

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **DUAL COUNTER MODEL IN HONG KONG SECURITIES MARKET - END-TO-END TESTING, PRACTICE SESSION AND FILE LAYOUT OF LIST OF DUAL COUNTER SECURITIES**

Enquiry: **Participant General Enquiry Hotline¹ (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Reference is made to the Exchange Circular (Ref: [CT/053/23](#)) dated 25 April 2023 on the End-to-End (E2E) testing for Dual Counter Model (the Model). Exchange Participants (EPs) are requested to note that two practice sessions for the Model will be held on **27 May 2023 (Saturday) (PS1)** and **3 June 2023 (Saturday) (PS2)** respectively. Participation is optional and EPs who wish to participate in the practice session(s) are required to complete the Registration Form in Attachment 1 and return to the Exchange on or before **23 May 2023 (Tuesday)**. Detailed activity rundown for PS1 and PS2 will be sent to registered EPs by **24 May 2023 (Wednesday)** and **31 May 2023 (Wednesday)** respectively.

Extension of E2E testing

To further facilitate EPs to verify their own systems for the Model, the optional and free-of-charge E2E testing session will be extended to include the period from **17 May 2023 (Wednesday)** to **2 June 2023 (Friday)**.

File layout of List of Dual Counter Securities

A new list of securities which contains Dual Counter Securities designated by the Exchange from time to time will be published on HKEX website. EPs are advised to refer to the sample file of the List of Dual Counter Securities in Attachment 2 for file format and layout. The publication of the List of Dual Counter Securities will be announced to the market in due course.

File layout update of Full List of Securities

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

EPs are also requested to note that the file layout of the [Full List of Securities](#) on HKEX website will be enhanced to include the corresponding RMB counters of HKD counters. The enhancement effective date will be announced together with the launch date of the Model. EPs are advised to refer to the sample file of the Full List of Securities with new file layout in Attachment 3.

Please send any enquiries on trading arrangements to trd@hkex.com.hk and enquiries on clearing and settlement arrangements to clearingps@hkex.com.hk.

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