sessions (including Trading and Drop Copy sessions).
Pre-Opening Session under AMS/3.8	
9:00am – 9:30am	❑ The Pre-Opening Session consists of - Order Input period (9:00am - 9:15am) - Pre-order Matching period (9:15am - 9:20am) - Order Matching period (9:20am - 9:28am) - Blocking period (9:28am - 9:30am) ❑ Simulate real-life trading activities (in terms of transaction pattern and volume) during the Pre-Opening Session. ❑ BSS users should check whether their BSS systems are successfully fallback and their operations are in proper order.
Continuous Trading Session (Morning Session) under AMS/3.8	
9:30am – 11:00am	❑ The Continuous Trading Session consists of

Time	Standard Tasks
	- Without VCM monitoring (9:30am - 9:45am) - With VCM monitoring (9:45am - 10:40am) - Without VCM monitoring (10:40am - 11:00am) ❑ Simulate real-life trading activities (in terms of transaction pattern and volume) during the Continuous Trading Session. ❑ BSS users should check whether their BSS systems are successfully fallback and their operations are in proper order.
Closing Auction Session at 11:00am	
11:00am - 11:10am	❑ The Closing Auction Session consists of - Reference Price Fixing Period (11:00am – 11:01am) - Order Input Period (11:01am - 11:06am) - No Cancellation Period (11:06am - 11:08am) - Random Closing Period (11:08am - 11:10am) - Order Matching Period (11:08am - completion of matching (variable end time)) - Day Close upon completion of matching ❑ Simulate real-life trading activities (in terms of transaction pattern and volume) during the Closing Auction Session. ❑ BSS users should check whether their BSS systems are successfully fallback and their operations are in proper order.
Day Close – upon completion of matching	
11:10am	For **OCG/NSTD:** ❑ Perform trader logout. ❑ Perform ET Trade Speed Station End-of-Trading procedure. ❑ Logout and terminate OCG session. ❑ Logout all ET Trade Speed Station. For **OCG/BSS:** ❑ Logout and terminate OCG session. ❑ Perform end-of-trading procedures, if any, to ensure database integrity. ❑ Clean up the transaction data (e.g. orders and trades) entered by BSS during the fallback test, if applicable. ❑ Restore the data and proper BSS production configuration for following Monday, if necessary.
Download Day-end Trade File and Closing Price File	
11:30am – 12:00noon	❑ Day-end trade file and closing price file in Central Trade Feed (CTF) format will be available from 11:30am onwards. EPs are required to login Electronic Communication Platform (ECP) (https://www.ecp.hkex.com.hk/) by inputting the production user ID with the "TO" user group (i.e. user ID of MXXXXXTOXXX) and production password.
5 February 2018 (Monday)	
Before 8:30am	**Logon to AMS/3.8 Host** For **OCG/NSTD** ❑ Perform Administrator Logon to ET Trade Speed Station. ❑ Perform logon for OCG sessions (including Trading and Drop Copy sessions) by using the production password. ❑ Perform ET Trade Speed Station Start-of-Trading procedure.

Time	Standard Tasks
	❑ Perform Trader logon. ❑ Note: If EP encounters any issue on ET Trade Speed Station, please directly contact ET Trade Customer Service Hotline at 2880-8601 or by email to cs@etnet.com.hk. For **OCG/BSS** ❑ Perform logon for OCG sessions (including Trading and Drop Copy sessions) by using production password.

Notes:

(1) Should EPs have any query or identify any irregularities during the Fallback Test, please contact the following Participant Support Hotlines:

- For general enquiry : 2840-3626
- For technical enquiry : 2840-3351